

1 **On the Non Development of an Intense African Easterly Wave;**

2 **Observations and Predictability**

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ABSTRACT

9 A strong African easterly wave (AEW) left the west African coast in early
10 September 2014, global numerical and operational forecasts suggested a po-
11 tential for tropical cyclogenesis once the AEW was over the eastern Atlantic.
12 A large region of dry air was also present to the north of the disturbance. Dur-
13 ing this time the Hurricane Severe Storm Sentinel field campaign was making
14 observations of tropical cyclones with an unmanned global hawk aircraft. This
15 enabled observations of the AEW over the eastern Atlantic during its time of
16 potential development and during the time of interaction with the encroach-
17 ing dry air. Analysis and observations show that after leaving the coast, the
18 synoptic scale vortex was not well aligned vertically and entrained air above
19 and below the main jet-level vortex. Dropsonde observations highlight the
20 dry air undercutting the mid-level recirculation region on the southwestern
21 corner. This entrainment of dry air constrains precipitation within the trough
22 and the vortex decays as the column continues to be displaced horizontally
23 and lose vertical alignment allowing for further entrainment of dry air above
24 and below. Ensemble sensitivity analysis identifies a consistent impact of the
25 moisture on the western side of the disturbance for precipitation occurring
26 within the trough. A consistent bias in the forecasts is observed through an
27 over-intensification of the vortex in the first 24–48 hours of the forecast, pro-
28 viding more favourable conditions for precipitation around the vortex and thus
29 a positive feedback loop is initiated.

1. Introduction

Synoptic scale westward propagating disturbances from over West Africa and the eastern Atlantic have been associated with Tropical Cyclogenesis for over a century (Piersig 1944). On rare occasions, these disturbances have intensified over West Africa to a point where they are almost tropical storm strength while still over land and rapidly develop over the eastern Atlantic given the surface moisture flux (Erickson 1963). Further analysis has revealed a strong link between these westward propagating African disturbances and tropical cyclone activity across most of the main development region of the Atlantic; accounting for roughly half of the Atlantic tropical cyclones (e.g. Carlson 1969a,b; Frank 1970; Landsea 1993). Burpee (1972, 1974) showed that these westward propagating disturbances were waves with a periodicity of 3–5 days, approximate wavelength of around 2500 km and a peak vortex around the level of the African easterly jet around 700–600 hPa with a cold-core low-level structure.

Tropical cyclogenesis has been associated with a few necessary large-scale conditions associated with a pre-existing low-level disturbance, Gray (1968) showed that genesis was more likely given warm SSTs ($\geq 26.5^{\circ}\text{C}$), increased low-level moisture around the disturbance and relatively low-shear. These conditions favor the maintenance of deep convection associated with the tropical cyclogenesis process. For genesis events over the eastern Atlantic, (Bracken and Bosart 2000) highlighted there was an upper-tropospheric ridge poleward of the disturbance which was associated with large-scale ascent around the disturbance. The tropical easterly jet along the equator also favors an upper-level anticyclone near the West African coast creating a localized region of low deep-layer vertical wind shear and favorable conditions for deep convection (McBride and Zehr 1981). Deep convection and the associated potential vorticity generation over the coastal region has been shown to be a crucial stage for AEWs for both genesis events (Berry and Thorncroft

53 2005). Multiple factors can be attributed to influencing deep convection around the coastal region
54 and can therefore be linked to genesis over the eastern Atlantic. (e.g. Ventrice et al. 2012; Chiao
55 and Jenkins 2010)

56 Analysis of developing and non-developing AEWs has revealed further differences for why a
57 few AEWs are able to support tropical cyclogenesis events. Hopsch et al. (2010) showed that
58 developing troughs already had stronger low-level vorticity and increased ascent over the coastal
59 region prior to leaving the continent. The ability for deep convection to be sustained as the troughs
60 leave the coast is important for maintaining and continuing the intensification of the vortex (Ar-
61 nault and Roux 2009, 2010), though at this point in the genesis cycle the coverage of deep con-
62 vection can be more important than the intensity (Leppert II et al. 2013b,a). Berry and Thorncroft
63 (2005) proposed that the generation as well as merging of PV anomalies over the coastal region
64 was a crucial stage in the lifecycle of an AEW.

65 The modulation of the synoptic scale characteristics by the AEW are especially important for
66 convective activity over the eastern Atlantic given the presence of dry air north of the AEJ, ei-
67 ther of Saharan origin or subsidence from the sub-tropics of mid-latitudes (Dunion and Velden
68 2004; Braun 2010). AEW troughs are characterised by a propagating region of increased mois-
69 ture and vorticity, in a Lagrangian reference a strong circulation around the trough can potentially
70 provide protected region of favourable conditions for convection and intensification (Dunkerton
71 et al. 2009). However, AEWs over West Africa are typically cold-core at low-levels developing
72 low-level vorticity as they leave the coast and transition into the oceanic environment (Janiga and
73 Thorncroft 2012). Therefore regions of closed circulation at the level of the AEJ will likely not
74 have closed circulation above or below vortex has continued to intensify (Wang and Hanks 2014).
75 Therefore interactions between the trough and surrounding environment have been shown to be

76 not only possible but also important for convective activity near the coastal region. Strengthen-
77 ing MCSs leaving the coast were associated with increased southerly moisture flux associated with
78 the downstream wave (Dieng et al. 2014). Similarly, differences in low-level moisture downstream
79 (north-west) of strong AEW troughs was a significant factor in the composite analysis of devel-
80 oping and non-developing waves (Brammer and Thorncroft 2015). While Fritz and Wang (2013)
81 showed that mid-level dry air intrusions were associated with suppressed convection and halting
82 the intensification of an otherwise favourable system.

83 Ensemble numerical simulations of tropical cyclogenesis cases have also highlighted the im-
84 pact of variability in the moisture field around pre-genesis disturbance. Variability in the initial
85 conditions of moisture, convective available potential energy (CAPE) around the trough or vortex
86 strength can affect the short term spin-up of the vortex, creating large ensemble spread that then
87 continues to amplify through the forecast (Torn and Cook 2013). Ensemble-based sensitivity anal-
88 ysis for forecasts of AEW and genesis from AEWs have shown in specific cases the correlation
89 between moisture west and north of the trough in the initial conditions to forecast intensity (Torn
90 2010; Rios-Berrios et al. 2016). These sensitivities to not only initial vortex characteristics but
91 also synoptic scale environmental characteristics highlight the potential difficulties for operational
92 numerical forecasts of tropical cyclogenesis. Komaromi and Majumdar (2015) showed that about
93 50% of cases genesis forecasts were related to the predicted favourability of the environment,
94 while the remainder of cases are most sensitive to the strength and location of the disturbance. It
95 is therefore not surprising that deterministic forecasts from various global models have relatively
96 high false alarm rates for tropical cyclogenesis over the Eastern Atlantic (Halperin et al. 2013).

97 In 2014 the NASA Hurricane Severe Storm Sentinel (HS3) field campaign were observing trop-
98 ical systems with an unmanned high-altitude (18 km; 50 hPa) aircraft (Braun et al. 2016). This

99 aircraft had a 24 hr flight time and therefore allowed for in-situ observations of AEW troughs
100 as far east as around 30°W. Given the uncertainty regarding the influence of SAL or dry air on
101 developing disturbances this platform provided a unique opportunity to observe potential cyclo-
102 genesis events over the eastern Atlantic. This paper documents the evolution and forecasts of
103 a non-developing system that was officially designated as invest AL90 during the first week of
104 September 2014. While previous research has compared ensemble forecasts for developing cases
105 or provided analysis for developing and non-developing cases, there are limited results regarding
106 the evolution and predictability of strong non-developing cases.

107 A synoptic overview of the AEW trough associated with AL90 will be presented in section 3,
108 this analysis will discuss the observations and reanalysis structure of the trough and embedded
109 disturbance. Section 4 will then include an analysis of the ensemble forecasts of the disturbance
110 and it's forecasts tropical cyclogenesis over the eastern Atlantic. A discussion of the observed
111 evolution in contrast to the numerical simulations will follow in section 5

112 **2. Data & Methodology**

113 Climate Forecast System (CFS) version 2 data was used for the analysis of the evolution of the
114 AEW trough and for verification against the ensemble forecasts. The will be used, with climato-
115 logical values calculated with respect to the CFS Reanalysis (CFSR) for the period of 1979-2010
116 (Saha et al. 2010, 2014). Observations from the HS3 global hawk platform include dropsondes
117 (measuring Temperature, Relative Humidity and wind) as well as a cloud physics lidar (CPL)
118 which is able to detect multiple layers or aerosol throughout the troposphere. Dropsondes were
119 post-processed through Aspen Wang et al. (2010) and reprocessed to correct for an upper-level dry
120 bias (Young 2016). Observed precipitation rates are obtained from the Tropical Rainfall Measur-
121 ing Mission (TRMM) Multisatellite Precipitation Analysis (TRMM product 3B42; Huffman et al.

122 2007). Ensemble forecasts are analysed from the operational NCEP Global Ensemble Forecast
123 System (GEFS) archived at NOAA NCEI website.

124 The AEW trough is tracked objectively utilising a multiple variable tracker similar to the NCEP
125 tropical cyclone tracker (Marchok 2002). The AEW trough is tracked through a combination cur-
126 vature vorticity at 850, 700 and 500 hPa, geopotential height at 850 hPa and relative vorticity 850
127 and 700 hPa. Tracking both relative and curvature vorticity at multiple levels enables a smooth
128 and continuous track across of the circulation associated with the trough and later the incipient
129 vortex. Propagation of the disturbance is calculated as a combination of extrapolation of the pre-
130 vious phase speed and axis-symmetric steering flow calculated over 850-400 hPa over a radius of
131 400 km around the disturbance. Ensemble forecast tracks follow the same tracking criteria using
132 the analysis position as the initial estimated location for each 0000 UTC initialisation time. Anal-
133 ysis locations are then re-centered in each ensemble to account for the perturbations in the initial
134 conditions.

135 **3. AEW Synoptic History**

136 *a. Synoptic Evolution*

137 The invest 90L in September 2014 spawned within the trough of a well defined African easterly
138 wave (AEW), the vortex signature of which can be traced back to over Ethiopia on August 27th
139 (not shown). While the AEW trough transitioned across Central and Western Africa there were
140 continuous deep convective bursts and accumulated precipitation of over 15 mm across large areas
141 of the Sahel (Fig. 1). Though as the trough left the west African coast on September 4th, associated
142 precipitation rapidly declined over the eastern Atlantic, with only a small area of deep convection
143 and precipitation occurring on the northern side of the vortex during September 6th-7th. This

144 period of reduced convective activity coincides with the trough transitioning into the anomalously
145 dry environment over the eastern Atlantic, where TPW anomalies were more than 10 mm below
146 normal.

147 As the trough left the West African Coast, the National Hurricane Center (NHC) declared the
148 system as invest AL90 (90L). The official five-day genesis forecast peaked for 90L with a 40%
149 chance of tropical cyclogenesis for the 09/04 1800UTC forecast. Given the wave's history across
150 the African continent and the global model forecasts at the time this invest was also of interest to
151 the NASA Hurricane and Severe Storm Sentinel (HS3) field program which deployed the Global
152 Hawk observing platform and made in-situ observations of the system between 09/05 1800UTC
153 and 09/06 0400UTC.

154 The accumulated precipitation in figure 1 shows the trough had regular convection within
155 750 km of the jet-level circulation across the Sahel. A vortex centric time-series of precipitation,
156 with relative vorticity and the surrounding (300-750 km) 850 hPa specific humidity, highlights this
157 evolution also showing that the trough is associated with a significant diurnal cycle in precipitation
158 while over land (Fig. 3). The 3 hr precipitation time series show consistent evening peak for the
159 days between 08/28 to 09/03 within only one exception on the evening of 08/31. The time-series of
160 vorticity and humidity during this continental stage show that both humidity and vorticity remain
161 relatively stable until the trough reaches the coast.

162 Figure 2 displays the vertical structure of the vorticity around the trough and specific humidity
163 profile for the same time period. During the trough's continental transit, the vortex shows the typ-
164 ical jet-level maximum with a cold-core dynamical signature below, as the trough transits over the
165 coastal region the vorticity around the trough increases at low-levels slightly. This pattern matches
166 the climatological pattern shown by Janiga and Thorncroft (2012). It is worth noting though that

167 Janiga and Thorncroft (2012) showed that the CFSR exhibited stronger low-level vorticity com-
168 pared to ERA-I and TRMM heating profiles.

169 As the trough reaches the coast the averaged environmental humidity drops abruptly from
170 12 g kg^{-1} to 10 g kg^{-1} (Fig. 3), this is also representative of the large scale environment as
171 shown by the anomalous total precipitable water field in Fig. 1. After leaving the coast the pre-
172 cipitation remains relatively weak and the vorticity associated with the trough slowly weakens.
173 The NASA HS3 flight sampled the system after the peak intensity, as the vortex began to slowly
174 weaken during the evening of 09/05 (represented by the shaded background in Fig. 3).

175 The interaction of the vortex with the environmental dry air is shown with vertical cross sections
176 for three times in Fig. 4. As the trough leaves the coast on 09/04, the vortex has strong relative
177 vorticity extending between 850 hPa up to 500-400 hPa. Low-level positive (blue) specific humid-
178 ity anomalies extend throughout the lower troposphere within the vortex with an extension to the
179 west at very low-levels (Fig. 4a). Farther west from the trough is the very dry environment over
180 the eastern Atlantic, with anomalies below -6 g kg^{-1} between 25°W to 40°W and below 700 hPa.

181 By 1200UTC on September 5th, a very strong moisture gradient has developed along the western
182 edge of the AEW vortex (Fig. 4b). The low-level extension of positive moisture anomalies has
183 been degraded and the vortex has started to show signs of weakening. Above 550 hPa the vorticity
184 within the cross section has been reduced to below $3 \times 10^{-5} \text{ s}^{-1}$ and the time-series of 850 hPa
185 circulation also shows that at this time the low-level vorticity has started to weaken. Eighteen
186 hours later (Fig. 4c), the vortex has continued to weaken although the moisture gradient along the
187 western edge remains strong at approximately 16 g kg^{-1} over a 500 km range. The vortex has
188 continued to become less organized and negative moisture anomalies now exist below the jet-level
189 vortex on the western edge of the trough.

190 Figure 5 shows the evolution of the equivalent potential temperature (θ_e) and wave relative cir-
191 culation at the same three times as shown in Fig. 4. At 1800UTC on 09/04 the is around 20°W
192 with the mid-level vortex slightly south-west of the lower-level vortex (Figure 5c,f). Precipitation
193 associated with the trough is colocated with the closed circulation at 500 hPa but on the western
194 edge of the circulation at 850 hPa. θ_e at both levels exceeds 336 K within region of closed circula-
195 tion, though outside of the circulation low θ_e (≤ 330 K) can be seen wrapping around the western
196 and southern flanks at both levels. By 1200 UTC on September 5th the north-south elongated
197 mid-level circulation, has rotated cyclonically to have a NE-SW alignment with low θ_e on all sides
198 except the north-east. A NW-SE tilt is still present in the vertical with the low-level circulation
199 at this time still over Cape Verde. This vertical tilt continues and is very apparent by 0600 on
200 September 6th as the mid-level circulation remains SW of the low-level vortex.

201 Precipitation associated with the trough on 09/06 is situated near the center of the low-level
202 circulation around 15°N and 30°W. This precipitation however is on the outer boundary of the
203 500 hPa circulation, which is offset approximately 250 km to the SW. Although there remains a
204 region of increased θ_e at 500 hPa in vertical alignment with the low-level circulation, the circula-
205 tion at this level is now entraining lower θ_e from the southwest over the low-level vortex.

206 It is expected that the vertical tilt associated with the trough acts to feedback negatively on the
207 evolution of the convection and associated vorticity generation. As the mid-level vortex is dis-
208 placed to the west and southwest, the vortex will impose cyclonic circulation around the tilted
209 column. Due to the weak strength and lack of organisation of the vorticity at this time, this feed-
210 back is likely slow and relatively small compared to tilted tropical cyclone evolution. The vortex
211 associated with the AEW however shows a slow cyclonic rotation with the mid-level vortex mov-
212 ing W to SW of the low-level circulation. With strong horizontal gradients of moisture west of the

213 system, this vertical tilt opens up the trough up to entraining air from the west more rapidly than a
214 coherent vertical vortex would otherwise.

215 *b. Characteristics of the Dry Air*

216 The origin and characteristics of dry air over the Atlantic is typically of great interest with respect
217 to how it impacts tropical cyclones and developing tropical cyclones. The previous discussion
218 has shown that a large region of dry air throughout the lower troposphere was present to the
219 west of the AEW associated with Invest 90L. Figure 6 shows the same four day evolution of the
220 disturbance leaving West Africa with IR brightness temperature and the split-window Saharan Air
221 Layer product from CIMSS (Dunion and Velden 2004). This product highlights the large area
222 of dry air over the eastern Atlantic to the northwest of the convective signature and a secondary
223 region of dry or dusty air following the trough (leaving the coast on September 6th). This product
224 however does not specifically identify dusty air, rather it highlights dry/and or warm air at low-
225 levels. Subjectively comparing these figures to Aerosol optical depth measured by MODIS on
226 Terra and Aqua satellites shows that while increased AOD is present along the western edge of
227 the circulation the large area of dry air west of the system is relatively dust free (Fig. 7). This
228 also subjectively compares well to dust concentration in MERRA-2, which shows dust along the
229 moisture gradient of the outer circulation but dust-free dry air over the majority of the eastern
230 Atlantic (not shown).

231 This interaction between the dry air over the eastern Atlantic and the dust along the circulation
232 periphery can also be observed in data collected by the NASA global hawk platform. Figure 9
233 shows a NW-SE vertical transect approaching the circulation of 90L created using both dropsonde
234 data and aerosol layer detection from the CPL onboard. This highlights the interaction between
235 the circulation of 90L and the low-level dry air to the west. From 21°N, 38°W to 14°N,33°W dry

236 air is observed above from 850 hPa at the top of the boundary layer. The CPL layer detection
237 indicates the presence of boundary layer aerosol (blue) and elevated aerosol (yellow) along the top
238 of the boundary layer but otherwise clear air above. At 14°N, 33°W a region of increased RH and
239 θ_e extends to west from the trough at 600 hPa. This vertical overlap of characteristics highlights
240 the NE to SW tilted circulation discussed earlier, with the advection of drier air below the edges
241 of the mid-level circulation. At the end of the transect, SW of the low-level circulation center,
242 increased elevated aerosols are observed also confirming the high concentration of dust along the
243 periphery of the circulation observed by MODIS.

244 **4. Tropical Cyclogenesis Forecasts**

245 The previous section has highlighted that while the AEW trough designated as invest 90L had
246 had a convectively active history over Africa the dry air ahead of the system appeared rather
247 unfavorable for further development over the eastern Atlantic. However, model guidance at the
248 time was suggesting that intensification could occur at least for the short term. The National
249 Hurricane Center issued the highest probability of genesis within 120 hrs at 40% on 09/04. This
250 shows that the trough was viewed by the NHC forecasters and the global models as at least slightly
251 favourable for development. Halperin et al. (2013) has shown that the GFS and other models
252 generally have a strong false alarm bias with respect to genesis over the eastern Atlantic, so it
253 is expected that NHC forecasters would have a lower forecast probability when compared to the
254 global models. NHC forecast discussions briefly mention the “dry air engulfing the system” (805
255 PM EDT 2014/09/04) but also focus on the strong convection and “region of deep layer high
256 moisture” around the trough axis.

257 This section will analyse the evolution of the forecasted developing systems compared with the
258 verification of the non-developing system. This analysis will explore the subtleties in differences

259 between developing and non-developing disturbances as well determining why global models can
260 struggle with false alarms over the eastern Atlantic.

261 *a. Operational Forecasts*

262 The operational forecasts for each 00 UTC cycle from the Global Ensemble Forecasting System
263 (GEFS) are shown in Fig. 10, the ensemble forecast tracks are represented by cones calculated
264 as $\pm 1\sigma$ of across track spread around the ensemble mean. These forecast tracks highlight that,
265 in general, the forecasts were able to capture the track of the disturbance sufficiently, with the
266 analysis track consistently within the ensemble based cone. Although a slight poleward bias is
267 evident for forecasts verifying on the 2nd and 3rd of September (Fig 10a). The model however
268 consistently forecasts over intensification of the 850 hPa vortex. The time-series of the analysis
269 vorticity and ensemble distribution of forecast vorticity shows that the analysis was weaker than
270 75% of the forecast ensemble members for all times after 1200UTC on September 5th.

271 The bias or error in the mean ensemble forecast is presented in Fig. 11 for the 4 forecasts ini-
272 tialised around the troughs' passage over the West African coast. While each forecast shows a
273 slight over-intensification of the vortex around the jet-level over Africa as the troughs reach the
274 coasts the forecast system consistently over-develops the low-level vorticity around the system.
275 For the forecast initialised on September 4th (Fig. 11c), although there is initial over-development
276 of the vortex over the eastern Atlantic between hours 48-72, the system has started to weaken at
277 the later lead times. This weakening signal at later lead times becomes more evident in the subse-
278 quent forecast from Sept. 5th (Fig. 11d). This suggests that despite the early intensification of the
279 system, the vortex is still constrained in it's longer term evolution. Related to the strength of the
280 vortex, Fig. 12 displays a similar analysis for θ_e in the vortex. The first two forecasts initialised
281 over the continent show a large positive bias in low-level θ_e at hours 48 and 24 respectively. The

282 ensemble mean track at this time exhibits a poleward bias, therefore this track error likely also
283 accounts for the thermodynamic error. Once over the ocean, all 4 forecasts show that the ensemble
284 mean vortex had positive bias of θ_e throughout the lower troposphere compared to the analysis.
285 Ensembles forecasts of precipitation (not shown) continued to have active convection during this
286 time with precipitation occurring around the vortex after leaving the coast, with a notable diurnal
287 pulse occurring in the morning hours. While TRMM 3b42 measures a similar diurnal pulse to the
288 convection the areal average precipitation rate is about 50% of that forecast.

289 *b. Ensemble Based Sensitivity Analysis*

290 To understand the evolution of the forecasts and the vortex interaction with the environment,
291 the vortex strength at each forecast hour is correlated with the vortex strength at each subsequent
292 forecast time for the 4 initialisation times (Fig. 13). For the 09/02 ensemble forecast, there is a
293 negative correlation between vortex strength at hours 0-18 and vortex strength at later lead times
294 (Fig. 13a). This shows that ensembles initialised with a stronger vortex tend to end up weakening
295 at later forecasts. At forecast hour 24, there is significant positive autocorrelation for the strength
296 of the vortex at all subsequent hours. This is interpreted as the point at which strong ensemble
297 members stay strong and weak members stay weaker, or that beyond this point the evolution of
298 the system is relatively linear and a function of the vortex strength. Therefore understanding the
299 eventual strength of the forecast is dependent on understanding the evolution of the vortex in the
300 first 24 hours.

301 A similar signal in the autocorrelation of vortex strength is seen across the subsequent initiali-
302 sation times. Forecasts initialised at 0000UTC 09/03 show low correlation values with respect to
303 vortex strength over the first 36 hours, at hour 42 however a significant correlation becomes evi-
304 dent for the subsequent 72 hours which is consistent throughout the remaining forecast (Fig. 13b).

305 Once the vortex is over the ocean the autocorrelation becomes more consistent with 24-30 hour
306 vortex strength significantly correlated with the strength of the vortex through the remaining fore-
307 cast hours (Fig. 13c,d).

308 Figure 14 shows the correlation between precipitation within 300 km of the trough and the future
309 strength of the vortex. Generally a similar signal is with precipitation in the first 24-48 hours
310 showing significant correlation with vortex strength throughout the remaining forecasts. Vortex
311 stretching through diabatic heating is likely the primary method for vorticity generation throughout
312 this time therefore understanding the influences on the short term precipitation forecasts is crucial
313 to understand the later evolution of the vortex. These figures highlight that the after a certain point,
314 typically hours 24-48 for these forecasts, the vortex evolution is largely dependent on it's own
315 strength. Members which continue to intensify have likely developed a closed circulation and are
316 less sensitive to the surrounding environment, while weaker members may have weakened to the
317 point where they can not re-intensify given the hostile environment to the west of the circulation.

318 Ensemble sensitivity analysis correlates perturbations in the analysis or short lead time variable
319 with spread in a forecast metric at a later lead time. Sensitivity of precipitation at hour 42 with
320 respect to lower-tropospheric moist static energy is shown in figure 15. For each of the 4 ini-
321 tialisation times, the 12 and 24 hour forecast moist static energy is correlated with the 42 hour
322 precipitation. For the forecast initialised on Sept. 2nd, the main areas of sensitivity are north of
323 the vortex, where an increase of moist static energy is related to increased precipitation or south
324 of the disturbance where an inverse relationship is observed. The wave relative streamlines for
325 this times, show a fairly small but also closed circulation in the lower troposphere, with strong
326 meridional flow on the western edge. Trajectory analysis for AEWs in this region showed a simi-
327 lar structure with very few eastern Atlantic trajectories entrained in to the vortex while the trough
328 was still over the continent (Brammer and Thorncroft 2017). On reaching the coastal region and

329 leaving the continent the areas of sensitivity have shifted to the northwest and west of the vortex.
330 The sensitivity follows the region and gradient of the dry air on the western edge as it is wrapped
331 around the vortex. South of the vortex there is a consistent region of sensitivity with a nega-
332 tive correlation, showing that lower moist static energy in this region is associated with increased
333 precipitation in the trough. A similar signal was also observed in composites of developing and
334 non-developing AEWs in Brammer and Thorncroft (2015). This sensitivity is on a thin zonal strip
335 of increased moist static energy, which can be seen in the analysis of θ_e in figure 5, and related
336 to a meridional shift of this strip towards the vortex creating drier conditions on the equatorward
337 gradient.

338 To summarise the previous discussion in a potentially useful realtime forecast graphic, Figure 16
339 shows an overview of the ensemble forecast from September 5th which aims to encapsulate the
340 some of the details discussed. The forecast map shows the individual ensemble member forecast
341 tracks on the left coloured by intensity of the 850 hPa vortex. Ellipses denote the likely forecast
342 location at 24 hr intervals calculated as described in (?). The background contours show that
343 the disturbance is over sea surface temperatures of around 27°C with all forecasts approaching
344 warmer waters over the 120 hrs. The panels on the right of the figure, show the time series of each
345 ensemble member for 4 variables, 850 hPa vorticity, 200-850 hPa vertical shear, total precipitable
346 water and minimum mean sea level pressure (top to bottom respectively). These ensemble time-
347 series are sorted per panel with respect to the 120 hr vortex strength. This graphic therefore
348 shows that by 120 hrs there is reasonable spread across the ensembles with respect to low-level
349 circulation. All members show intensification over the first 24-36 hrs but weaker members then
350 level off and show weakening after 48 hours. The second panel displays the deep-layer shear
351 for the ensemble members showing that throughout the forecast and for each ensemble member,
352 shear remains less than 12-15 kts and typically is around 5-7.5 kts. As discussed in the analysis

353 of this system, there was a large region of dry air west of the circulation and during this forecast
354 time was also wrapping around the southwestern flank. The time series of TPW within 500 km
355 of the system shows that generally the disturbance was in a region of approximately 52 mm of
356 precipitable water however by forecast hour 48 the weakest members are starting to show a small
357 decline in moisture around the system. This trend continues and by hour 72 the weakest members
358 have declined to 45 mm while the strongest members have retained TPW values over 52 mm.
359 The intensity evolution is reflected in the surface strength of the disturbance as well, with nearly
360 all members showing an initial minimum in mslp around 1008 hPa, during the first 24-48 hours.
361 After that initial intensification, the weaker members lose the surface pressure minimum with
362 strong correlation to the circulation strength and moisture within the column. This plot identifies
363 the variability in the forecasts and the sensitivity of the forecast intensity to the moisture associated
364 with the disturbance, while showing that SSTs and deep layer shear remained relatively favourable
365 for intensification.

366 **5. Discussion**

367 The analysis presented in this paper has documented the evolution of a strong AEW in the peak
368 of the 2014 Atlantic hurricane season. As the wave approached the west African coast numerical
369 and operational forecasts suggested a possibility of cyclogenesis occurring over the eastern At-
370 lantic. In the following days however the system lacked deep organised convection as it interacted
371 with a large region of dry air to the west and north of the circulation. In-situ observations were
372 made by an unmanned global hawk aircraft operating during the HS3 field campaign, this pro-
373 vided both dropsondes and cloud physics lidar measurements of the system 2 days after leaving
374 the coast.

375 During the AEWs' life over the African continent there were repeated diurnal bursts of con-
376 vection associated with the strong jet-level vortex and likely contributing to the strength of the
377 system (Berry and Thorncroft 2011, 2012). After leaving the continent however precipitation was
378 substantially restricted in spatial extent to the northeast of the vortex. At this time, the dry air from
379 the northwest created a strong gradient along the western edge of the circulation and was advected
380 towards the south west extent of the circulation. Wave relative streamline analysis showed that
381 during the first 2 days over the eastern Atlantic, there was a misalignment between the mid-level
382 and low-level circulations. It is expected that as the circulation becomes misaligned either through
383 the shallow vertical shear imposed by the AEJ that the vortex will then start to interact with the
384 circulation above or below and rotate the column in a cyclonic manner (Jones 1995). Therefore
385 although streamline analysis revealed closed circulation on isobaric layers, environmental air was
386 shown in the analysis and dropsonde observations to be entraining above and/or below areas of
387 closed circulation, limiting the convective ability of the trough.

388 Satellite and cloud physics lidar measurements of the dry air to the west of the disturbance
389 revealed that there was relatively low amounts of dust throughout most of the dry air. Increased
390 aerosol concentrations were observed along the gradient of the AEW circulation, suggesting that
391 predominantly the dry air was likely low-level trade winds over the eastern Atlantic from mid-
392 latitude subsidence as well as in-situ subsidence with a contribution of SAL associated with the
393 circulation of the AEW. This highlights the potentially mixed origins of tropical dry air over the
394 eastern Atlantic as suggested by Braun (2010).

395 Ensemble forecasts from the GFS model were used to assess the differences between develop-
396 ing and non-developing members of the same forecast as well as the systematic bias observed
397 with the GFS over the eastern Atlantic for false alarms of tropical cyclogenesis (Halperin et al.
398 2013). Forecasts show that the track of the disturbance was relatively well forecast, however there

399 was a consistent over intensification of the vortex as it transitioned over the coastal region. The
400 over-intensification of the vortex was associated with a positive bias in θ_e throughout the lower tro-
401 posphere and a persistent over forecast of precipitation around the vortex center. Autocorrelation
402 of the ensemble characteristics showed that typically the 120 hr forecast was largely dependent
403 on the characteristics of the vortex after 24-48 hours of the forecast. It is therefore suggested that
404 given a marginal environment, low shear, warm ssts but dry surrounding conditions, strong distur-
405 bances can remain strong while being protected from the surrounding environment. As the vortex
406 intensifies during the first 24-48 hours of the forecast, it becomes less sensitive to the environ-
407 ment and more dependent on the moisture within the trough and the boundary layer similar to that
408 shown in (Torn and Cook 2013) . This allows continued convection to occur, thus maintaining the
409 strength of the vortex and exacerbating the error in vortex intensity at longer lead times.

410 Further analysis of more non-developing events is therefore recommended to understand the
411 processes limiting convection in strong vortices and the demise of the once favourable character-
412 istics associated with such disturbances. Understanding the synoptic scale interaction between the
413 circulation and the environment is also important in identifying situations where a small error in
414 the short term forecast may be propagated downstream. The GFS has been shown to have a false
415 alarm bias over the eastern Atlantic and the associated reanalysis (CFSR) has also been shown to
416 have similar bias with respect to diabatic heating and low-level vorticity over the eastern Atlantic
417 Janiga and Thorncroft (2012). This systematic overdevelopment of low-level vorticity in this re-
418 gion is suggested to over-protect the otherwise cold-core low-levels of the disturbance from the
419 typically hostile environment to it's north and northwest. Further research of the false alarms in
420 the forecast or reforecast model is recommended to identify whether false alarms in this region are
421 typically due to the proposed lack of environmental interaction with unfavourable environmental
422 conditions.

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427 The CFSR data used in this study were downloaded from the Research Data Archive (RDA),
428 which is maintained by the Computational and Information Systems Laboratory (CISL) at the
429 National Center for Atmospheric Research (NCAR) Saha et al. (2014). NCAR is sponsored by
430 the National Science Foundation (NSF). The original CFSR data are available from the RDA
431 (<http://rda.ucar.edu>) in dataset numbers ds093.0.

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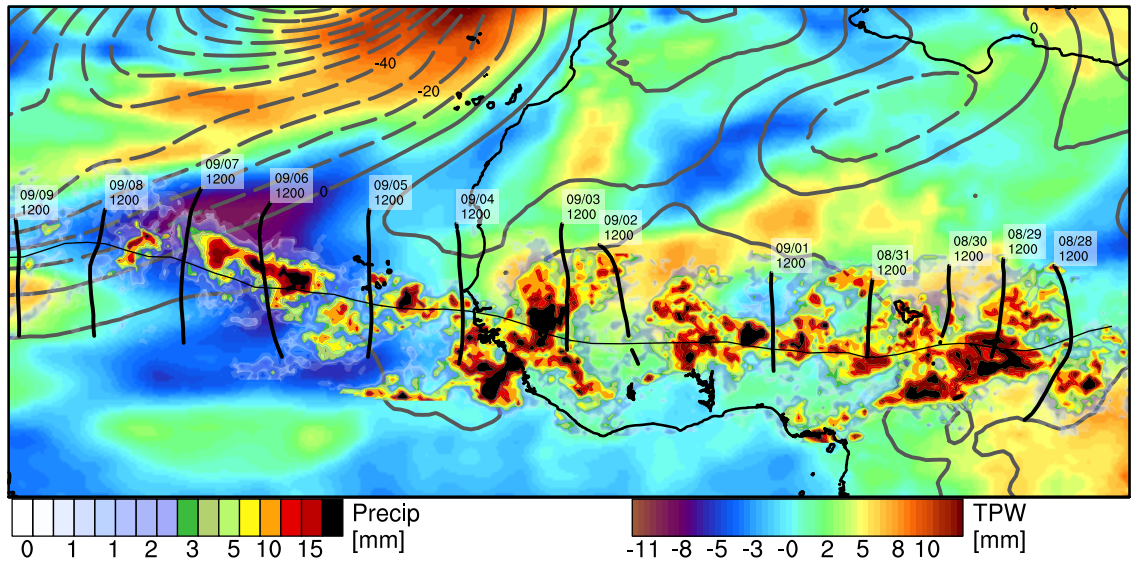
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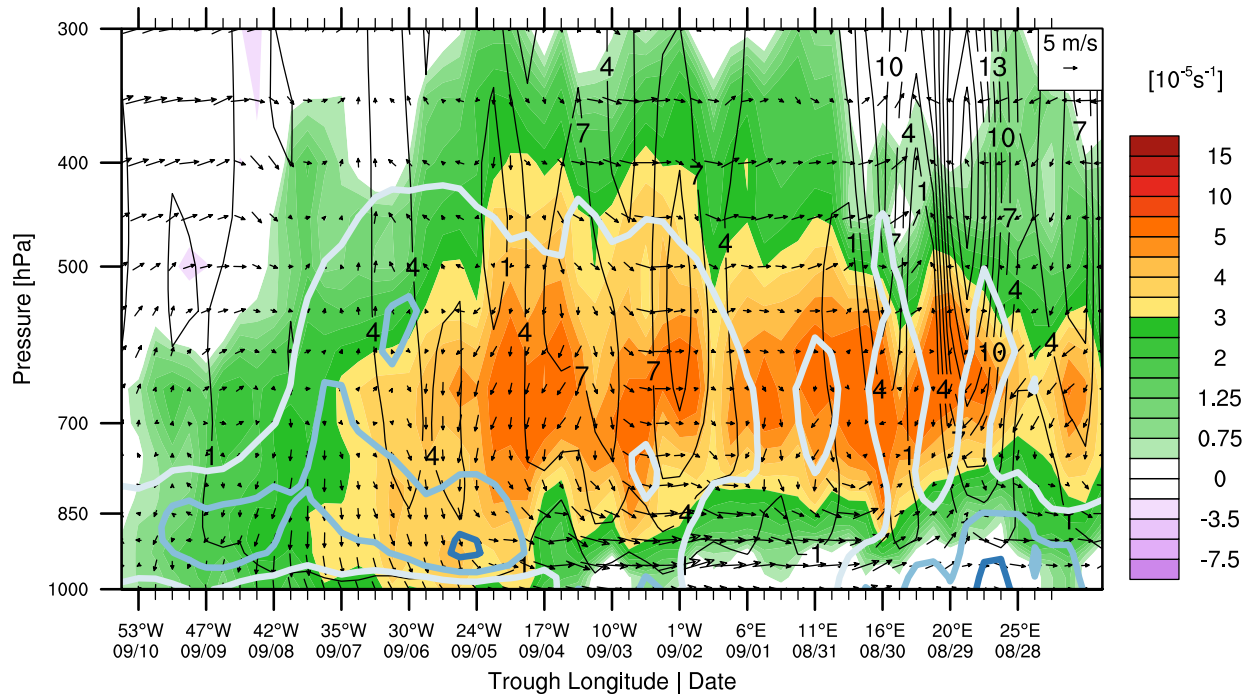
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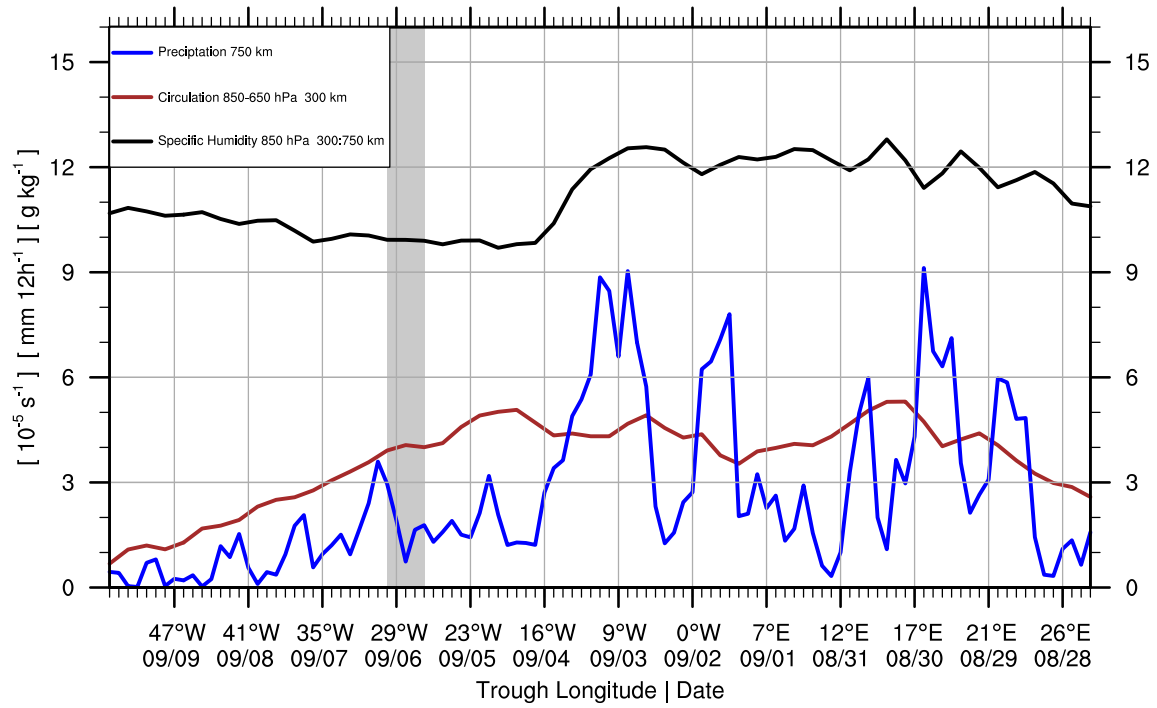
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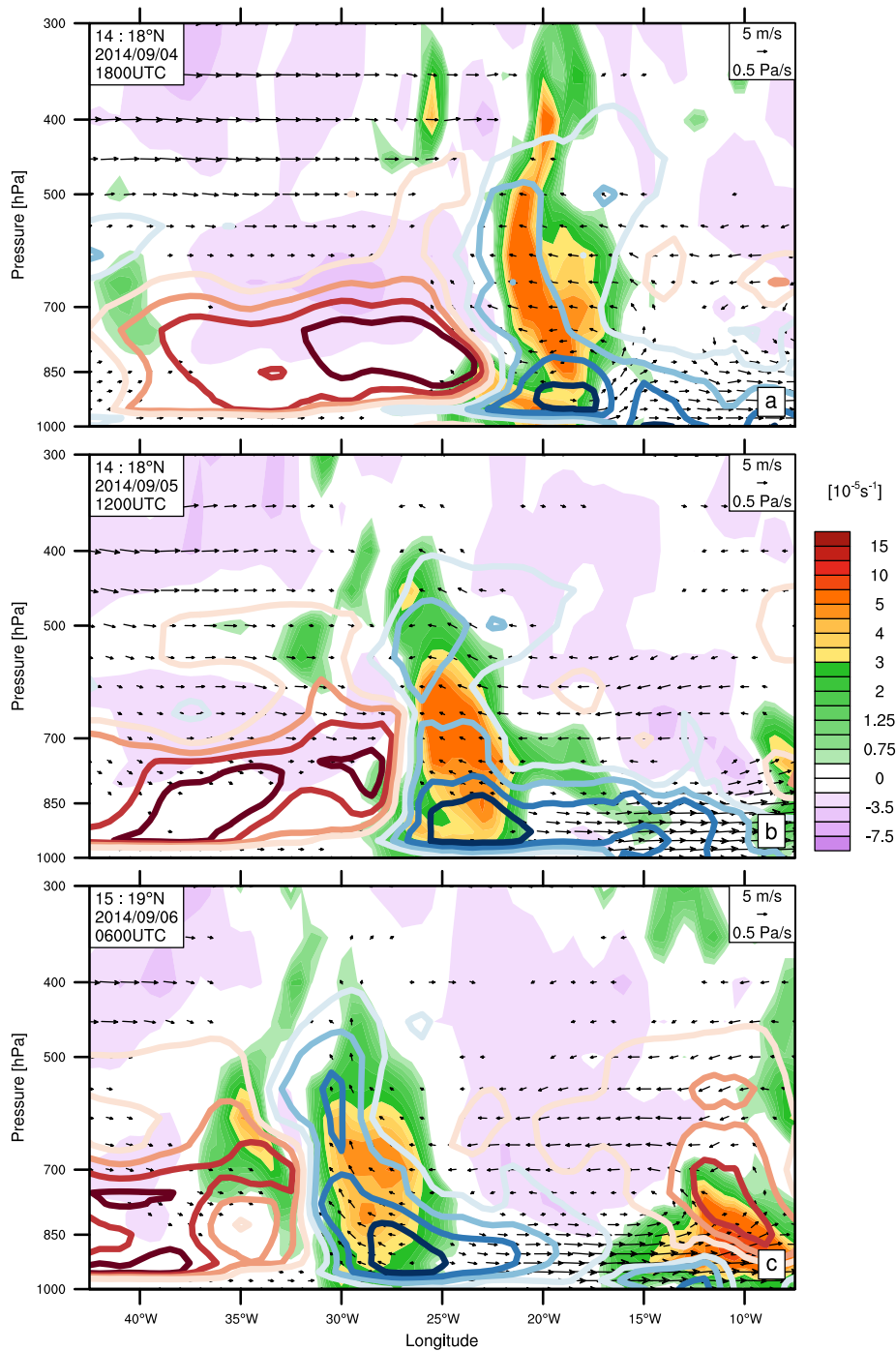
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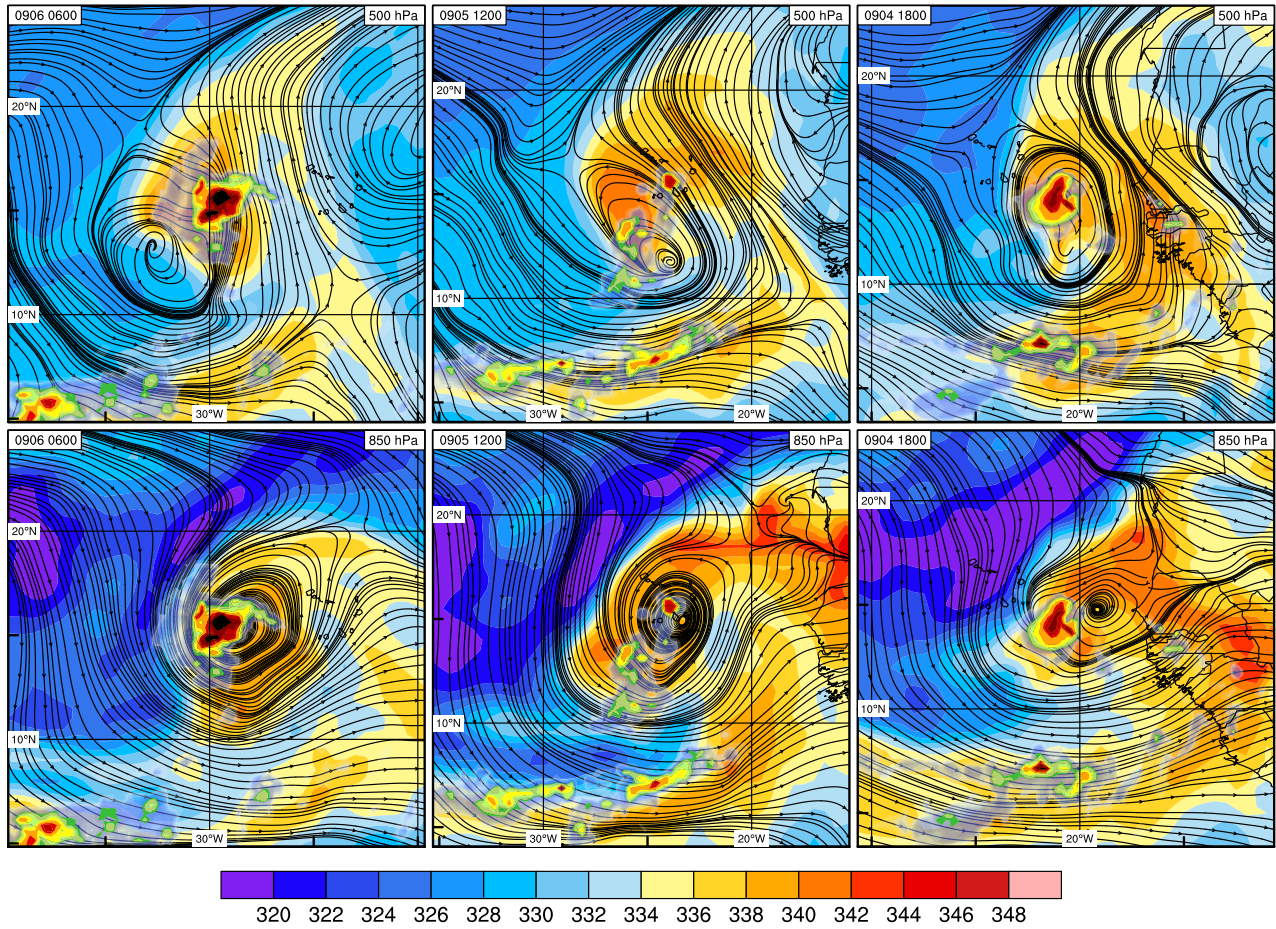
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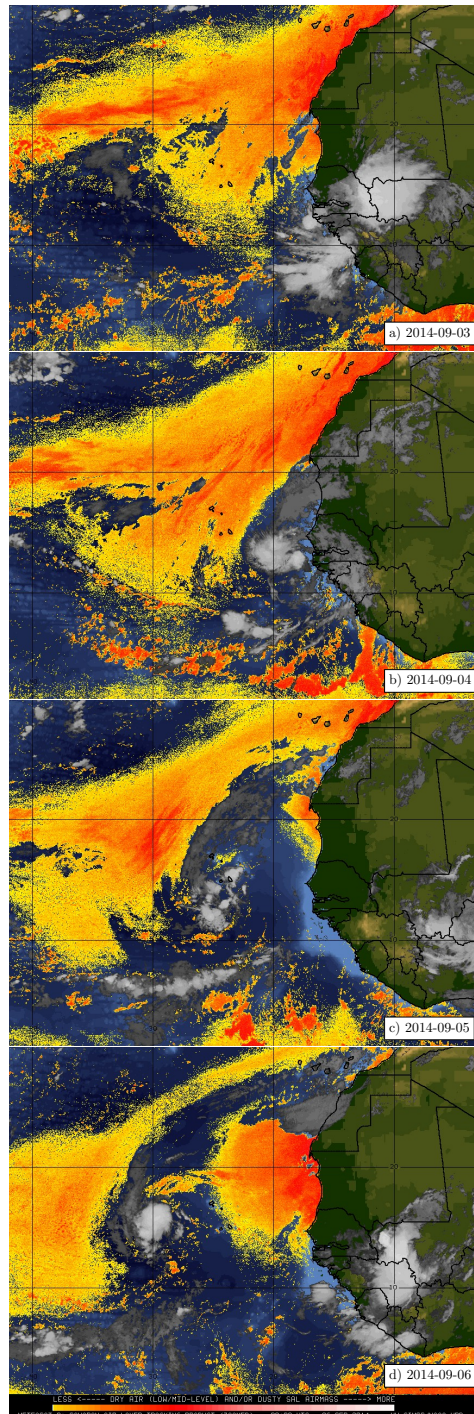
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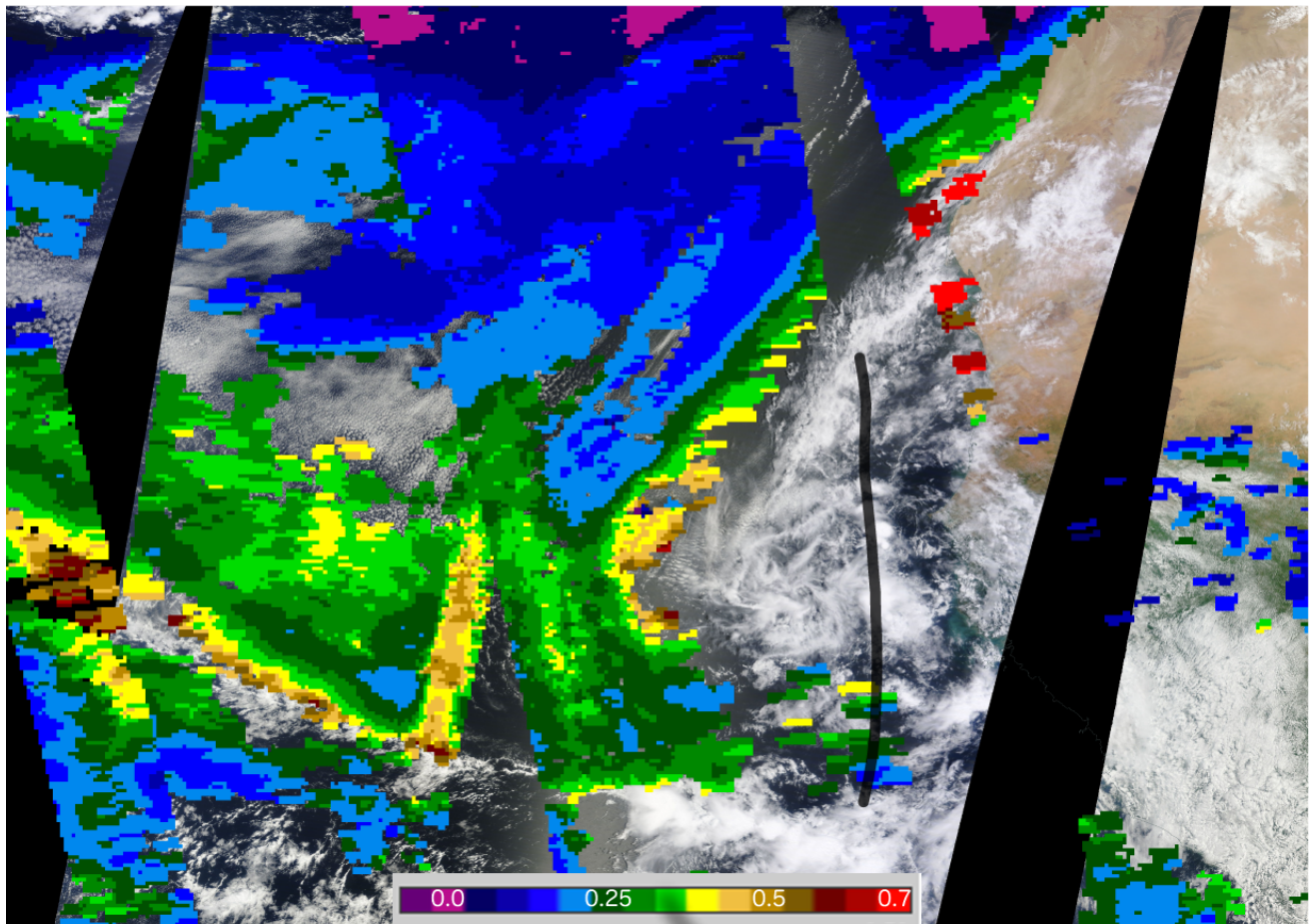
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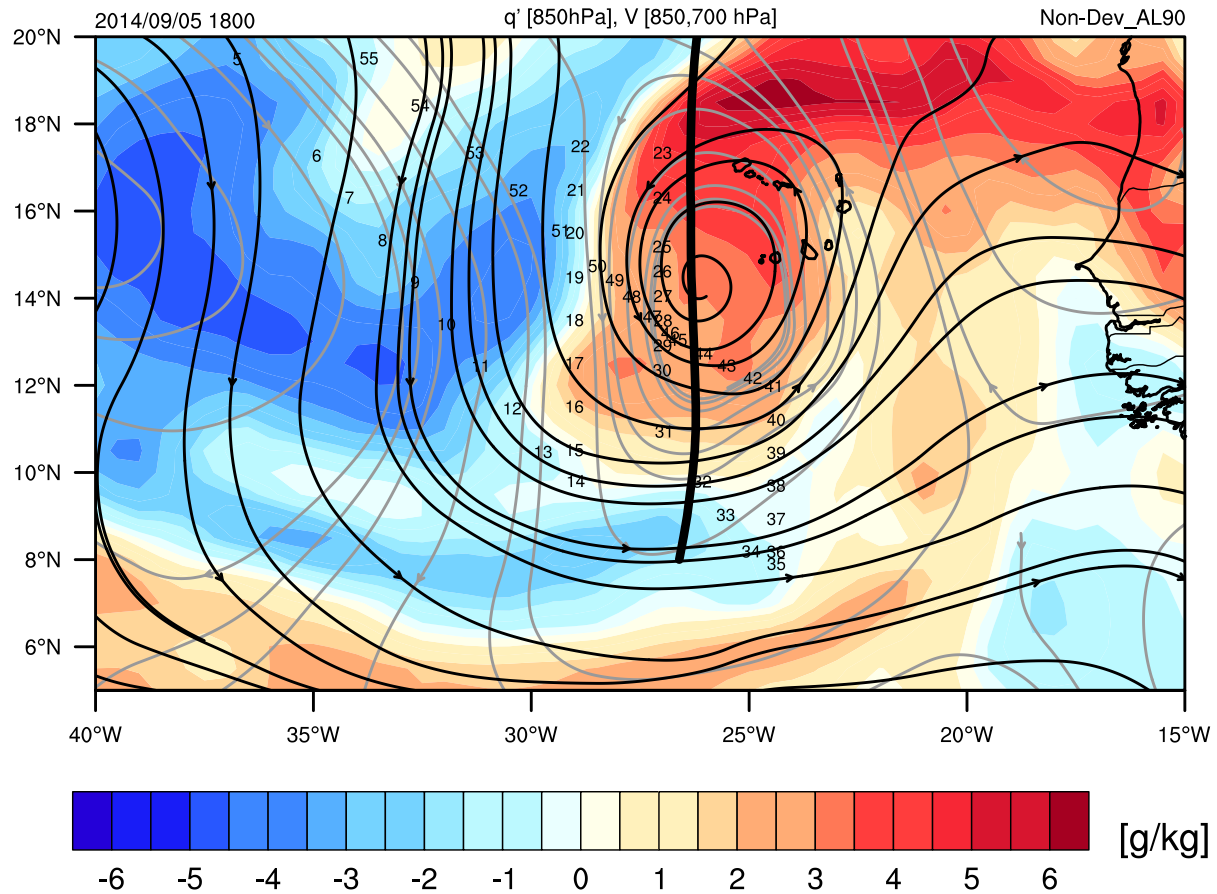
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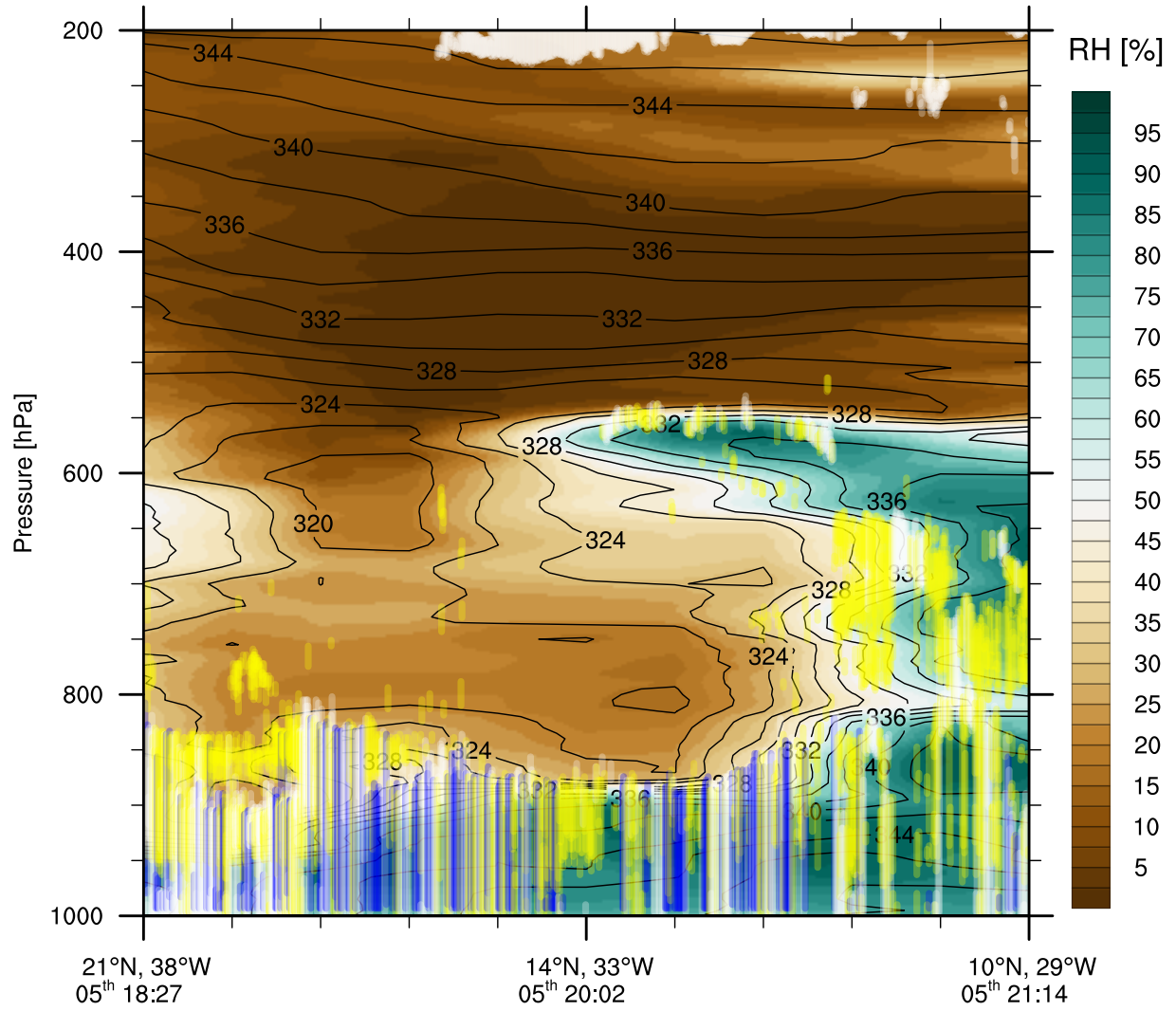
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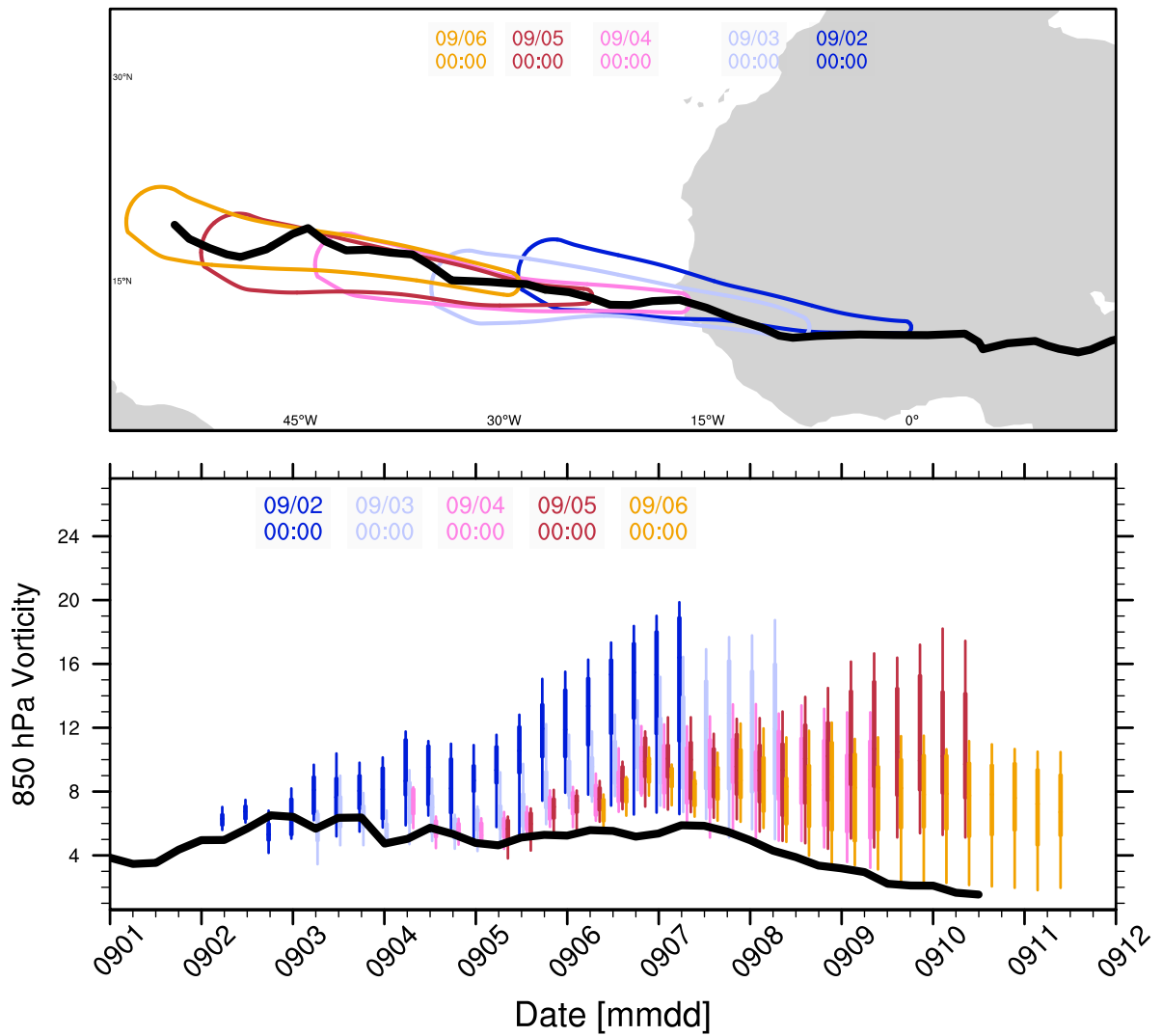
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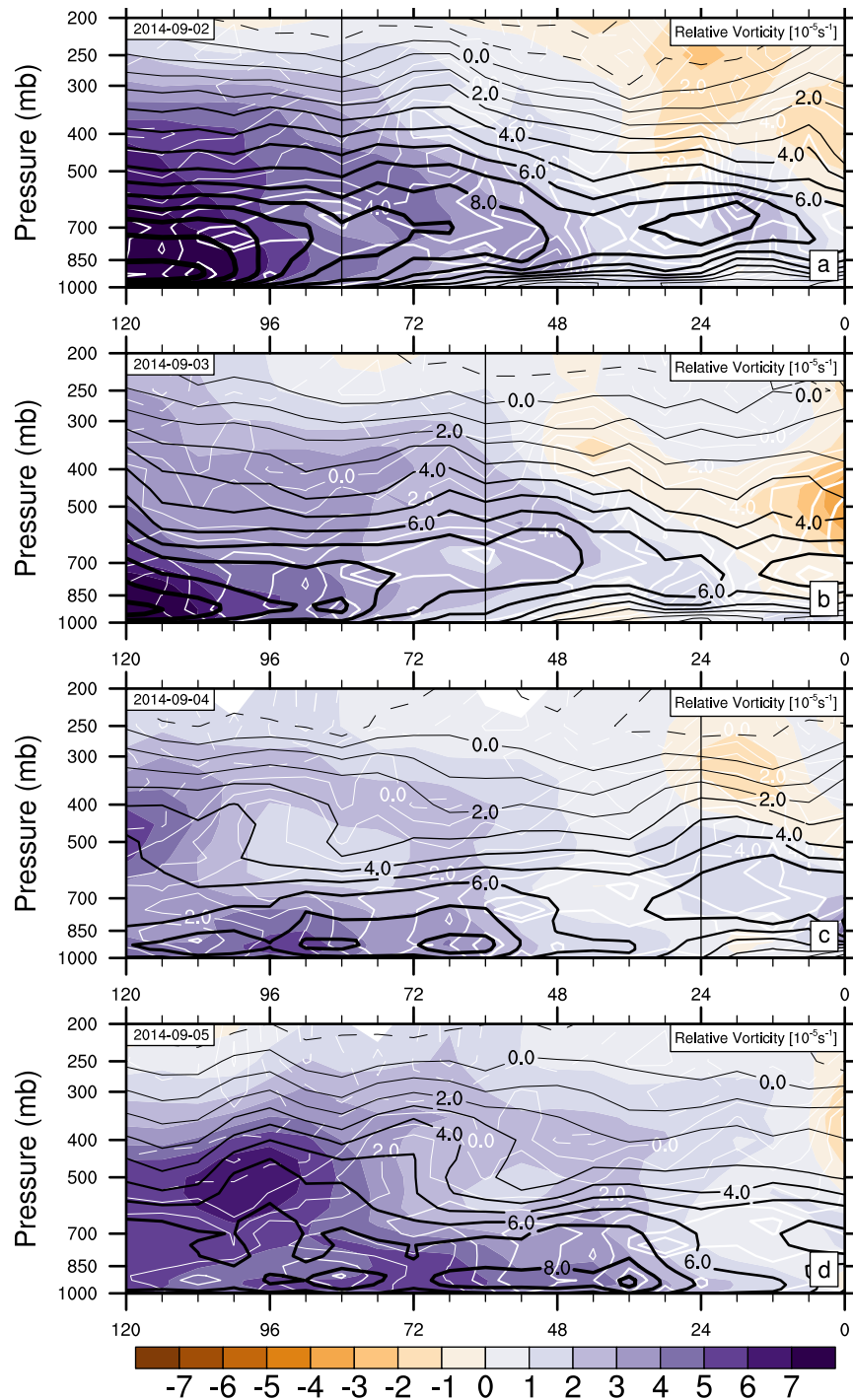
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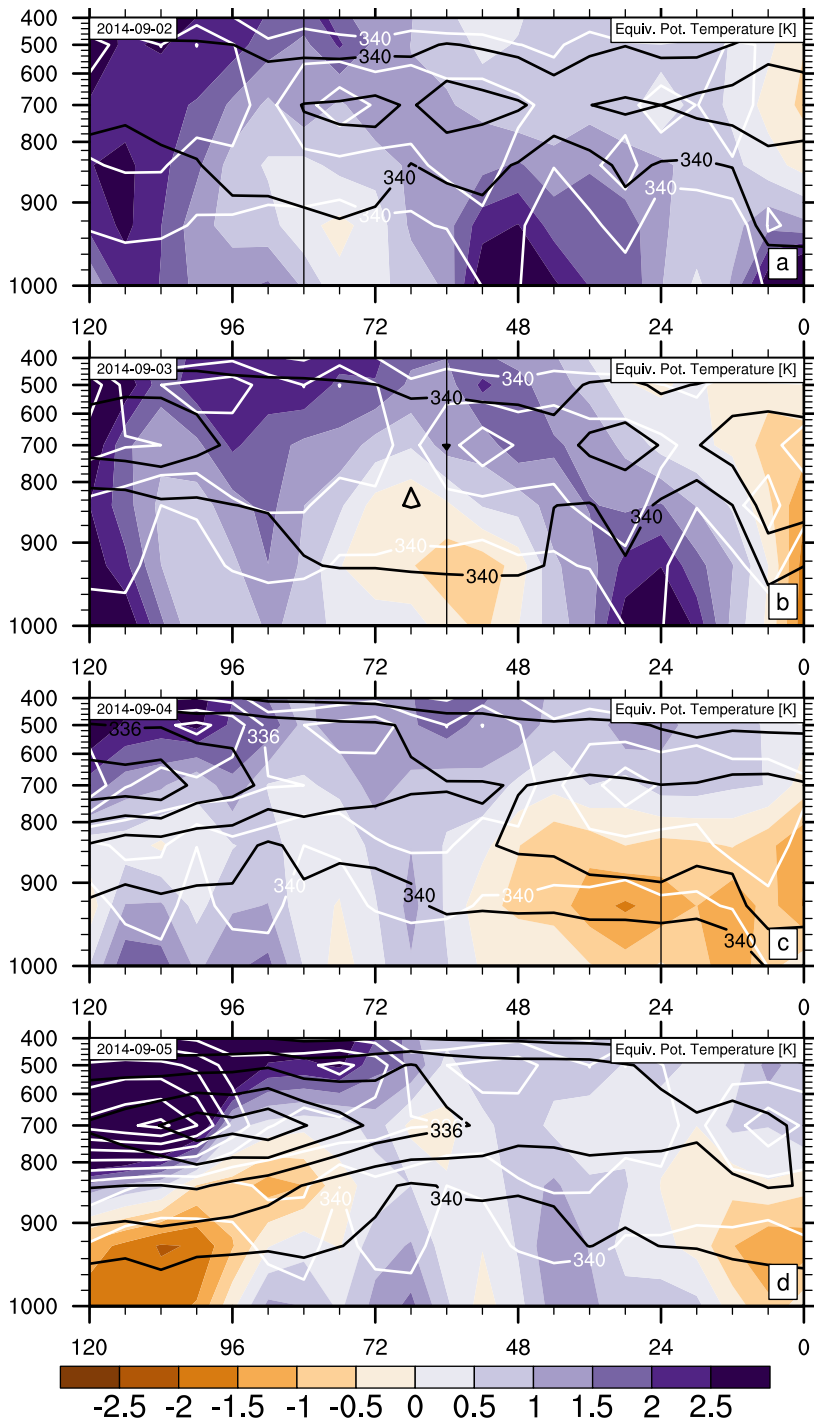
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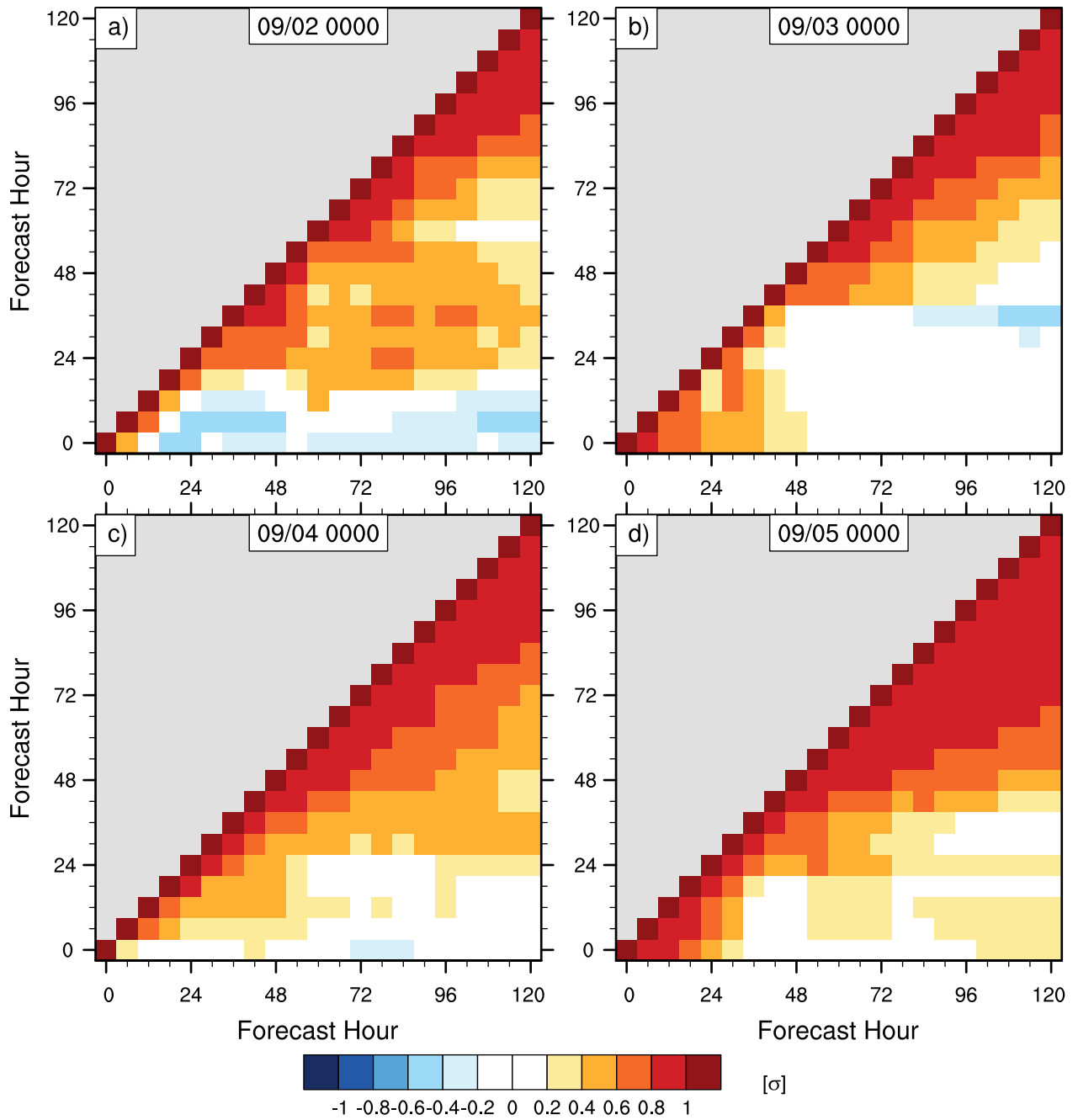
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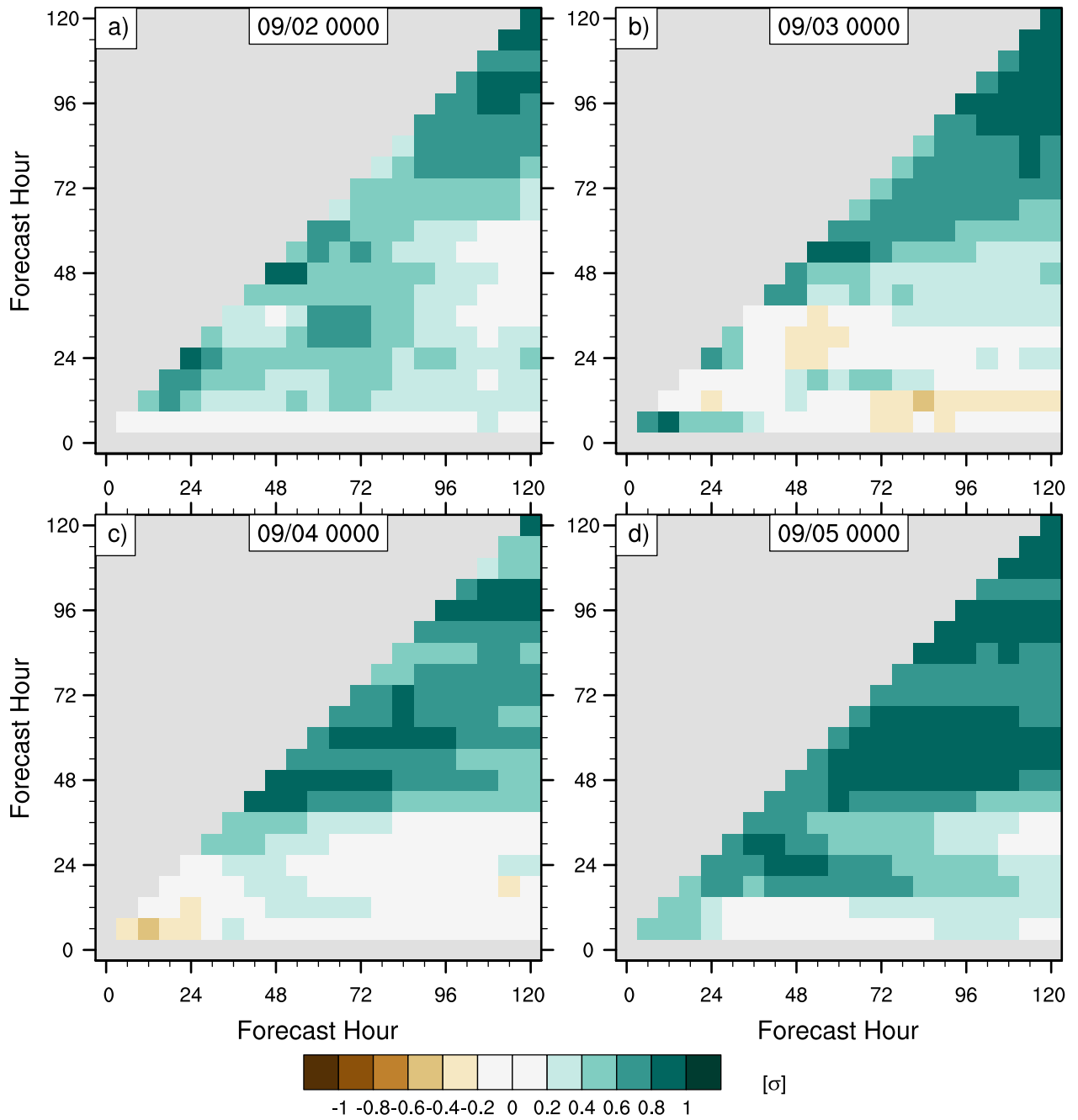
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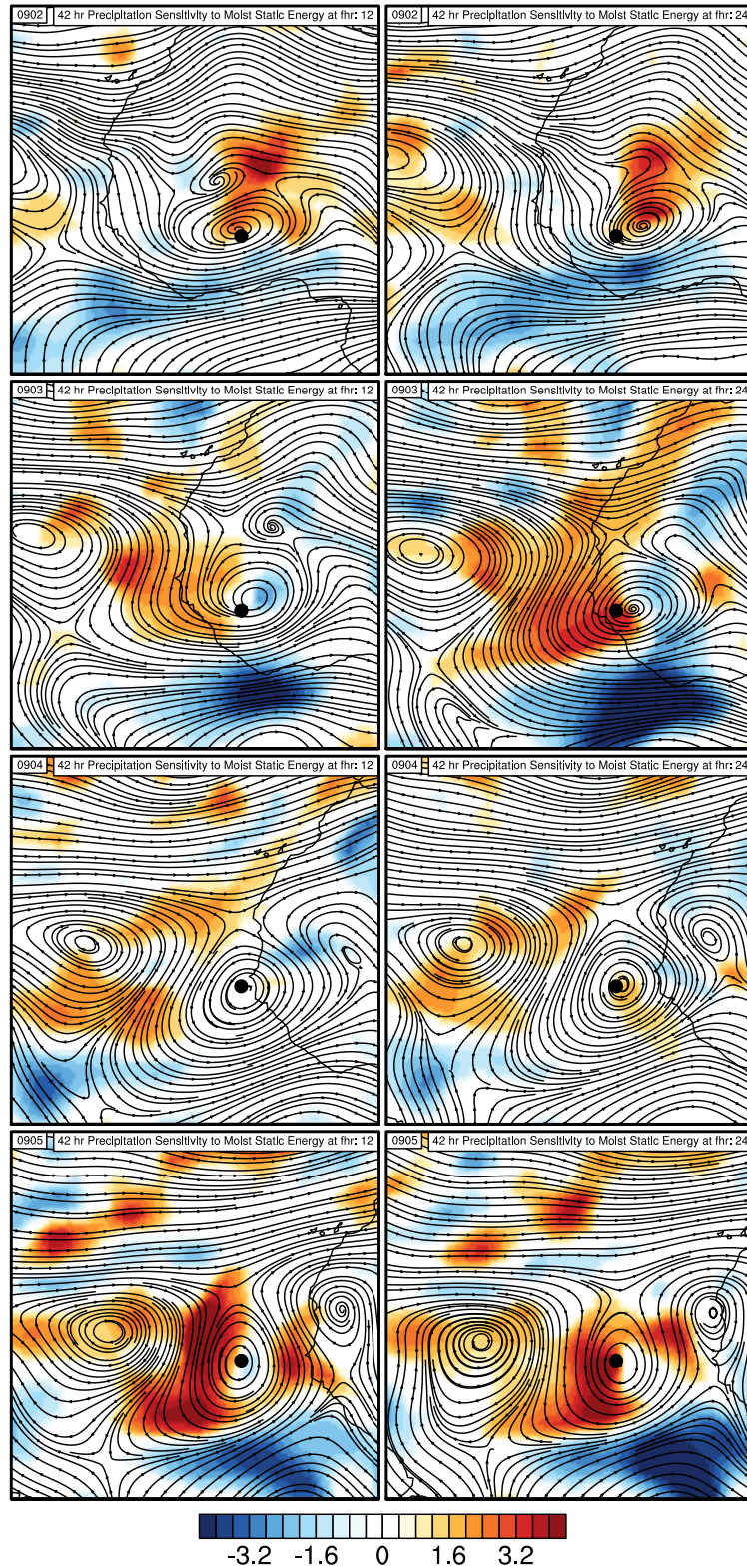
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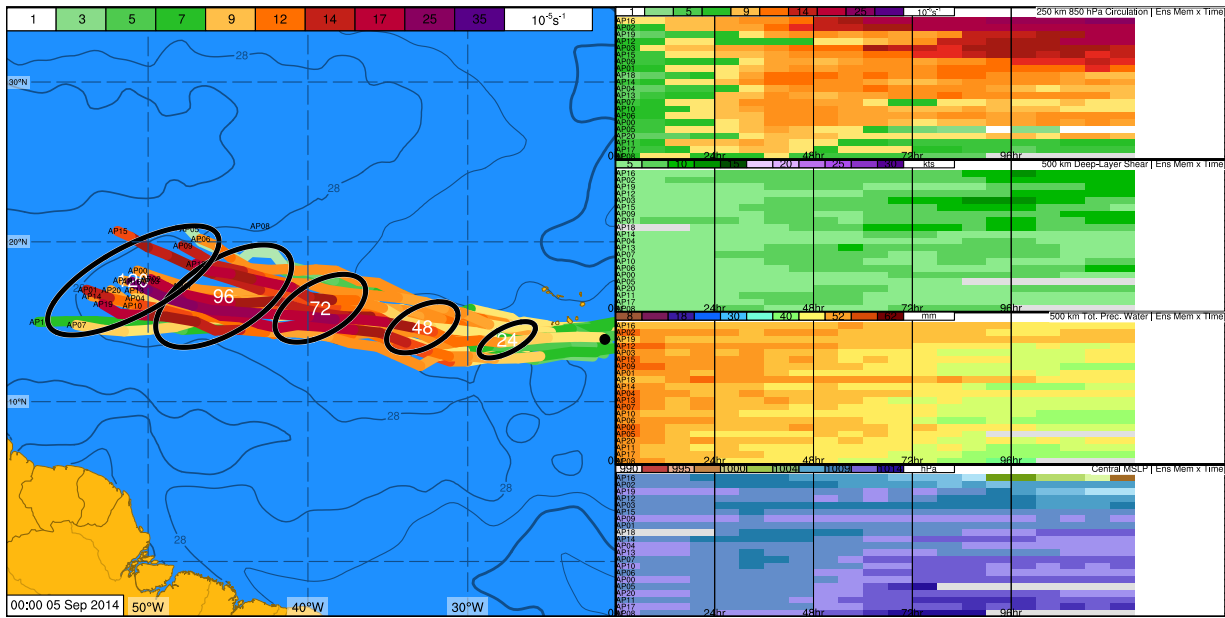
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