



# AMERICAN METEOROLOGICAL SOCIETY

*Bulletin of the American Meteorological Society*

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The DOI for this manuscript is doi: 10.1175/BAMS-D-16-0055.1

The final published version of this manuscript will replace the preliminary version at the above DOI once it is available.

If you would like to cite this EOR in a separate work, please use the following full citation:

Doyle, J., J. Moskaitis, J. Feldmeier, R. Ferek, M. Beaubien, M. Bell, D. Cecil, R. Creasey, P. Duran, R. Elsberry, W. Komaromi, J. Molinari, D. Ryglicki, D. Stern, C. Velden, X. Wang, T. Allen, B. Barrett, P. Black, J. Dunion, K. Emanuel, P. Harr, L. Harrison, E. Hendricks, D. Herndon, W. Jeffries, S. Majumdar, J. Moore, Z. Pu, R. Rogers, E. Sanabia, G. Tripoli, and D. Zhang, 2017: A View of Tropical Cyclones from Above: The Tropical Cyclone Intensity (TCI) Experiment. Bull. Amer. Meteor. Soc. doi:10.1175/BAMS-D-16-0055.1, in press.



## A View of Tropical Cyclones from Above: The Tropical Cyclone Intensity (TCI) Experiment

James D. Doyle<sup>1</sup>, Jon Moskaitis<sup>1</sup>, Joel Feldmeier<sup>2</sup>, Ronald Ferek<sup>2</sup>, Mark Beaubien<sup>3</sup>, Michael Bell<sup>4</sup>, Daniel Cecil<sup>5</sup>, Robert Creasey<sup>6</sup>, Patrick Duran<sup>7</sup>, Russ Elsberry<sup>8</sup>, Will Komaromi<sup>1</sup>, John Molinari<sup>7</sup>, David Ryglicki<sup>9</sup>, Daniel Stern<sup>10</sup>, Chris Velden<sup>11</sup>, Xuguang Wang<sup>12</sup>, Todd Allen<sup>3</sup>, Bradford Barrett<sup>13</sup>, Peter Black<sup>14\*</sup>, Jason Dunion<sup>15</sup>, Kerry Emanuel<sup>16</sup>, Pat Harr<sup>6</sup>, Lee Harrison<sup>6</sup>, Eric Hendricks<sup>6</sup>, Derrick Herndon<sup>11</sup>, William Jeffries<sup>3</sup>, Sharanya J. Majumdar<sup>17</sup>, James Moore<sup>18</sup>, Zhaoxia Pu<sup>19</sup>, Robert Rogers<sup>20</sup>, Elizabeth Sanabia<sup>13</sup>, Gregory Tripoli<sup>21</sup>, Da-Lin Zhang<sup>22</sup>

<sup>1</sup>Naval Research Laboratory, <sup>2</sup>Office of Navy Research, <sup>3</sup>Yankee Environmental Systems, Inc.,  
<sup>4</sup>Colorado State University, <sup>5</sup>NASA Marshall Space Flight Center, <sup>6</sup>Naval Postgraduate School,  
<sup>7</sup>SUNY-Albany, <sup>8</sup>University of Colorado – Colorado Springs, <sup>9</sup>National Research Council,  
<sup>10</sup>University Corporation for Atmospheric Research, <sup>11</sup>University of Wisconsin-CIMSS, <sup>12</sup>  
University of Oklahoma, <sup>13</sup>U.S. Naval Academy, <sup>14</sup>SAIC, <sup>15</sup>HRD-CIMAS, <sup>16</sup>MIT, <sup>17</sup>University  
of Miami, <sup>18</sup>NCAR, <sup>19</sup>University of Utah, <sup>20</sup>NOAA-HRD, <sup>21</sup>University of Wisconsin,  
<sup>22</sup>University of Maryland

\*Current Affiliation: CNT, LLC

Submitted For Publication in the *Bulletin of the American Meteorological Society*

Revised Version

12 March 2017

Corresponding author:

James D. Doyle, Naval Research Laboratory, Marine Meteorology Division, 7 Grace Hopper  
Avenue, Monterey, CA 93943-5502

E-Mail: james.doyle@nrlmry.navy.mil

**ABSTRACT**

36  
37       Tropical cyclone (TC) outflow and its relationship to TC intensity change and structure  
38 were investigated in the Office of Naval Research Tropical Cyclone Intensity (TCI) field pro-  
39 gram during 2015 using dropsondes deployed from the innovative new HDSS (High Definition  
40 Sounding System) and remotely sensed observations from HIRAD (Hurricane Imaging Radi-  
41 ometer), both onboard the NASA WB-57 that flew in the lower stratosphere. Three noteworthy  
42 hurricanes were intensively observed with unprecedented horizontal resolution: Joaquin in the  
43 Atlantic, and Marty and Patricia in the eastern North Pacific. Nearly 800 dropsondes were de-  
44 ployed from the WB-57 flight level of ~60,000 feet (~18 km), recording atmospheric conditions  
45 from the lower stratosphere to the surface, while HIRAD measured the surface winds in a 50 km  
46 wide swath with a horizontal resolution of 2 km. Dropsonde transects with 4–10 km spacing  
47 through the inner cores of Hurricanes Patricia, Joaquin, and Marty depict the large horizontal and  
48 vertical gradients in winds and thermodynamic properties. An innovative technique utilizing  
49 GPS positions of the HDSS reveals the vortex tilt in detail not possible before. In four TCI  
50 flights over Joaquin, systematic measurements of a major hurricane’s outflow layer were made at  
51 high spatial resolution for the first time. Dropsondes deployed at 4 km intervals as the WB-57  
52 flew over the center of Hurricane Patricia reveal in unprecedented detail the inner-core structure  
53 and upper-tropospheric outflow associated with this historic hurricane. Analyses and numerical  
54 modeling studies are in progress to understand and predict the complex factors that influenced  
55 Joaquin’s and Patricia’s unusual intensity changes.

56

57

**CAPSULE SUMMARY**

58 High-resolution observations of Hurricanes Patricia, Joaquin and Marty in 2015 provide new in-  
59 sight into tropical cyclone structure and intensity change as part of the Tropical Cyclone Intensi-  
60 ty field program.

61

## 62 **1. Introduction**

63 Accurate prediction of tropical cyclone (TC) intensity remains one of the great challenges  
64 in atmospheric science today. Previous research programs and field campaigns have focused on  
65 processes in the boundary layer, mid-troposphere and convection, large-scale environment, and  
66 ocean mixed layer, all of which impact TC development and intensification to varying degrees.  
67 Several specialized TC field campaigns over the past 15 years have focused on various aspects of  
68 these processes, including the Coupled Boundary Layers Air-Sea Transfer (CBLAST, Black et  
69 al. 2007) experiment, the Tropical Cloud Systems and Processes (TCSP, Halverson et al. 2007)  
70 experiment, the NASA African Monsoon Multidisciplinary Analysis (NASA-AMMA or  
71 NAMMA, Zipser et al. 2009), The Observing System Research and Predictability Experiment  
72 (THORPEX) Pacific Asian Regional Campaign (T-PARC) and the Office of Naval Research  
73 (ONR) Tropical Cyclone Structure—2008 (TCS-08, Elsberry and Harr 2008), as well as the Im-  
74 pact of Typhoons on Ocean in the Pacific/Tropical Cyclone Structure 2010 (ITOP/TCS10,  
75 D’Asaro et al. 2014) field campaigns. However, the upper-tropospheric TC outflow layer re-  
76 maind largely unexplored until the recent Hurricane and Severe Storm Sentinel (HS3) field  
77 campaign of 2012–2014 (Braun et al. 2017). It has been hypothesized that this upper-  
78 tropospheric layer is a critical one, as changes in the TC outflow can directly cause changes in  
79 the TC secondary circulation (e.g., Holland and Merrill 1984; Merrill 1988; Komaromi and  
80 Doyle 2017). During the HS3 field campaign, the TC outflow layer and secondary circulation  
81 were only probed at limited horizontal resolution due to instrumentation technology limitations.  
82 In the ONR Tropical Cyclone Intensity (TCI) field campaign conducted in 2015, new dropsonde  
83 technology allowed for unprecedented high-fidelity observations of the outflow layer and inner-  
84 core structure of three prominent TCs.

85           The importance of the TC outflow layer in affecting both storm motion (Flatau and Ste-  
86   vens 1993) and structure (Holland and Merrill 1984) has been known for some time. Past obser-  
87   vational studies have documented that intensifying TCs have outflow that links to synoptic-scale  
88   upper-tropospheric flow features, while non-intensifying TCs have no such link (Merrill 1988).  
89   Recent research has further demonstrated that outflow tends to develop in regions where upper-  
90   tropospheric inertial stability is low, and stronger outflow tends to be associated with intensify-  
91   ing TCs (Rappin et al. 2011; Barrett et al. 2016; Komaromi and Doyle 2017). Synoptic-scale  
92   forcing has been found to further reduce upper-tropospheric inertial stability, which favors inten-  
93   sification (Rappin et al. 2011). Additionally, eddy flux convergences of absolute angular mo-  
94   mentum in the upper troposphere from mid-latitude troughs can influence the outflow layer  
95   structure and TC intensity changes in these low inertial stability regions (Merrill 1989; Molinari  
96   and Vollaro 1989). The induced secondary circulation associated with upper-tropospheric TC  
97   outflow varies, depending on the outflow layer characteristics. Of special importance is the azi-  
98   muthal asymmetry of the outflow layer, commonly seen in the form of outflow jet streaks ema-  
99   nating preferentially from different quadrants of the TC depending on the nature of the TC's en-  
100   vironment. Jet streak dynamics play a crucial role in extratropical storm development (e.g., Uc-  
101   cellini 1990) and may have a similar role in TC intensity change.

102           The overarching goal of the TCI program is to improve the prediction of TC intensity  
103   change, especially rapid intensification (RI) and rapid decay (RD), as well as TC structural  
104   changes that are hypothesized to occur through synergistic interaction with outflow. New obser-  
105   vational and modeling research is required to elucidate the connections between the outflow and  
106   inflow/ascent branches of the secondary circulation, and how they vary as a function of the vor-  
107   tex characteristics and TC environmental characteristics in realistic scenarios. During the TCI

108 field campaign in 2015, the outflow layer and inner core of several TCs were observed by drop-  
109 sondes at much higher resolution than in any other previous experiment. We have identified  
110 several key science goals for the TCI program to be addressed using the observational dataset  
111 collected during the field campaign:

- 112 • Understand the coupling of TC outflow with inner-core convection and its implications  
113 for intensity change;
- 114 • Interpret observations of the fine-scale horizontal and vertical structure of the outflow  
115 layer and inner-core regions of the TC;
- 116 • Assess the quantitative impact of assimilating observations in the TC inner core and out-  
117 flow layer on model forecasts of TC track and intensity;
- 118 • Quantify the predictability of TC intensity change and its relationship to outflow-layer  
119 changes using ensembles and adjoint-based modeling systems;

120 The purpose of this paper is to present an overview of the TCI field campaign and to pro-  
121 vide some early scientific highlights. None of these preliminary science results are sufficient to  
122 fully address any of the stated objectives above. However, this overview does demonstrate the  
123 considerable promise of the new observing technology applied during the TCI field campaign.  
124 The organization of the paper follows. The following section describes the WB-57 aircraft and  
125 the TCI instrument payload. Section 3 contains an overview of the TCI field campaign and sec-  
126 tion 4 presents highlights of some of the results from TCI. The summary and concluding re-  
127 marks are given in section 5.

128

## 129 **2. WB-57 Aircraft and TCI Instrument Payload**

130 The TCI field campaign utilized the NASA Johnson Space Center at Ellington Field WB-  
131 57 research aircraft. The typical maximum flight duration is ~6 h and with an aircraft true air-  
132 speed of 380–400 kt (where 1 kt is  $0.51 \text{ m s}^{-1}$ ), this implies a maximum flight distance of ~2200  
133 nm (~4100 km). The WB-57 has a cruising altitude of approximately 18 km or 60,000 ft, such  
134 that the aircraft flies above the TC and its outflow layer, providing an opportunity to sample  
135 from the top of the TC to the ocean surface. For the TCI field campaign, the WB-57 was  
136 equipped with two instruments: the High-Definition Sounding System (HDSS) and the Hurricane  
137 Imaging Radiometer (HIRAD).

138 *a. HDSS and XDD*

139 The HDSS and eXpendable Digital Dropsonde (XDD) technology (Black et al. 2017)  
140 provides a unique capability to sample a TC with a ‘burst’ of dropsondes deployed over a small  
141 time window. For example, the highest sampling rate achieved during a TCI science flight was a  
142 sequence of 46 dropsondes released at 20 s intervals. Sampling using HDSS can capture strong  
143 gradients associated with outflow jet features and inner-core structures that have not been  
144 straightforward to sample in the past.

145 The HDSS is an integrated system of antennas, receivers, and telemetry that receive data  
146 from XDDs, which are then telemetered to the ground via satellite. The measurements in-  
147 clude GPS-based location, altitude, horizontal wind velocity, and dropsonde fall speed at 4 Hz,  
148 pressure, temperature, and humidity at 2 Hz, as well as skin sea surface temperature (SST)  
149 at 1 Hz. The instruments to measure pressure, temperature, and humidity are a pressure trans-  
150 ducer, a fast-response thermistor with digital oversampling, and a relatively slow-response hy-  
151 grometer, respectively. The skin SST is measured with an infrared micro-radiometer at 8–12  $\mu\text{m}$   
152 wavelengths. The physical layout of the XDD Printed Circuit Board (PCB) and sheath are



153 shown in Fig. 1a. The XDD does not use a parachute or drogue. Instead, etched grooves in the  
154 Styrofoam PCB housing provide air pathways between the foam and the cardboard sheath to  
155 maintain a stable descent. The XDD sea-level descent rate is approximately  $18 \text{ m s}^{-1}$ , as com-  
156 pared to  $10\text{--}12 \text{ m s}^{-1}$  for the Vaisala RD-94 sondes used on the NOAA WP-3D and Air Force  
157 WC-130J aircraft (Stern et al. 2016). The HDSS features two cameras to record dropsonde ejec-  
158 tion.

159 The HDSS has been evaluated and validated successfully in a series of test flights on the  
160 following platforms (see Black et al. 2017):

- 161 • Naval Postgraduate School (NPS), Center for Interdisciplinary Remotely-Piloted  
162 Aircraft Studies (CIRPAS) Twin Otter aircraft
- 163 • NASA Wallops Flight Facility (WFF) WP-3D aircraft
- 164 • NASA Armstrong Flight Research Center (AFRC) DC-8, and
- 165 • NASA Johnson Space Center-Ellington Field WB-57 aircraft.

166 TCI is the first program in which HDSS was deployed in the field for science missions.

#### 167 *b. HIRAD*

168 The HIRAD is a four-channel, C-band, synthetic thinned array radiometer (see Fig. 1b)  
169 designed to measure a swath of ocean surface wind speeds in hurricanes. It has been flown on  
170 high-altitude aircraft (NASA Global Hawk and WB-57) in order to map a  $\sim 50 \text{ km}$  wide swath  
171 from individual flight legs across hurricanes. Before the 2015 TCI field campaign, HIRAD over-  
172 flew Hurricanes Earl and Karl in 2010, Hurricane Ingrid and Tropical Storm Gabrielle in 2013,  
173 and Hurricane Gonzalo in 2014.

174 Wind speed retrievals from HIRAD take advantage of the fact that the C-band emissivity  
175 of the ocean surface increases with increasing surface wind speed, due to increased foam cover-

176 age. The four C-band channels also have varying sensitivity to rain, so rain and wind speed can  
177 be retrieved simultaneously. This concept is similar to that employed by the operational Stepped  
178 Frequency Microwave Radiometer (SFMR) (Uhlhorn et al. 2007), which retrieves nadir traces of  
179 wind speed and rain rate from low-altitude aircraft.

### 180 **3. TCI Field Campaign Overview**

#### 181 *a. Field Campaign Concept of Operations*

182 The TCI field campaign operated in an “on-demand” fashion, mobilizing the aircraft and  
183 personnel when a promising opportunity to observe a TC was identified by the mission science  
184 team. This concept of operations was facilitated by the flexibility in basing options for the WB-  
185 57. The aircraft’s home base was Ellington Field in Houston, TX, which is well-positioned for a  
186 flight over a TC in the Gulf of Mexico. However, the aircraft also could be forward deployed to  
187 a wide range of locations in the continental United States, as well as to St. Croix and Bermuda.  
188 Thus, most TCs in the Atlantic basin and TCs in the eastern North Pacific basin near the western  
189 coast of Mexico were potentially accessible by the WB-57 for observation. Ultimately, all TCI  
190 science flights took place from two forward operating locations: (1) Harlingen, TX and (2)  
191 Warner Robbins, GA.

192 The forward deployment process began at least three days before the first science flight  
193 departed from the forward operating base (time line dependent on the forward deployment loca-  
194 tion), in order to move the aircraft, aircraft support equipment, aircraft personnel, instrument per-  
195 sonnel, and a mission science representative to the forward operating base. Daily planning tele-  
196 conferences amongst the mission scientists and forecasters were held to review the latest model  
197 forecasts and make aircraft deployment decisions. Such meetings were held from late July

198 through late October, covering as much of the hurricane season as feasible to maximize observa-  
199 tional opportunities.

200 *b. Science Flight Planning and Management*

201 Once a forward deployment decision was made, the flight planning process began. Mis-  
202 sion scientists worked collaboratively to develop a planned series of flight track waypoints and  
203 dropsonde release locations, which were provided to the pilots for review on the day before the  
204 intended science flight. After takeoff, the science flight was managed remotely by a team of  
205 mission scientists in Monterey, CA. This team was responsible for updating the flight track  
206 waypoints and dropsonde release locations to guide the plane over the TC center during center-  
207 crossing flight legs. The updated waypoints and dropsonde release locations were communicat-  
208 ed to the forward deployed mission scientist representative, who passed this information to the  
209 pilots and instrument operators.

210 *c. Collaborative Observing Programs*

211 Several of the storms observed by TCI, particularly Hurricane Patricia and Hurricane  
212 Joaquin, were also sampled by airborne in situ and remote sensing instruments associated with  
213 observing programs other than TCI, including the U.S. Air Force (USAF) 53<sup>rd</sup> Weather Recon-  
214 naissance Squadron WC-130J tasked by NHC, the NOAA Intensity Forecasting EXperiment  
215 (IFEX; Rogers et al. 2006, 2013) and the U.S. Naval Academy's Training and Research in Oce-  
216 anic and atmospheric Processes In tropical Cyclones (TROPIC) program (Sanabia et al. 2013).  
217 The IFEX measurements taken from the low-level (1.5–4 km flight level) storm-penetrating WP-  
218 3D aircraft included dropsonde kinematic and thermodynamic profiles (Hock and Franklin 1999)  
219 and X-band tail Doppler radar measurements of kinematic and precipitation structure. The com-  
220 bination of high-density, high-altitude dropsonde measurements and wide-swath surface wind

221 speed measurements from the WB-57, along with the Doppler radar measurements from the WP-  
222 3D provided a unique depiction of Patricia’s structure (see Rogers et al. 2017). During the IFEX  
223 flights, the WP-3D aircraft also featured a C-band lower-fuselage radar that provided reflectivi-  
224 ty, flight-level instruments, and the SFMR.

225 For Joaquin, subsurface ocean observations were obtained through deployment of Air-  
226 borne eXpendable BathyThermographs (AXBTs) and Air Launched Autonomous Micro Observ-  
227 er (ALAMO) profiling floats as part of the TROPIC field program. Sixty-three AXBTs and six  
228 ALAMO floats were deployed during four USAF 53<sup>rd</sup> Weather Reconnaissance Squadron WC-  
229 130J missions that took place 2–5 Oct 2015. These observations provide an excellent opportuni-  
230 ty to examine the vertical temperature profile of the upper ocean beneath a hurricane, in conjunc-  
231 tion with the HIRAD surface wind field observations and dropsonde observations from TCI.

#### 232 *d. Summary of TCI Science Flights*

233 A total of 11 TCI science flights were performed investigating four different storms, as  
234 shown in Table 1. There was one flight over the remnants of Tropical Storm Erika, two flights  
235 over Hurricane Marty, and four flights each over Hurricane Joaquin and Hurricane Patricia. Fol-  
236 lowing the experiment, the HDSS dropsonde and HIRAD observations went through a rigorous  
237 quality control process. The dropsonde observations were quality controlled using the Atmos-  
238 pheric Sounding Processing ENvironment (ASPEN) software package along with a subsequent  
239 manual evaluation by a team of TCI scientists, with each data point being reviewed by at least  
240 two scientists (see Bell et al. 2016). For HIRAD, optimal combinations of frequency sub-bands  
241 and antenna elements were identified, and the most reliable portions of the HIRAD data were  
242 given the most weight during generation of products. Further description of the science flights

243 for Marty, Joaquin, and Patricia is provided in the following section, together with observational  
244 highlights demonstrating the unique capabilities of the TCI instrument suite.

#### 245 **4. Highlights**

##### 246 *a. Hurricane Marty*

247 Marty was a short-lived TC that formed, strengthened to a hurricane, and subsequently  
248 dissipated over the waters southwest of Acapulco, Mexico. The National Hurricane Center  
249 (NHC) best track for Marty is shown in Fig. 2a. The storm was designated a tropical depression  
250 by NHC at 1800 UTC 26 Sep. 2015, evolving from a tropical wave that originated in the Atlantic  
251 (Berg 2016a). Marty steadily intensified as it slowly moved north toward the Mexican coast,  
252 reaching a peak intensity of 70 kt at 1800 UTC 28 Sep. Sea-surface temperatures of near 30°C  
253 supported the intensification during this time period. However, as the storm moved north it ap-  
254 proached the base of a large upper-tropospheric trough, such that the 200–850 hPa environmental  
255 vertical wind shear (VWS) gradually increased from 7 kt at 0000 UTC 27 Sep. 2015 to 24 kt at  
256 the time of peak intensity (VWS values as diagnosed by the Statistical Hurricane Intensity Pre-  
257 diction Scheme {SHIPS, DeMaria and Kaplan 1994}, based on the National Centers for Envi-  
258 ronmental Prediction {NCEP} Global Forecasting System {GFS} analysis). After the time of  
259 peak intensity, the VWS separated the deep convection from the low-level center and the storm  
260 quickly weakened while moving parallel to the Mexican coast. Throughout Marty’s brief life  
261 cycle, the outflow primarily flowed toward the east and northeast, joining with the large-scale  
262 upper tropospheric flow associated with the aforementioned trough.

263 Potential development of Marty off the Pacific coast of Mexico was noted in the 10-day  
264 ECMWF ensemble and deterministic forecasts as early as 16 Sep. It was not until much later,  
265 though, that other global and regional dynamical model forecasts also indicated tropical cyclo-  
266 genesis and subsequent intensification. On 24 Sep., the decision was made to forward deploy the

267 WB-57 to Harlingen, TX, in order to maximize on-station time for two science flights over  
268 Marty (which at the time was INVEST 93E). The first flight took place during the afternoon of  
269 27 Sep., when Marty was an intensifying tropical storm. The second flight took place the fol-  
270 lowing day near the time of Marty's peak intensity, with dropsondes deployed over the storm  
271 between 1828 UTC and 2019 UTC, coincident with a U.S. Air Force Reserve WC-130J low-  
272 level reconnaissance mission. The flight tracks and dropsonde launch locations for both Marty  
273 missions are shown in Fig. 2b.

274 The second flight into Marty, on 28 Sep., featured two center-crossing legs, each with a  
275 sequence of high-density dropsonde deployments. The second center-crossing leg was oriented  
276 WSW to ENE, and occurred between 1957 UTC and 2019 UTC. A total of 31 dropsondes were  
277 launched along this leg, with approximately 8 km spacing along most of the leg. This flight leg  
278 was oriented approximately in the direction of the VWS vector (as analyzed by SHIPS), and just  
279 missed the TC center position (estimated from two Air Force fixes, at 1816 UTC and 1928 UTC)  
280 to the south by 6 km. Figure 3 shows cross sections of (a) wind normal to the section and poten-  
281 tial temperature ( $\theta$ ) and (b) wind parallel to the section and  $\theta$ , created from the 31 aforemen-  
282 tioned dropsondes. The high-density dropsondes are able to resolve the downshear tilt of the  
283 vortex, with the sign change in the normal wind at 400 hPa displaced about 30 km downshear  
284 from the sign change in the normal wind at 800 hPa. Little tilt in the normal wind structure is  
285 noted below 800 hPa or above 400 hPa. With the aircraft flight level above 80 hPa, these cross  
286 sections encompass the entire troposphere; the  $\theta$  data indicates a distinct tropopause at about 100  
287 hPa. Below the tropopause there is a separate layer of enhanced thermal stratification around  
288 125 hPa in the center and on the right side of the cross section. Immediately below this stable  
289 layer is a layer of parallel-to-section winds directed from left-to-right. positive values in Fig. 3b).

290 This wind layer is outflow from convection that is concentrated near the TC center, and the en-  
291 hanced thermal stratification is likely located just above the top of the cirrus canopy accompany-  
292 ing the outflow, as often seen for similar dropsonde-based wind and temperature profiles taken  
293 over TCs in the HS3 experiment (Braun et al. 2017; note that HS3 obtained cloud top height in-  
294 formation co-incident with the dropsonde observations via the Cloud Physics Lidar instrument)  
295 Further analysis and modeling is needed to understand the complex upper-tropospheric/lower-  
296 stratospheric wind and temperature structure as revealed by the high-density dropsonde deploy-  
297 ments performed over Marty, Joaquin, and Patricia. Specific research topics that should be ad-  
298 dressed include the cause of the diurnal cycle in the TC cirrus canopy (Dunion et al. 2014) and  
299 the relationship between the stratification of the outflow and TC structure and intensity (Emanuel  
300 and Rotunno 2011; Emanuel 2012).

301 *b. Hurricane Joaquin*

302 Joaquin was a late-season Atlantic hurricane that attained a peak intensity of 135 kt,  
303 which was the most intense Atlantic hurricane since Igor (2010). The NHC best track for  
304 Joaquin is shown in Fig. 4a. Joaquin developed from an incipient disturbance of extratropical  
305 origin, and eventually acquired enough tropical characteristics to be designated a tropical depres-  
306 sion by NHC at 0000 UTC 28 Sep. 2015 (Berg 2016b). As Joaquin slowly moved southwest-  
307 ward into the Central Bahamas, it rapidly intensified to 120 kt until it reached its southernmost  
308 point, at 0000 UTC 2 Oct. Joaquin then turned toward the northeast and accelerated away from  
309 the Bahamas as it began to be steered by a deep-layer trough over the eastern U.S. The TC  
310 reached peak intensity of 135 kt at 1200 UTC 3 Oct. over an SST of  $\sim 30^{\circ}\text{C}$  northeast of the Ba-  
311 hamas. Rapid decay of 50 kt in 30 h occurred as Joaquin moved northeastward into an environ-  
312 ment of lower SSTs and VWS of 25–30 kt (analyzed by SHIPS). However, this rapid decay was

313 interrupted and Joaquin maintained an intensity of 75 kt from 0000 UTC 5 Oct. through 0000  
314 UTC 7 Oct. under more moderate VWS conditions.

315         After the second Marty mission on 28 Sep., the TCI team decided to immediately re-  
316 deploy the WB-57 to Robbins AFB near Macon, GA for a sequence of missions over the devel-  
317 oping Joaquin (at that point Tropical Depression 11L). The first Joaquin flight occurred on 2  
318 Oct., with drops launched between approximately 1600 UTC and 2000 UTC. During this flight  
319 Joaquin was a Category 3 hurricane over the central Bahamas. Daily flights to Joaquin with sim-  
320 ilar timings occurred through 5 Oct., for a total of four flights. The 3 Oct. flight captured  
321 Joaquin just after peak intensity, the 4 Oct. flight sampled a rapidly weakening Joaquin ap-  
322 proaching Bermuda, and the 5 Oct. flight observed a broad, steady-state TC. Figure 4b shows  
323 the flight tracks and dropsonde release locations for the four Joaquin science flights, superim-  
324 posed on a montage of infrared satellite imagery depicting Joaquin at the times of the four  
325 flights.

326         Azimuthally-averaged radius-pressure cross-sections of tangential wind and  $\theta$  anomalies  
327 have been computed based on the dropsondes deployed during the four flights over Hurricane  
328 Joaquin (Fig. 5). Dropsonde data are first averaged in 5-hPa increments in the vertical, interpo-  
329 lated to an x-y grid on each pressure level with 10-km grid spacing, and finally averaged in azi-  
330 muth. The horizontal interpolation is performed using a natural neighbor technique (Sibson  
331 1981). Anomalies of  $\theta$  are computed with respect to the mean horizontally-interpolated envi-  
332 ronment in an annulus of 500–1500 km radius relative to the TC. Note that the spacing of the  
333 dropsonde release points was 10 km or less in the inner-core region, and ranged from 20–50 km  
334 spacing at locations farther from the TC center for all the Joaquin flights. Since the dropsondes  
335 are concentrated at smaller radii, with the majority of the drops occurring within 300 km of the



336 center of the TC, data at larger radii are supplemented by nearby 0000 and 1200 UTC radio-  
337 sondes deployed from Bermuda, Jacksonville, FL, Miami, FL, Newport, NC, and Nassau, Baha-  
338 mas. Bermuda also released several special 1800 UTC radiosondes as the island was directly  
339 affected by Joaquin. In addition to helping to fill gaps in the missing wind data, these radio-  
340 sondes are also critical in generating the environmental reference profile from which the  $\theta$ -  
341 anomalies are computed.

342 The evolution of Hurricane Joaquin was observed by TCI missions in 24-h increments  
343 from approximately 1800 UTC 2 Oct. through 1800 UTC 5 Oct. (Fig. 5). It is clear from these  
344 analyses that the vortex was the most intense during the flight on 3 Oct., with azimuthal mean  
345 tangential wind velocities of  $\sim 50 \text{ m s}^{-1}$  at 900 hPa. This value corresponds nicely with the NHC  
346 best track that has the official peak intensity of 135 kt occurring at 1200 UTC 3 Oct., shortly be-  
347 fore the 3 Oct. flight. The vortex is the deepest in the vertical on 3 Oct., and the warm core is the  
348 strongest with a magnitude of  $>16 \text{ K}$ . While there is some evidence of a secondary warm  
349 anomaly from 700–800 hPa, in particular during the flights on 4 and 5 Oct., the primary warm  
350 anomaly remains quite steadily positioned from 350–200 hPa for all four flights. By the times of  
351 the latter two flights, and particularly the 5 Oct. flight, it is clear that the radius of maximum  
352 wind (RMW) has expanded considerably, as is typical of a recurving TC approaching higher lati-  
353 tudes (Mueller et al. 2006; Kossin et al. 2007). A steady weakening trend is also evident as the  
354 TC enters an environment associated with greater VWS and lower SSTs.

355 Figure 6 shows a summary of the HIRAD 10-m wind speed retrievals based on observa-  
356 tions obtained during the four Joaquin flights. For the 2 Oct. flight (near The Bahamas) and 4  
357 Oct. flight (near Bermuda) there were two center crossings, but only data from the second center  
358 crossing is shown in full due to the overlapping nature of the flight track. The 3 Oct. flight also

359 has two center crossings, but they are sufficiently displaced such that much of the data from the  
360 first crossing can be seen as well as the entire second crossing. This flight, just after the time of  
361 peak intensity, shows a highly asymmetric 10-m wind field with the strongest winds localized in  
362 the eastern eyewall. In addition to the more asymmetric wind field on 3 Oct. relative to 2 Oct.,  
363 the eye size is considerably smaller on 3 Oct. relative to the day prior, consistent with the smaller  
364 RMW in the azimuthally-averaged tangential winds observed by the dropsondes (see Figs. 5a  
365 and 5b). The 10-m wind speeds on 4 and 5 Oct. are considerably lower than on 2 and 3 Oct.,  
366 which is consistent with the azimuthally-averaged dropsonde analyses in Figs. 5c and 5d.

367         The HIRAD and dropsonde data both indicate that a considerable change in the structure  
368 and intensity of the vortex took place between the 2 Oct. and 3 Oct. flights. Joaquin's outflow  
369 pattern also evolved substantially during this time period, influenced by the complicated evolu-  
370 tion of the upper-level synoptic conditions surrounding the TC. Early in its existence, 29 and 30  
371 Sep., Joaquin's upper-level flow was influenced by a large anticyclone centered over the Gulf of  
372 Mexico. This potentially aided in creating a persistent southward outflow jet on Joaquin's eastern  
373 side, as is evident at 0715 UTC 2 Oct. (Fig. 7a). Joaquin stalled over the Bahamas in weak steer-  
374 ing flow between an upper-level low approaching from the northeast and a deep trough ap-  
375 proaching from the west. This change in the upper-level environment resulted in a shift of the  
376 outflow from primarily southward-directed on 2 Oct. to primarily eastward-directed on 3 Oct.  
377 (see Fig. 7b, valid at 1015 UTC 3 Oct.) due to the upper-level low. Additionally, a second,  
378 northward-directed outflow channel developed by 2 Oct. and persisted through 3 Oct., as the  
379 aforementioned deep trough impinged on Joaquin from the west. Further research is necessary  
380 to elucidate the relationship between the evolution of the upper-tropospheric conditions shown  
381 here and the coincident changes in the vortex revealed by the dropsonde and HIRAD data.

382           As demonstrated by the above analyses, a major achievement of the TCI field campaign  
383 was the deployment of high-density dropsondes during TC center overpasses. If these soundings  
384 are to be plotted in a storm-relative coordinate system for diagnostic studies, the TC center loca-  
385 tion must be known to high accuracy. Creasey and Elsberry (2017) have developed a method to  
386 calculate the zero wind center (ZWC) position from a sequence of dropsondes deployed during  
387 these high-altitude TC center overpasses. Their approach is similar to the Willoughby and Chel-  
388 mow (1982) technique in that it utilizes the intersections of bearings normal to the wind direc-  
389 tions across the center to locate the ZWC position. For this application, the bearings are normal  
390 to the average wind directions over 1 km layers, and are calculated every 200 m in the vertical  
391 from the highly accurate GPS observations. An iterative procedure is used to also account for the  
392 storm translation during the dropsonde deployment.

393           An example of the 200 m interval ZWC positions from three dropsondes deployed during  
394 the first center overpass of Hurricane Joaquin on the 4 Oct. flight (near 1800 UTC 4 Oct.) is giv-  
395 en in Fig. 8, which shows that the intersection of these bearing lines indicate that the 3.5 km  
396 ZWC is at  $31.73^{\circ}\text{N}$ ,  $66.52^{\circ}\text{W}$ . Using these same three HDSS dropsondes, the ZWC at 9.5 km is  
397 at  $31.74^{\circ}\text{N}$ ,  $66.38^{\circ}\text{W}$ , which is about 13.3 km almost due east of the 3.5 km ZWC. Based on the  
398 HIRAD 10-m wind speeds retrievals, the estimated ZWC at the surface is  $31.69^{\circ}\text{N}$ ,  $66.58^{\circ}\text{W}$ .  
399 While this HIRAD position is displaced about 6.7 km to the south and 5.7 km to the east of the  
400 3.5 km ZWC, it is uncertain whether these position differences are due to the elevation differ-  
401 ences associated with the vortex tilt that is evident in Fig. 8. Just one hour later during the second  
402 center overpass of Joaquin, the 3.5 km ZWC position is at  $31.88^{\circ}\text{N}$ ,  $66.44^{\circ}\text{W}$ , and the 9.5 km  
403 ZWC is about 19.6 km to the northeast (not shown). The implication is that during the one hour  
404 elapsed since the first center overpass the vortex became more tilted. In summary, the ZWC po-

405 sitions from the two center overpasses on the 4 Oct. flight indicate that the Joaquin vortex tilts  
406 from 1 km to 10 km elevation and rotates cyclonically eastward. Work is in progress to relate  
407 these vortex tilts to the environmental VWS or to an embedded mesoscale vortex.

408 *c. Hurricane Patricia*

409 Patricia was an eastern North Pacific TC that over a lifetime of just 4.5 days, formed, rap-  
410 idly intensified into the most intense hurricane on record (185 kt peak intensity), and then rapidly  
411 weakened just before landfall in Mexico (Kimberlain et. al 2016; Rogers et al. 2017). The NHC  
412 best track for Patricia is shown in Fig. 9a. Patricia was declared a tropical depression at 0600  
413 UTC 20 Oct. and moved west followed by a more northwestward trajectory into an environment  
414 of negligible environmental VWS and SSTs greater than 30°C. Intensification was steady but  
415 not out of the ordinary at first, with the TC reaching 35 kt at 0000 UTC 21 Oct. followed by  
416 more rapid intensification reaching 60 kt at 0000 UTC 22 Oct. Over the next 36 h, Patricia ex-  
417 plosively intensified to a remarkable peak of 185 kt at 1200 UTC 23 Oct. By this time, the TC  
418 had turned to the north in response to a trough approaching from the west, and would subse-  
419 quently move north-northeast until landfall at 2300 UTC 23 Oct. Shear associated with the  
420 aforementioned trough increased just before landfall (SHIPS-diagnosed VWS increased from 6  
421 kt at 1800 UTC 23 Oct. to 20 kt at 0000 UTC 24 Oct.), and together with the emergence of a  
422 secondary eyewall, promoted rapid weakening of the storm to 130 kt at landfall. Detailed infor-  
423 mation regarding Patricia's evolution, along with observational data from both TCI and IFEX,  
424 can be found in Rogers et al. (2017).

425 On 17 Oct. the TCI team decided to begin the process of forward deploying the plane to  
426 Harlingen, TX, to be in position for the predicted development of INVEST 97E into a TC off the  
427 western coast of Mexico. The first of a sequence of four daily flights took place on the afternoon

428 of 20 Oct., while Patricia was a tropical depression. This flight was a combined mission between  
429 TCI and the NOAA/NASA Volcano-plume Investigation Readiness and Gas-phase and Aerosol  
430 Sulfur (VIRGAS) experiment, and only 13 dropsondes were released due to limited on-station  
431 time. The first TCI-only mission into Patricia occurred the next day, 21 Oct., with a full com-  
432 plement of dropsondes released over the TC from approximately 1900 to 2100 UTC. During this  
433 TCI flight there was a coincident NOAA WP-3D low-level reconnaissance mission to observe  
434 the steadily intensifying Tropical Storm Patricia. Another TCI flight took place on 22 Oct., with  
435 dropsondes released over Patricia between approximately 1800 UTC and 2000 UTC, again coin-  
436 cident with a NOAA WP-3D low-level reconnaissance mission. This flight observed Patricia as  
437 an explosively intensifying Category 4 hurricane. The final TCI mission into Patricia took place  
438 on 23 Oct., with dropsondes released between approximately 2000 UTC and 2200 UTC, accom-  
439 panied again by a NOAA WP-3D low-level reconnaissance mission. This flight captured Cate-  
440 gory 5 Patricia just after its peak intensity, during the rapid weakening phase leading up to land-  
441 fall. Fig. 9b shows the four flight tracks and dropsonde release locations, overlaid on infrared  
442 satellite imagery collected while the WB-57 was over the storm.

443 In contrast to Joaquin, the dropsonde-based azimuthal mean cross sections through Hurri-  
444 cane Patricia reveal a steady intensification trend throughout the observational period (Figs. 10a-  
445 d), with the final mission on 23 Oct. occurring shortly after Patricia attained a peak intensity of  
446 185 kt. During this final flight, the strongest winds were found quite unexpectedly near 600 hPa  
447 as opposed to at the top of the boundary layer (~900 hPa). The RMW was also found to contract  
448 significantly with time, ultimately resulting in an extremely compact core. In fact, for the final  
449 two flights, interpolation to a 10 km grid is too coarse to adequately resolve Patricia's inner core,  
450 where the dropsonde spacing was locally as small as 4 km. However, due to a number of factors,

451 including the evolution of mesoscale storm structure and interpolation of two separate TCI pass-  
452 es through Patricia at different times, interpolation to a finer grid results in some unrealistic arti-  
453 facts, so only the 10-km analyses are shown. Patricia's warm core anomaly also intensified  
454 steadily in time, with a peak anomaly of 21 K on 23 Oct. The upper-level warm core associated  
455 with Patricia at hurricane strength (22 Oct. and 23 Oct.) was found to be at least 100 hPa higher  
456 than that of Joaquin, with the greatest warm anomaly occurring from 150–100 hPa<sup>1</sup>. This differ-  
457 ence in height of the upper-level warm core may be due, at least in part, to a higher tropopause,  
458 colder outflow temperatures, and a higher Maximum Potential Intensity (MPI) associated with  
459 Patricia (Emanuel 1986).

460 For the final Patricia flight on 23 Oct. there was only time for the aircraft to make one  
461 pass over the center before it moved too close to land (see the lower right panel of Fig. 9b). For  
462 this pass, 46 dropsondes were released in a 200 km transect over the TC center, for an average  
463 spacing of 4.4 km, the highest horizontal resolution utilized for any center crossing during the  
464 TCI campaign. The density of the dropsondes, combined with the fact that the transect essential-  
465 ly overflew the center of a Category 5 hurricane (one dropsonde fell almost vertically through  
466 the eye) make this a unique and unprecedented dataset.

467 To provide some context regarding the horizontal structure of the vortex during the 23  
468 Oct. center transect, the HIRAD 10-m wind speed retrievals along the transect are shown in Fig.  
469 11. The eye and primary eyewall are readily apparent. The primary eyewall has a pronounced  
470 asymmetry, with winds greater than 70 m s<sup>-1</sup> on the SW side but only 40-50 m s<sup>-1</sup> winds on the  
471 NE side. The eye is very small compared with Joaquin (as shown in Fig. 6), and for such a com-  
472 pact storm HIRAD reveals the complete structure of the inner-core 10-m wind field in a single

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<sup>1</sup> Note that in contrast, Rogers et al. (2017) find Patricia's warm core on 23 Oct. to be strongest around 600 hPa. However, height of the maximum warm anomaly was found to be quite sensitive to the chosen reference temperature profile and interpolation technique.

473 pass. Near the southeastern edge of the HIRAD swath, there is a secondary wind maximum with  
474 10-m wind speeds locally as high as  $50 \text{ m s}^{-1}$ . This feature is separated from the primary eyewall  
475 by a moat of much weaker winds. Microwave satellite imagery and WP-3D lower fuselage radar  
476 observations (see Figs. 11 and 12c, respectively of Rogers et al. (2017)) indicate that the second-  
477 ary wind maximum observed by HIRAD is accompanied by enhanced convective activity, which  
478 encircles most of the inner core. Although it is not clear from the HIRAD observations that a  
479 secondary wind maximum exists to the NW of the inner core, the presence of the secondary wind  
480 maximum to the SE of the inner core together with the coincident observations of enhanced con-  
481 vection suggest that a secondary eyewall formed around much of the storm before landfall on 23  
482 Oct.

483 Figure 12 shows the horizontal trajectories of a subset of the WB-57 dropsondes from the  
484 flight over Patricia on 23 Oct., overlaid on the horizontal wind speed at 2 km height from the  
485 WP-3D Doppler wind analysis (provided by NOAA/HRD). The wind speed shown is a compo-  
486 site from two individual “swath” analyses (Rogers et al. 2012), centered at 1733 UTC and 2033  
487 UTC, respectively. Figure 12 illustrates the high-density sampling capabilities of the HDSS sys-  
488 tem, as the WB-57 was releasing dropsondes approximately every 4 km (20 s), while traversing  
489 the eyewall from SE to NW. A distinct secondary wind maximum can be seen at 40–50 km ra-  
490 dius in the eastern semicircle of Patricia, and this maximum was sampled by both the dropsondes  
491 and HIRAD (see Fig. 11, near the southeast edge of the swath). Because of the very small size  
492 of Patricia and the relatively coarse 5-km horizontal grid spacing of this Doppler analysis, the  
493 structure of the inner wind maximum cannot be fully seen here<sup>2</sup>. The HDSS dropsondes are able  
494 to help fill in this gap in coverage. Note that since the dropsondes move with the horizontal

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<sup>2</sup> Note that Rogers et al. (2017) present an analysis with 1.5 km grid spacing (their Fig. 13) that is able to resolve more of the inner core wind field, although gaps remain within the eye and southwest eyewall.

495 wind, they can drift substantially as they fall from the lower stratosphere to the surface. This is  
496 most pronounced in the inner core, where a few dropsondes were advected more than halfway  
497 around the eyewall, due to the combination of high wind speeds and small radius.

498 Figure 13a shows a vertical cross section of the horizontal wind speed through the center  
499 of Patricia, produced using the dropsondes shown in Fig. 12. The dropsondes are spaced irregu-  
500 larly in radius and height, and the radius of a given dropsonde is variable in time. We use the  
501 HRD 2-minute center positions (based on the WP-3D flight-level data) to calculate the radial lo-  
502 cation of each dropsonde at each time. In order to construct a regular cross section, we assign  
503 each dropsonde to a fixed radius corresponding to the mean over all heights, and bin-average the  
504 wind speed of each dropsonde every 100 m. Consistent with Figs. 11 and 12, a secondary wind  
505 maximum can be seen in the SE side of the cross section from 40–50 km radius and below 4 km  
506 height. The SE inner eyewall exhibits an unusual structure, with both the expected boundary  
507 layer wind speed maximum and a *stronger* maximum at about 6 km. This mid-level maximum is  
508 not an artifact of a single dropsonde, as local maxima at about the same height can be seen in at  
509 least seven other dropsondes. Unfortunately, several dropsondes released into the NW eyewall  
510 largely failed, precluding analysis. Additionally, it is unclear from the dropsondes alone whether  
511 the structure seen on the SE eyewall is robust, given the complications induced by dropsonde  
512 drift and limited sampling of the extremely compact inner core.

513 To further investigate the eyewall structure, we compared the dropsonde analysis to the  
514 Doppler wind analysis from 2033 UTC, about 30 minutes after the WB-57 overflew the eye.  
515 Note that this analysis is obtained using the two-dimensional “profile” method described in Rog-  
516 ers et al. (2012), and has along-track (i.e., radial) and vertical grid spacings of 1.5 km and 0.15  
517 km, respectively (Figure 13b). Note that the WP-3D also flew from SE to NW, and so the orien-



518 tations of the cross sections in Fig. 13 are nearly identical. It can be seen that the overall struc-  
519 ture of the inner-core wind field is approximately the same in the Doppler and dropsonde anal-  
520 yses: an inner wind maximum at about 10 km radius, and a shallow outer maximum at 40–50 km  
521 radius, more pronounced to the SE. The mid-level absolute maximum in the inner eyewall is al-  
522 so clearly evident in the Doppler analysis (also see Fig. 15c of Rogers et al. 2017), and it can be  
523 seen that this anomalous structure is additionally present in the NW eyewall. Although atypical,  
524 this mid-level maximum has been seen in a few other intense and/or small TCs, and is hypothe-  
525 sized to be a manifestation of unbalanced flow (Stern et al. 2014). We are continuing to investi-  
526 gate the dynamics of this phenomenon.

527         Figure 14 illustrates the capability of the HDSS dropsondes to resolve fine-scale struc-  
528 tures using data from the high-density inner-core transect of Patricia on 23 Oct. The release loca-  
529 tions of the dropsondes from this transect are shown in Fig. 14a, overlaid on an infrared bright-  
530 ness temperature image from 2000 UTC 23 Oct. Fig. 14b shows a radius-height cross-section of  
531  $\theta$  created from these dropsondes. The dropsonde data were interpolated to 100-m vertical levels  
532 following Molinari and Vollaro (2010) and plotted in radial coordinates relative to the storm cen-  
533 ter – defined as the TCI dropsonde deployment location nearest the storm track interpolated be-  
534 tween two NOAA P-3 center fixes at 1733 and 2033 UTC. Wherever possible, linear interpola-  
535 tion was performed across missing values in the radial direction. This analysis does not account  
536 for dropsonde drift, but that effect is small above 9 km.

537         A distinct wavelike disturbance exists about 60–130 km northwest of the storm center  
538 (Fig. 14b), which might represent inertia-gravity waves. These waves exhibit a nearly constant  
539 horizontal wavelength of about 10 km, extend vertically from about 12 km to the tropopause, and  
540 reach maximum amplitude near 14 km. The peak displacements of the isentropes are nearly hori-

541 zontal, suggesting that the waves have minimal vertical propagation. The waves could potentially  
542 be ducted in the outflow layer, similar to what was seen in thunderstorm anvils by Fovell et al.  
543 (2006). Knox et al. (2010) described bands in the upper troposphere of a hurricane with a similar  
544 horizontal wavelength, but no vertical structure could be identified in their study. To our  
545 knowledge this is the first time such features have been resolved by dropsondes in a hurricane.

546 As discussed in Rogers et al. (2017), real-time intensity predictions from operational dy-  
547 namical (and statistical) models severely underpredicted Patricia's phenomenal rate of intensifi-  
548 cation. It is important to understand why this occurred, necessitating investigation into deficien-  
549 cies in the dynamical models and their initial conditions. Towards this end, we quantify the im-  
550 pact of the various observing systems on model initial conditions for Hurricane Patricia. The  
551 Hurricane Weather Research and Forecasting (HWRF, Tallapragada et al. 2015) model is used in  
552 this demonstration with horizontal grid spacing of 0.135, 0.045, and 0.015 degrees (approximate-  
553 ly 18, 6, 2 km) for the outermost, intermediate, and innermost nested grid domains.

554 A newly developed gridpoint statistical interpolation (GSI)-based, continuously cycled,  
555 dual resolution, hybrid Ensemble Kalman Filter-Variational (EnKF-Var) data assimilation (DA)  
556 system for HWRF is used in this demonstration. Detailed description of the system is included  
557 in Lu et al. (2016), and Lu and Wang (2017a). Briefly, the ensemble covariance provided by the  
558 HWRF EnKF is used to estimate the flow-dependent background error covariance and is ingest-  
559 ed during the GSI variational minimization using the extended control variable method (e.g.,  
560 Wang et al. 2008; Wang 2010; Wang et al. 2013). To minimize computational cost, a dual-  
561 resolution DA configuration is used, in which the 2-km innermost grid ingests the ensemble co-  
562 variance from the 6-km intermediate grid. A new, prescribed moving nest strategy is adopted to  
563 enable continuous DA and forecast cycling for ensemble-based DA methods. Following the op-

564 erational HWRP, DA is only performed on the 2-km and 6-km grids. The outermost domain is  
565 updated using the GFS analysis.

566         Several experiments were conducted to investigate the impact of assimilating the drop-  
567 sonde data collected by the TCI and IFEX field campaigns on the analysis of Hurricane Patricia.  
568 The continuously cycling HWRP hybrid DA system was started on 1800 UTC 20 Oct., when Pa-  
569 tricia was at its incipient stage, and ended on 1200 UTC 24 Oct., when Patricia weakened to a  
570 tropical depression over land. In these experiments, observations from the National Weather  
571 Service (NWS) data stream that are used by operational HWRP are assimilated for both the 6-km  
572 and 2-km domains. Here we focus only on assimilating the TCI and IFEX data around the time  
573 of the third TCI mission, such that all experiments use the same first guess forecast, valid at 1800  
574 UTC 22 Oct., from the continuously cycled hybrid DA system as their background. The anal-  
575 yses valid at 1800 UTC 22 Oct. and the subsequent forecasts initialized from these analyses are  
576 evaluated.

577         The “Back” experiment utilized no DA at 1800 UTC 22 Oct. and therefore the back-  
578 ground state valid at this time is used to initialize the subsequent forecast. “Base” denotes the  
579 baseline experiment in which observations from the NWS data stream are assimilated. “TCI”  
580 denotes the experiment that assimilated the HDSS dropsonde observations from the TCI field  
581 campaign. For comparison, another experiment “TDR” was conducted assimilating the radial  
582 velocity observations from the tail Doppler radar on board the NOAA WP-3D.

583         Figure 15 shows the horizontal wind analysis at 1 km height valid at 1800 UTC 22 Oct.  
584 from all the aforementioned experiments. The HRD radar composite is used as verification (Fig.  
585 15a). Patricia, as represented by “Back” (Fig. 15b) without assimilating any data, is much larger  
586 than in reality. The wind maximum in “Back” is in the southeast quadrant rather than the north-

587 ern semicircle as observed. “Base” (Fig. 15c) shows nearly no correction of the low-level inner-  
588 core structure relative to “Back”. In contrast, the assimilation of TCI dropsonde data (Fig. 15d)  
589 significantly reduces the size of the storm and shifts the wind maximum to the north, consistent  
590 with the independent verification from the HRD radar composite. The “TCI” wind analysis  
591 shows an even tighter storm than “TDR” (Fig. 15e), with the winds in southwest quadrant more  
592 consistent with the verifying radar composite. In summary, assimilating TCI dropsonde data ef-  
593 fectively confines the inner-core of Patricia to a realistic size, in contrast to the much larger vor-  
594 tex seen in the first guess (“Back”) or without assimilating inner-core data (“Base”). Studies of  
595 the impact of various sources of data on other aspects of the analysis and on track, structure and  
596 intensity forecasts of Patricia are ongoing (e.g., Lu and Wang 2017b), including studies using the  
597 Navy’s operational COAMPS-TC system (Doyle et al. 2014).

## 598 **5. Summary and Outlook**

599 In the 2015 ONR TCI field campaign, TC outflow and its relationship to intensity change  
600 and TC structure were investigated using dropsondes deployed from the High-Definition Sound-  
601 ing System (HDSS) and remotely sensed observations from the Hurricane Imaging Radiometer  
602 (HIRAD), both onboard the high-altitude NASA WB-57 research aircraft. Hurricanes Joaquin in  
603 the Atlantic, and Marty and Patricia in the eastern North Pacific were intensively observed, with  
604 nearly 800 dropsondes yielding atmospheric profiles from the lower stratosphere to the surface at  
605 high horizontal and vertical resolution, along with HIRAD measurements of surface winds in a  
606 50 km wide swath with a horizontal resolution of 2 km.

607 Dropsonde transects with 4–10 km spacing through the inner cores of Hurricanes Marty,  
608 Joaquin, and Patricia reveal fine-scale structures in the wind and thermodynamic fields. For  
609 Marty, dropsondes resolve the tilt of the TC vortex and capture strong gradients in wind and  $\theta$  at

610 the tropopause and the top of the TC outflow layer. In the flights over Joaquin, systematic  
611 measurements of the TC outflow layer were made at high spatial resolution for the first time for  
612 a major hurricane, highlighting the complex interaction of Joaquin's outflow with multiple syn-  
613 optic-scale features associated with the TC's unusually unpredictable track and intensity. En-  
614 hanced satellite data (e.g. rapid-scan Atmospheric Motion Vectors) during Joaquin reveal new  
615 aspects of the hurricane outflow layer structure. In Patricia, high-resolution dropsonde observa-  
616 tions capture fine-scale TC structures such as an elevated wind maximum in the inner core, oscil-  
617 latory potential temperature features that are consistent with gravity waves, and detailed inner-  
618 core structure from the surface to the tropopause. Surface wind speed swaths obtained by  
619 HIRAD for the three aforementioned storms characterize the size and asymmetry of the inner-  
620 core surface wind field.

621         The observations taken during TCI provide opportunities to examine tropical cyclone  
622 structure and processes in new ways, particularly when utilized in conjunction with observational  
623 data from other field campaigns (e.g. Figs. 12 and 13). For instance, the capability to measure  
624 the inner core of tropical cyclones from the lower stratosphere to the surface can be examined  
625 from a more general perspective including both TCI and HS3 measurements. In the combined  
626 analysis, all Marty, Joaquin, and Patricia flights are included. From HS3, all missions investigat-  
627 ing TCs declared by NHC (no invests) with at least one dropsonde pass over the core are includ-  
628 ed. In this example we explore the magnitude of the maximum  $\theta$  anomaly associated with the  
629 warm core. For each mission, a single value has been assigned for the magnitude of the maxi-  
630 mum  $\theta$  anomaly associated with the warm core, and is plotted as a function of TC intensity (Fig.  
631 16a). Note that there is a strong positive relationship between strength of the warm core and TC  
632 intensity, as should be expected for a balanced vortex (Shapiro and Willoughby 1982). Outflow

633  $\theta$ , defined as the  $\theta$ -level associated with the strongest 0–500 km mean radial outflow, is then  
634 plotted versus the  $\theta_e$  level associated with the strongest 0–500 km mean radial inflow (Fig. 16b).  
635 Here a fairly robust positive relationship is also observed, which may have implications for po-  
636 tential intensity (Emanuel 1986). We hope to further leverage the combined data from HS3 and  
637 TCI, as well as other field experiments, in future studies.

638         Looking forward, the demands for high-resolution TC observations such as those ob-  
639 tained from HDSS dropsondes and HIRAD retrievals during TCI are greater than ever. Numeri-  
640 cal models of TCs continue to increase in horizontal and vertical resolution, outstripping our  
641 ability to routinely validate such simulations and forecasts. Incorporating high-resolution obser-  
642 vations into advanced data assimilation systems is already showing considerable promise (e.g.  
643 Fig 15). High-fidelity observations are also needed to guide emerging theories of TC intensifica-  
644 tion that involve a complex interplay of processes that take place on a range of spatial scales. In  
645 the future, additional high-resolution dropsonde and surface observations, such as those from  
646 HDSS and HIRAD, will be necessary to continue to advance numerical model and data assimila-  
647 tion systems, as well as new theories governing TC intensity change.

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## ACKNOWLEDGEMENTS

This research is supported by the Office of Naval Research program element (PE) 0601153N. We thank the crew of the NASA WB-57 for their excellent support, as well as the USAF 53<sup>rd</sup> WRS as part of the TROPIC program. We acknowledge NCAR Earth Observing Laboratory for their mission support through the EOL Field Catalog and TCI archive, as well as NASA for support and access to the Mission Tools Suite. COAMPS-TC<sup>®</sup> is a registered trademark of the Naval Research Laboratory.

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795 Table 1: Science flights performed during the 2015 TCI field campaign. The number of drop-  
 796 sondes refers to the number of quality-controlled records available.

Storm	Basin	Date	Dropsonde launch times	Number of dropsondes
Erika remnants	Atlantic	30 Aug	1523–1815 UTC	59
Marty	eastern North Pacific	27 Sep	2019–2129 UTC	57
Marty	eastern North Pacific	28 Sep	1828–2019 UTC	84
Joaquin	Atlantic	2 Oct	1550–1941 UTC	84
Joaquin	Atlantic	3 Oct	1538–2002 UTC	78
Joaquin	Atlantic	4 Oct	1621–1933 UTC	84
Joaquin	Atlantic	5 Oct	1552–1905 UTC	83
Patricia	eastern North Pacific	20 Oct	1954–2126 UTC	13
Patricia	eastern North Pacific	21 Oct	1856–2041 UTC	77
Patricia	eastern North Pacific	22 Oct	1747–1946 UTC	83
Patricia	eastern North Pacific	23 Oct	1957–2155 UTC	84

797

798

**FIGURE CAPTIONS**

799 Figure 1. (a) HDSS XDD (from Black et al. 2017), with the Printed Circuit Board layout on  
800 the left and sheath on the right. (b) HIRAD system being mounted on the aircraft.  
801 (c) An overview of the HIRAD surface wind speed retrievals from various research  
802 flights from 2010–2015.

803 Figure 2. (a) NHC best track positions and intensities for Hurricane Marty. (b) WB-57 flight  
804 track (solid line) and dropsonde launch locations (diamonds) for the two TCI flights  
805 over Marty, overlaid on GOES infrared satellite imagery centered on the time the  
806 aircraft was over the storm.

807 Figure 3. Vertical cross-sections created from 31 dropsondes along the second center-  
808 crossing flight leg from the 28 Sep. mission over Hurricane Marty. The left edge of  
809 the cross sections corresponds to the dropsonde launched at 16.51°N, 103.23°W  
810 (1957 UTC) and the right edge to the dropsonde launched at 16.70°N, 100.74°W  
811 (2019 UTC). (a) shows wind normal to the section (2.5 m s<sup>-1</sup> contour interval, posi-  
812 tive is into the page) in color shading and potential temperature (2.5 K contour in-  
813 terval) with black contours. (b) is similar, but shows wind parallel to the section  
814 (positive is left-to-right). Tick marks along the abscissa indicate the dropsonde  
815 launch locations, and are labeled according to the distance from the dropsonde with  
816 the lowest sea-level pressure observation.

817 Figure 4. (a) NHC best track positions and intensities for Hurricane Joaquin. For clarity, best  
818 track data before 00 UTC 29 Sep. 2015 is not displayed. (b) WB-57 flight track  
819 (solid line) and dropsonde launch locations for the four TCI flights over Joaquin,  
820 overlaid on a montage of GOES infrared satellite imagery, with each image cen-



821           tered on the time the aircraft was over the storm. Dropsonde launch locations are  
822           indicated by white diamonds for the 2 Oct. and 4 Oct. flights and by pink diamonds  
823           for the 3 Oct. and 5 Oct. flights. The TCI flights followed Joaquin northeast with  
824           time.

825   Figure 5.   Azimuthally-averaged tangential wind ( $V_t$ ; shaded every  $2.5 \text{ m s}^{-1}$ ) and potential  
826           temperature anomaly ( $\theta$  anom; contoured every 2 K; solid contours for positive  
827           values  $< 10 \text{ K}$ , solid-bold contours for positive values  $\geq 10 \text{ K}$ , dashed contours for  
828           negative values) in radius-pressure coordinates for Hurricane Joaquin. Each of the  
829           four panels corresponds to a separate TCI mission, including: (a) 2 Oct. 2015, (b) 3  
830           Oct., (c) 4 Oct., and (d) 5 Oct. Potential temperature anomaly is computed with  
831           respect to a mean reference profile taken from a 500–1500 km radius annulus about  
832           the TC. Additional data are provided by nearby rawinsonde observations. Data are  
833           first interpolated in x-y to a 10-km grid, and then averaged azimuthally.

834   Figure 6.   HIRAD 10-m wind speed retrievals for the four TCI missions into Joaquin. Cool  
835           colors indicate low wind speed and warm colors indicate high wind speed (for color  
836           key, see Fig. 1c).

837   Figure 7.   GOES-13 water vapor satellite brightness temperature ( $^{\circ}\text{C}$ ) and atmospheric motion  
838           vectors (kt) from 300 hPa and higher for Joaquin at (a) 0715 UTC 02 Oct. and (b)  
839           1015 UTC 03 Oct. The outflow structure changes from a predominantly south-  
840           southeastward jet in (a) to an eastward jet in (b) as Joaquin interacts with an upper-  
841           level low. Additionally, note the second outflow channel to the west ahead of an  
842           oncoming trough.

- 843 Figure 8. Vortex tilt of Hurricane Joaquin between 1.5 km and 10.5 km from a sequence of  
844 three HDSS dropsondes (identifiers in inset) deployed during an overpass of the  
845 center at 1800 UTC 4 Oct. 2015. These Zero Wind Centers (ZWCs) were derived at  
846 200-m intervals (small circles) based on the bearings from HDSS dropsonde aver-  
847 age wind directions over 1-km layers. The large red circles indicate the ZWCs at 1  
848 km vertical intervals beginning at 1.5 km (digital values in the inset). Shadow sym-  
849 bols on the vertical walls and on the bottom surface assist in visualizing the vortex  
850 tilt in longitude and latitude. (From Creasey and Elsberry 2017).
- 851 Figure 9. (a) NHC best track positions and intensities for Hurricane Patricia. (b) WB-57  
852 flight track (solid line) and dropsonde launch locations (diamonds) for the four TCI  
853 flights over Patricia, overlaid on GOES infrared satellite imagery centered on the  
854 times the aircraft was over the storm.
- 855 Figure 10. As in Fig. 5 but for Hurricane Patricia on (a) 20 Oct. 2015, (b) 21 Oct., (c) 22 Oct.,  
856 and (d) 23 Oct.
- 857 Figure 11. HIRAD 10-m wind speed retrievals expressed as Saffir-Simpson intensity cate-  
858 gories for Hurricane Patricia on 23 Oct.
- 859 Figure 12. Composite horizontal wind speed (contoured every  $2 \text{ m s}^{-1}$ ) at 2 km height for Hur-  
860 ricane Patricia, from WP-3D Doppler analyses from 1733 UTC and 2033 UTC on  
861 23 Oct. 2015, and horizontal trajectories of HDSS dropsondes released by the WB-  
862 57. The WB-57 flew from SE to NW, and the first and last sondes shown were re-  
863 leased at 1956:43 UTC and 2009:05 UTC, respectively. The horizontal grid spac-  
864 ing of the Doppler analyses is 5 km, and the analysis data are provided by  
865 NOAA/HRD.

866 Figure 13. Distance-height cross sections of horizontal wind speed in Hurricane Patricia on 23  
867 Oct, obtained from (a) WB-57 HDSS dropsondes, and (b) WP-3D Doppler analysis.  
868 The mean radial location of each of the 27 dropsondes used in (a) is indicated by  
869 the vertical dotted lines, and these are the same sondes shown in Fig. 12. The data  
870 in (b) are from a single analysis centered at 2033 UTC, and the horizontal and verti-  
871 cal grid spacing is 1.5 and 0.15 km, respectively. Both (a) and (b) use contour in-  
872 tervals of  $5 \text{ m s}^{-1}$ , with every  $20 \text{ m s}^{-1}$  thickened. White regions denote missing da-  
873 ta. The axes of the panels are identical, and the azimuthal orientations of the cross  
874 sections are essentially the same, going from SE (negative) to NW (positive)  
875 through the low-level center of Patricia.

876 Figure 14. (a) Infrared brightness temperature image of Hurricane Patricia at 2000 UTC 23  
877 October 2016, with parallax-corrected dropsonde deployment locations indicated by  
878 black stars. Black contours delineate the coldest brightness temperatures, with a  
879 contour interval of  $2^\circ\text{C}$  starting at  $-82^\circ\text{C}$ . (b) Radial-vertical cross-section of poten-  
880 tial temperature ( $^\circ\text{C}$ ) through the inner core of Hurricane Patricia observed between  
881 1957 and 2012 UTC on 23 October 2015. The blue line indicates the height of the  
882 cold point tropopause and the dashed vertical black line marks the storm center.  
883 Numbers along the bottom of the cross-section show dropsonde deployment loca-  
884 tions, with “1” corresponding to the westernmost sonde. Letters at the bottom cor-  
885 ners of the plot indicate compass directions. Missing values are marked by hatch-  
886 ing; where possible, these were filled by linear interpolation in the radial direction.  
887 A wave-like disturbance, delineated by the green box in the right panel, falls within  
888 a region of the storm indicated by the green bracket in the left panel.

889 Figure 15. Horizontal wind (shaded and vector) and pressure (black contour) analyses at 1 km  
890 height for a) HRD radar composite, b) “Back”, c) “Base”, d) “TCI” and e) “TDR”  
891 experiments valid at 1800 UTC 22 Oct. 2015 for Hurricane Patricia. The blue and  
892 black dots denote the analyzed storm center and the best track position, respective-  
893 ly.

894 Figure 16. Scatter plots comparing (a) the magnitude of the maximum  $\theta$  anomaly associated  
895 with the warm core (K) to present storm intensity (kt), and (b) the  $\theta$  of the level of  
896 strongest 0–500 km mean radial outflow to the  $\theta_e$  of the level of strongest 0–500 km  
897 mean radial inflow. Each dot corresponds to a separate TCI (blue) or HS3 (red)  
898 mission. From TCI, all Marty, Joaquin, and Patricia flights are included. From  
899 HS3, all missions investigating TCs declared by NHC (no invests) with at least one  
900 dropsonde pass over the core are included. Intensity is based upon the correspond-  
901 ing NHC best track intensity valid at the time of the temporal median of the drop-  
902 sonde release sequence.  $\theta$  anomaly is computed with respect to a mean reference  
903 profile taken from a 500–1500 km radius annulus about the TC.

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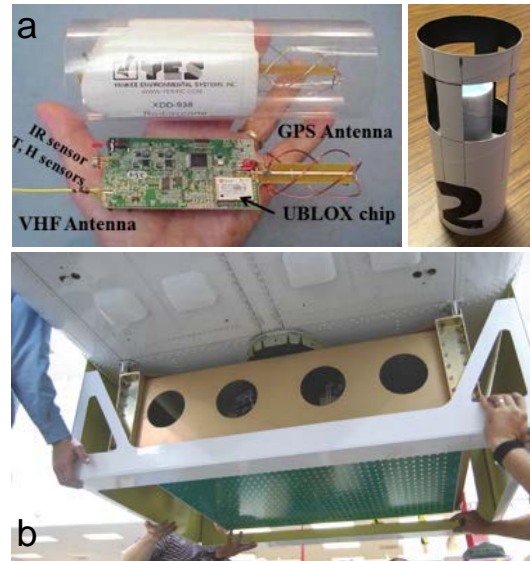


Figure 1. (a) HDSS XDD (from Black et al. 2017), with the Printed Circuit Board layout on the left and sheath on the right. (b) HIRAD system being mounted on the Global Hawk aircraft.

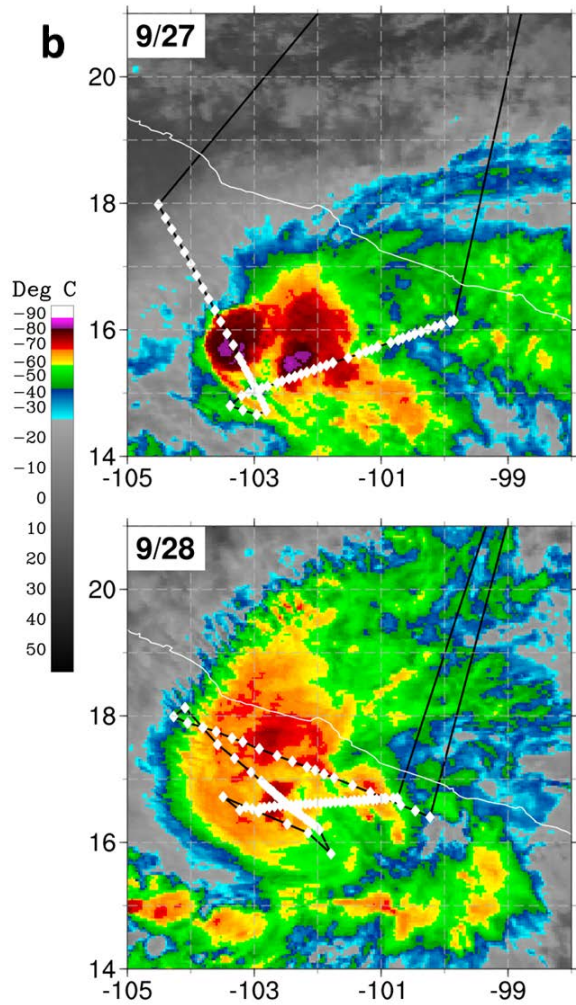
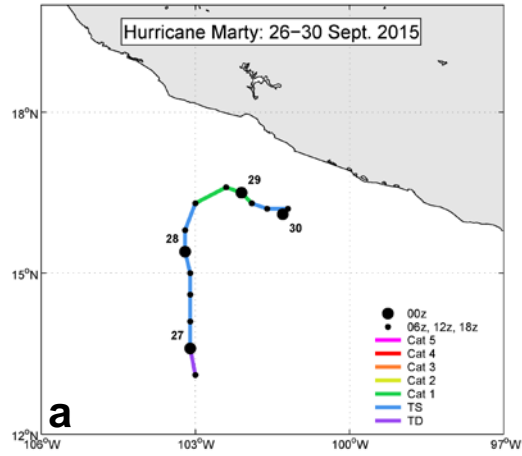


Figure 2. (a) NHC best track positions and intensities for Hurricane Marty. (b)WB-57 flight track (solid line) and dropsonde launch locations (diamonds) for the two TCI flights over Marty, overlaid on GOES infrared satellite imagery centered on the time the aircraft was over the storm.

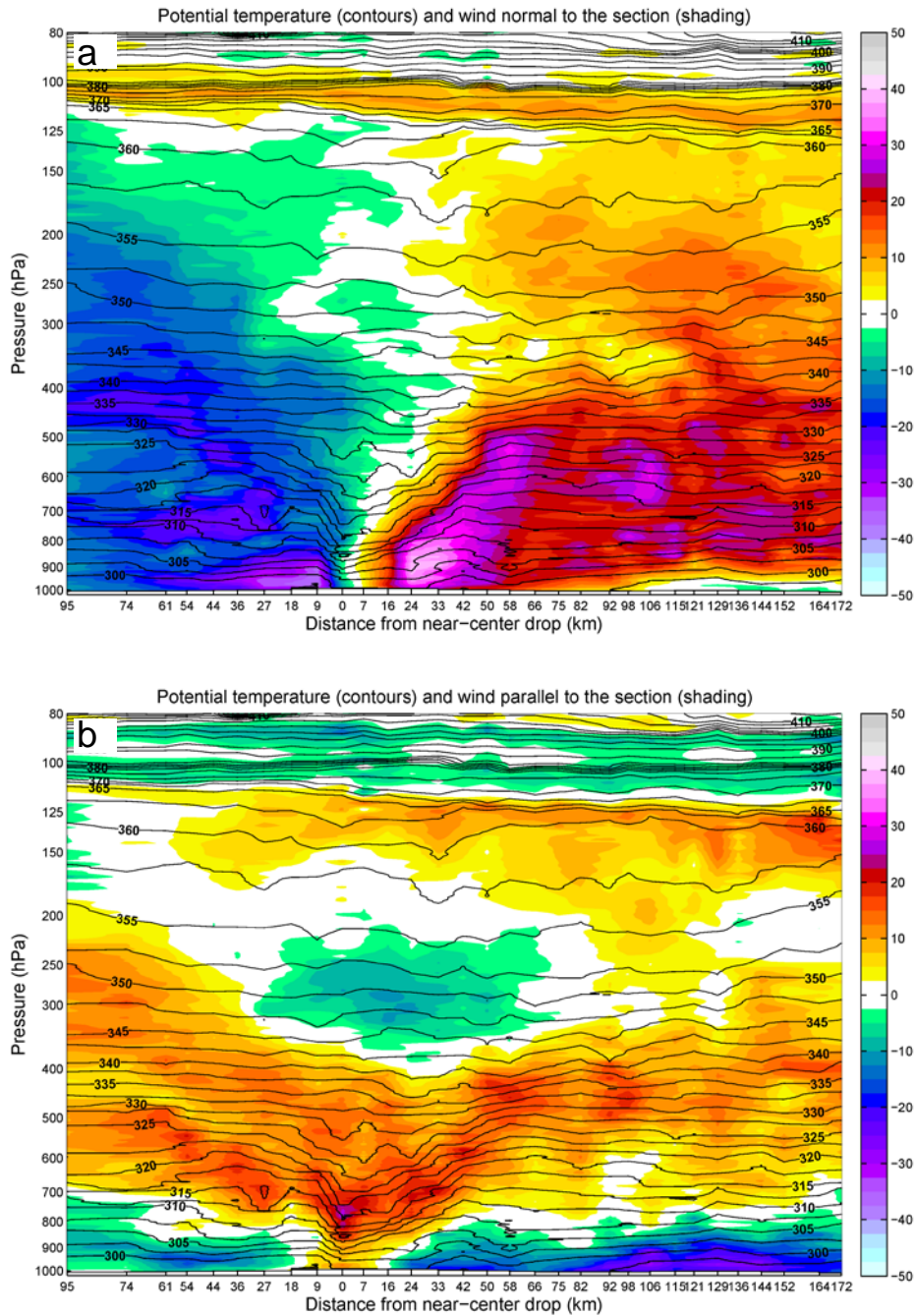


Figure 3. Vertical cross-sections created from 31 dropsondes along the second center-crossing flight leg from the 28 Sep. mission over Hurricane Marty. The left edge of the cross sections corresponds to the dropsonde launched at 16.51°N, 103.23°W (1957 UTC) and the right edge to the dropsonde launched at 16.70°N, 100.74°W (2019 UTC). (a) shows wind normal to the section ( $2.5 \text{ m s}^{-1}$  contour interval, positive is into the page) in color shading and potential temperature ( $2.5 \text{ K}$  contour interval) with black contours. (b) is similar, but shows wind parallel to the section (positive is left-to-right). Tick marks along the abscissa indicate the dropsonde launch locations, and are labeled according to the distance from the dropsonde with the lowest sea-level pressure observation.

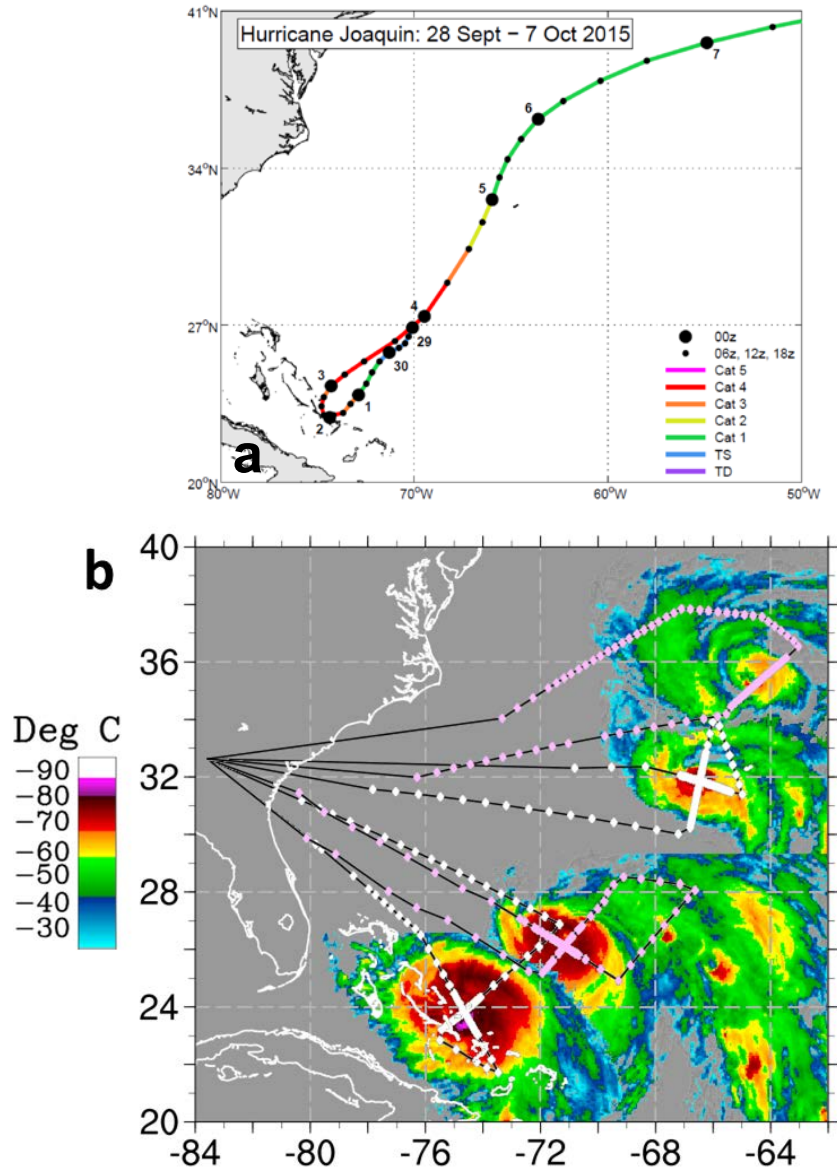


Figure 4. (a) NHC best track positions and intensities for Hurricane Joaquin. For clarity, best track data before 00 UTC 29 Sep. 2015 is not displayed. (b) WB-57 flight track (solid line) and dropsonde launch locations for the four TCI flights over Joaquin, overlaid on a montage of GOES infrared satellite imagery, with each image centered on the time the aircraft was over the storm. Dropsonde launch locations are indicated by white diamonds for the 2 Oct. and 4 Oct. flights and by pink diamonds for the 3 Oct. and 5 Oct. flights. The TCI flights followed Joaquin northeast with time.



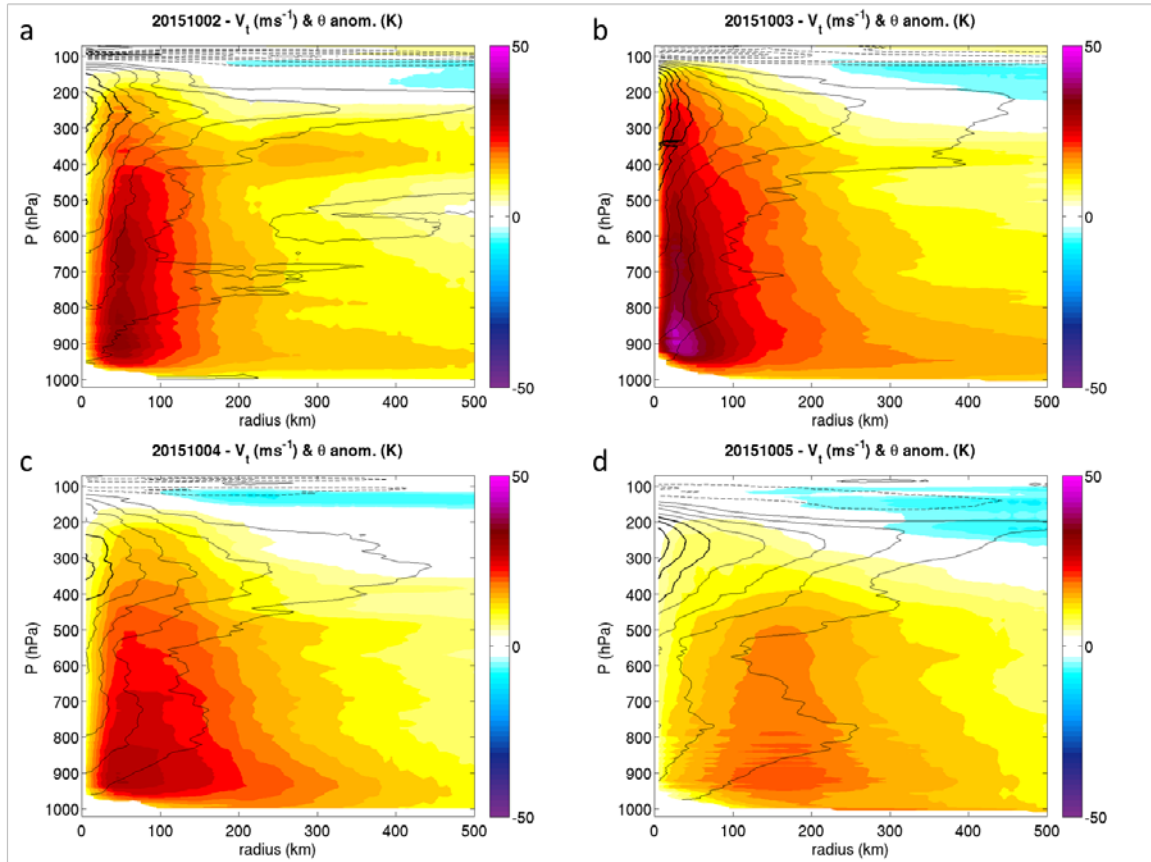


Figure 5. Azimuthally-averaged tangential wind ( $V_t$ ; shaded every  $2.5 \text{ m s}^{-1}$ ) and potential temperature anomaly ( $\theta$  anom; contoured every 2 K; solid contours for positive values  $< 10 \text{ K}$ , solid-bold contours for positive values  $\geq 10 \text{ K}$ , dashed contours for negative values) in radius-pressure coordinates for Hurricane Joaquin. Each of the four panels corresponds to a separate TCI mission, including: (a) 2 Oct. 2015, (b) 3 Oct., (c) 4 Oct., and (d) 5 Oct. Potential temperature anomaly is computed with respect to a mean reference profile taken from a 500–1500 km radius annulus about the TC. Additional data are provided by nearby rawinsonde observations. Data are first interpolated in x-y to a 10-km grid, and then averaged azimuthally.

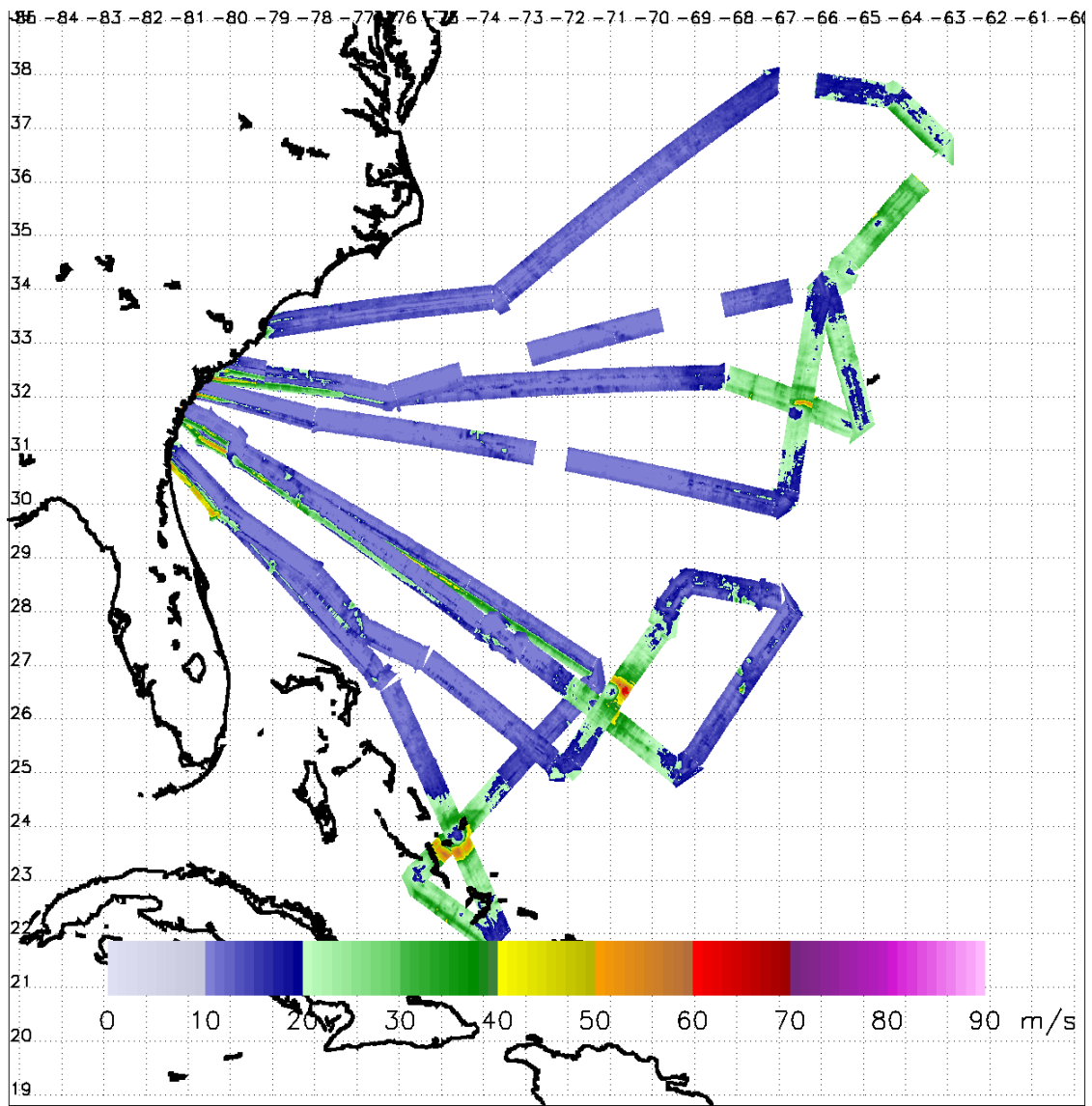


Figure 6. HIRAD 10-m wind speed retrievals for the four TCI missions into Joaquin.

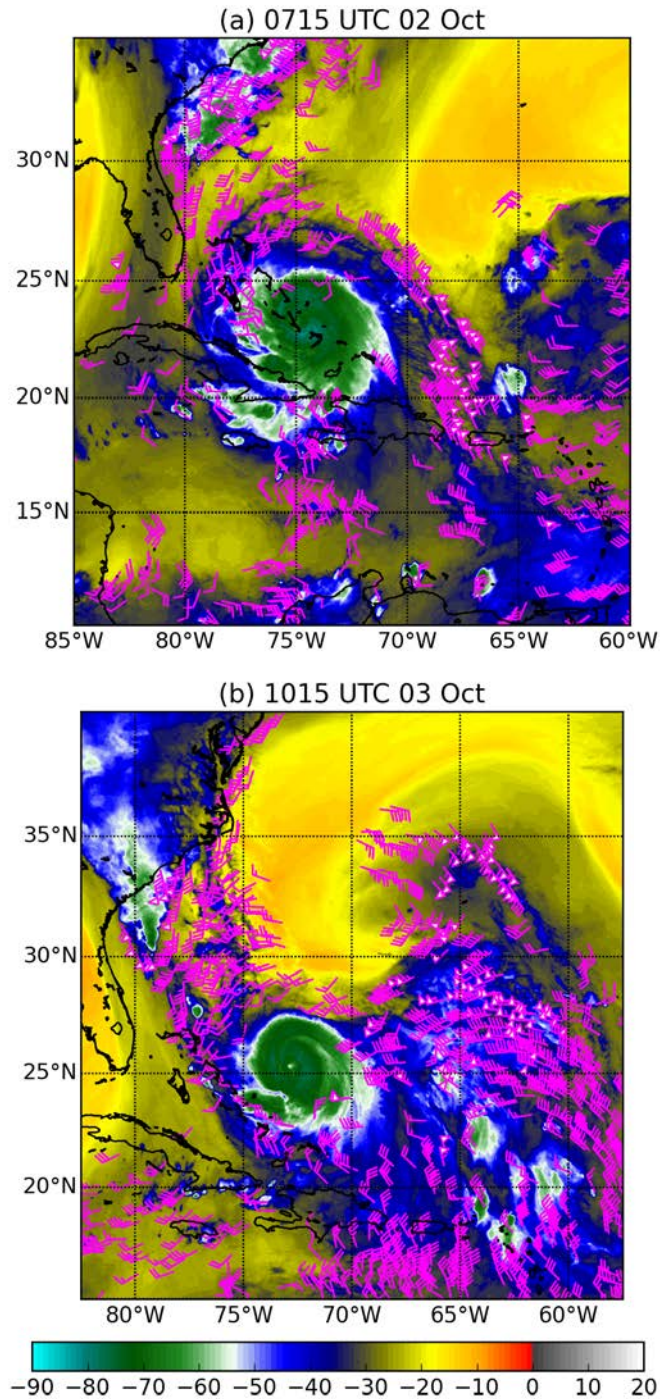


Figure 7. GOES-13 water vapor satellite brightness temperature ( $^{\circ}\text{C}$ ) and atmospheric motion vectors (kt) from 300 hPa and higher for Joaquin at (a) 0715 UTC 02 Oct. and (b) 1015 UTC 03 Oct. The outflow structure changes from a predominantly south-southeastward jet in (a) to an eastward jet in (b) as Joaquin interacts with an upper-level low. Additionally, note the second outflow channel to the west ahead of an oncoming trough.

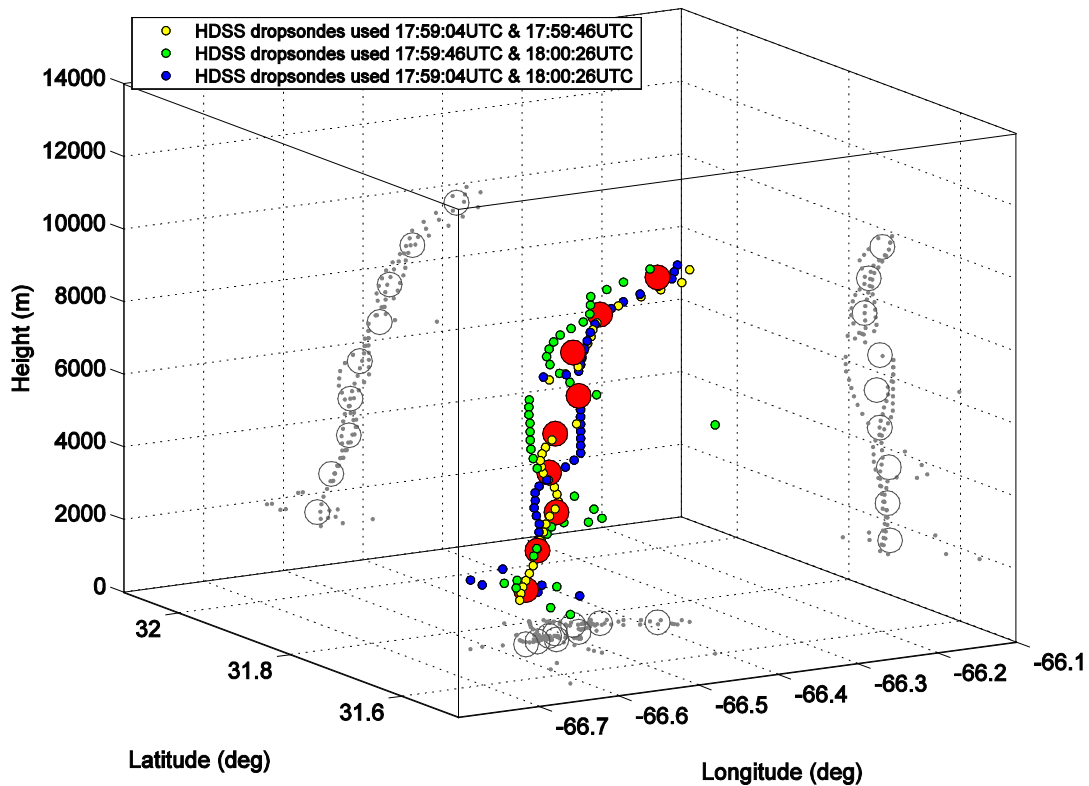


Figure 8. Vortex tilt of Hurricane Joaquin between 1.5 km and 10.5 km from a sequence of three HDSS dropsondes (identifiers in inset) deployed during an overpass of the center at 1800 UTC 4 Oct. 2015. These Zero Wind Centers (ZWCs) were derived at 200-m intervals (small circles) based on the bearings from HDSS dropsonde average wind directions over 1-km layers. The large red circles indicate the ZWCs at 1 km vertical intervals beginning at 1.5 km (digital values in the inset). Shadow symbols on the vertical walls and on the bottom surface assist in visualizing the vortex tilt in longitude and latitude. (From Creasey and Elsberry 2017).

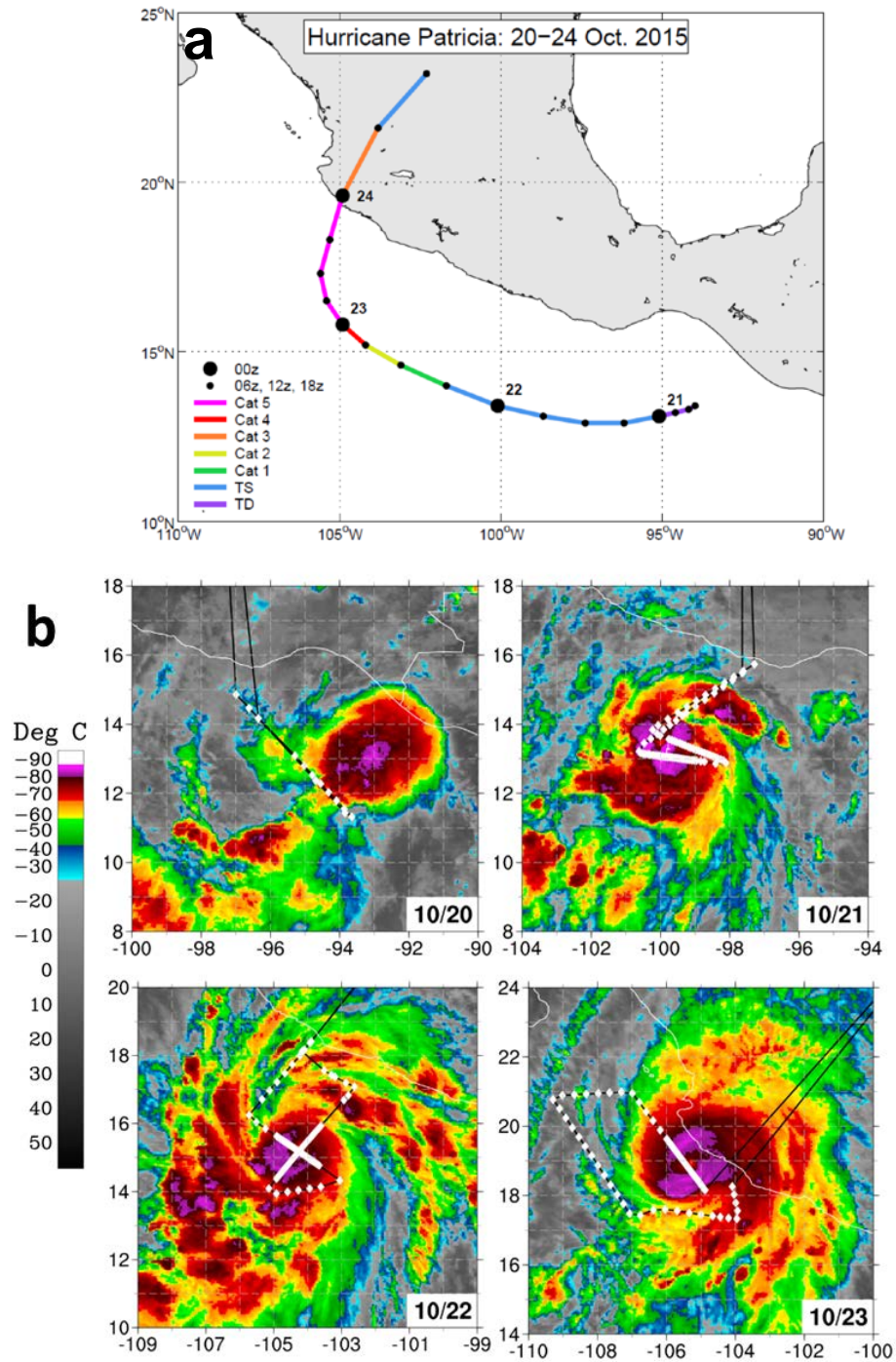


Figure 9. (a) NHC best track positions and intensities for Hurricane Patricia. (b) WB-57 flight track (solid line) and dropsonde launch locations (diamonds) for the four TCI flights over Patricia, overlaid on GOES infrared satellite imagery centered on the times the aircraft was over the storm.

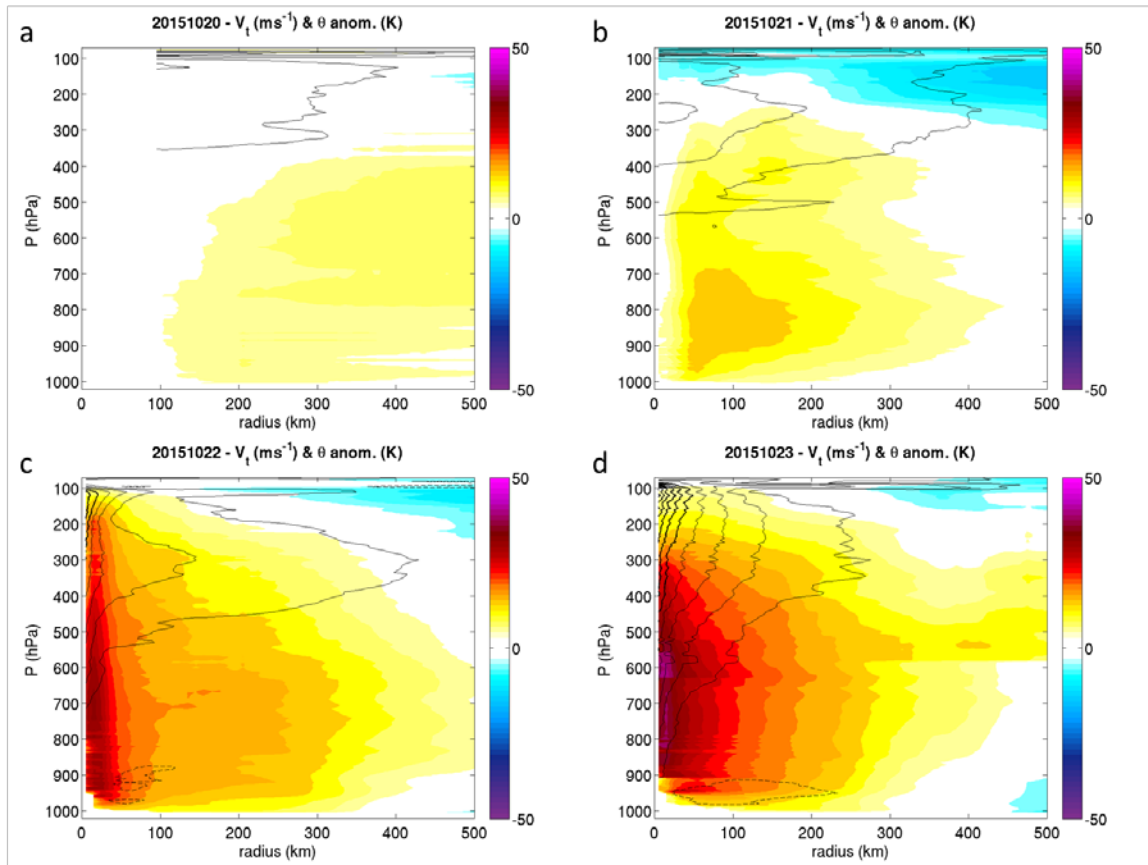


Figure 10. As in Fig. 5 but for Hurricane Patricia on (a) 20 Oct. 2015, (b) 21 Oct., (c) 22 Oct., and (d) 23 Oct.

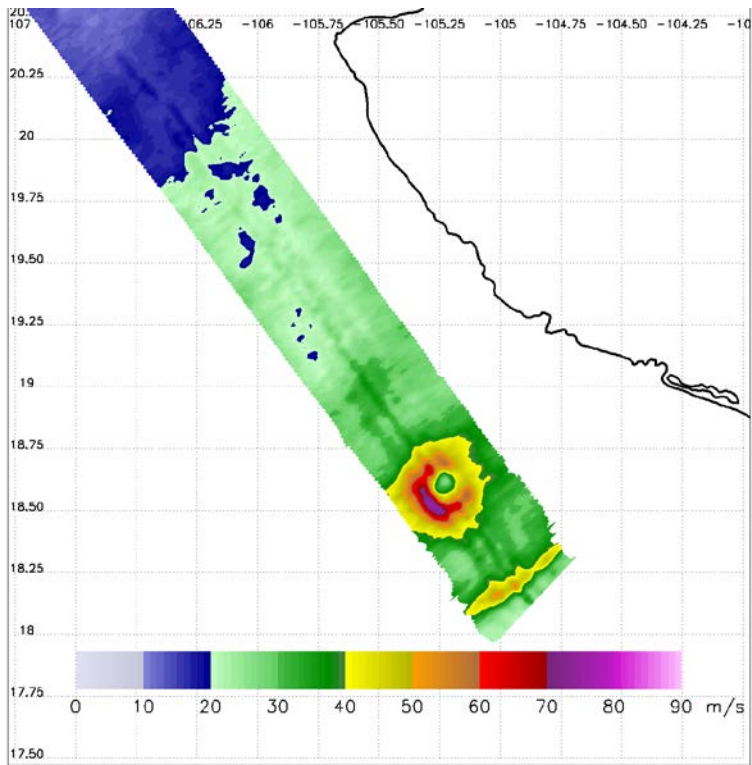


Figure 11. HIRAD 10-m wind speed retrievals for Hurricane Patricia on 23 Oct.

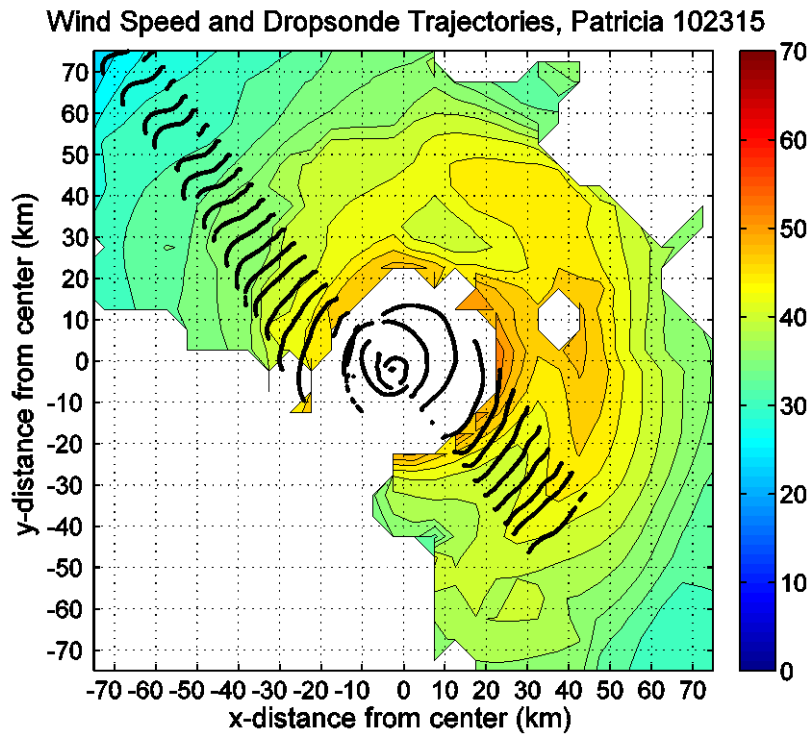


Figure 12. Composite horizontal wind speed (contoured every  $2 \text{ m s}^{-1}$ ) at 2 km height for Hurricane Patricia, from WP-3D Doppler analyses from 1733 UTC and 2033 UTC on 23 Oct 2015, and horizontal trajectories of HDSS dropsondes released by the WB-57. The WB-57 flew from SE to NW, and the first and last sondes shown were released at 1956:43 UTC and 2009:05 UTC, respectively. The horizontal grid spacing of the Doppler analyses is 5 km, and the analysis data are provided by NOAA/HRD.



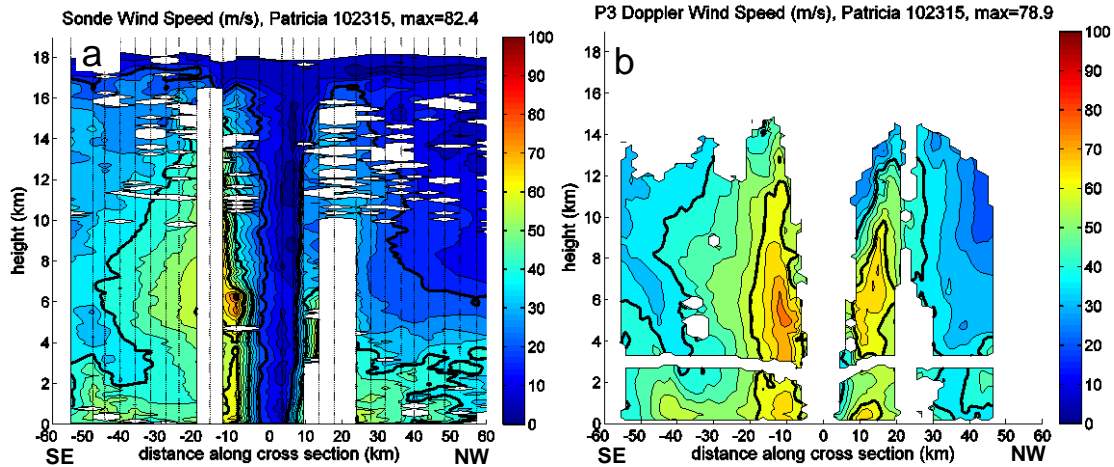


Figure 13. Distance-height cross sections of horizontal wind speed in Hurricane Patricia on 23 Oct, obtained from (a) WB-57 HDSS dropsondes, and (b) WP-3D Doppler analysis. The mean radial location of each of the 27 dropsondes used in (a) is indicated by the vertical dotted lines, and these are the same sondes shown in Fig. 12. The data in (b) are from a single analysis centered at 2033 UTC, and the horizontal and vertical grid spacing is 1.5 and 0.15 km, respectively. Both (a) and (b) use contour intervals of 5 m s<sup>-1</sup>, with every 20 m s<sup>-1</sup> thickened. White regions denote missing data. The axes of the panels are identical, and the azimuthal orientations of the cross sections are essentially the same, going from SE (negative) to NW (positive) through the low-level center of Patricia.

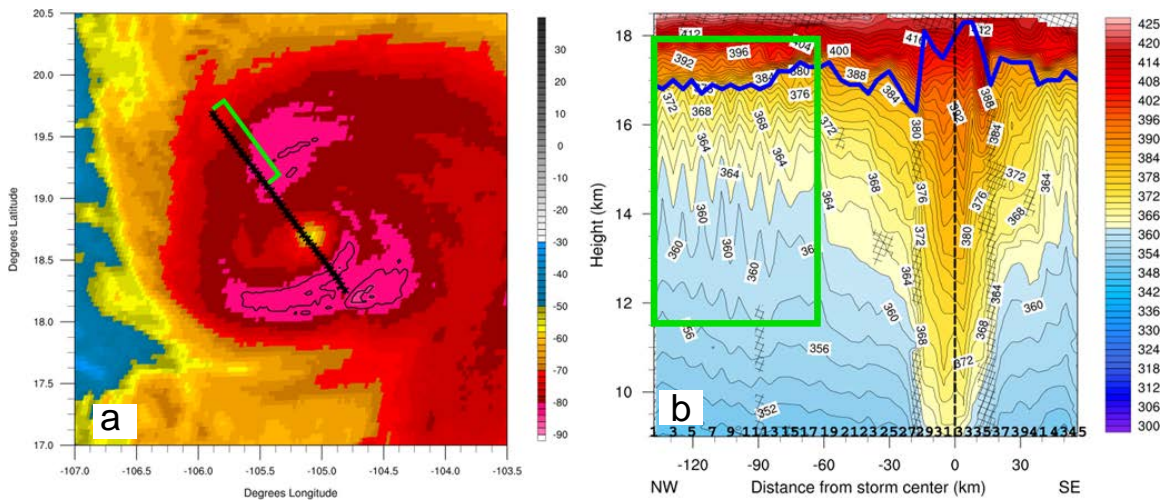


Figure 14. (a) Infrared brightness temperature image of Hurricane Patricia at 2000 UTC 23 October 2016, with parallax-corrected dropsonde deployment locations indicated by black stars. Black contours delineate the coldest brightness temperatures, with a contour interval of 2°C starting at -82°C. (b) Radial-vertical cross-section of potential temperature (°C) through the inner core of Hurricane Patricia observed between 1957 and 2012 UTC on 23 October 2015. The blue line indicates the height of the cold point tropopause and the dashed vertical black line marks the storm center. Numbers along the bottom of the cross-section show dropsonde deployment locations, with “1” corresponding to the westernmost sonde. Letters at the bottom corners of the plot indicate compass directions. Missing values are marked by hatching; where possible, these were filled by linear interpolation in the radial direction. A wave-like disturbance, delineated by the green box in the right panel, falls within a region of the storm indicated by the green bracket in the left panel.

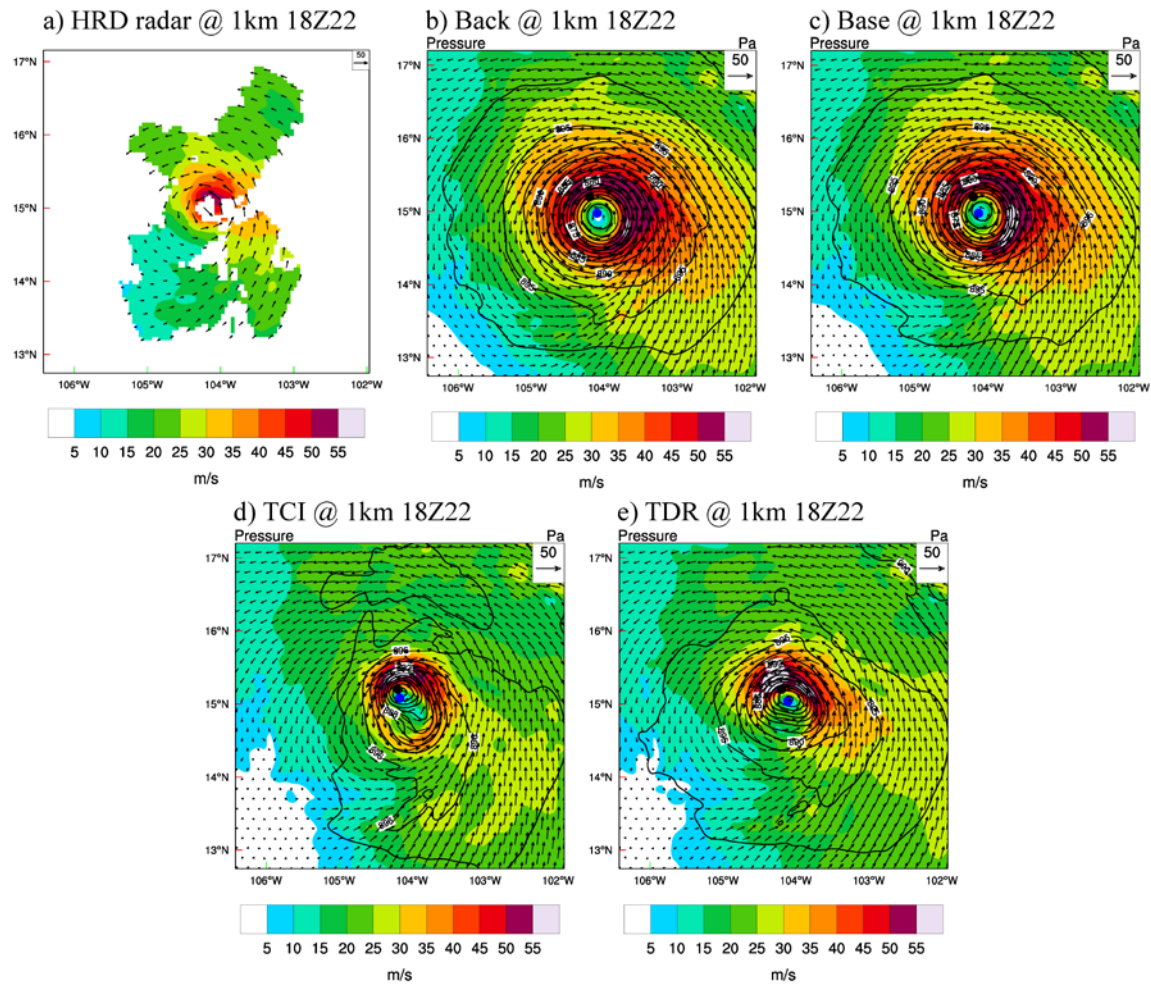


Figure 15. Horizontal wind (shaded and vector) and pressure (black contour) analyses at 1 km height for a) HRD radar composite, b) “Back”, c) “Base”, d) “TCI” and e) “TDR” experiments valid at 1800 UTC 22 Oct. 2015 for Hurricane Patricia. The blue and black dots denote the analyzed storm center and the best track position, respectively.

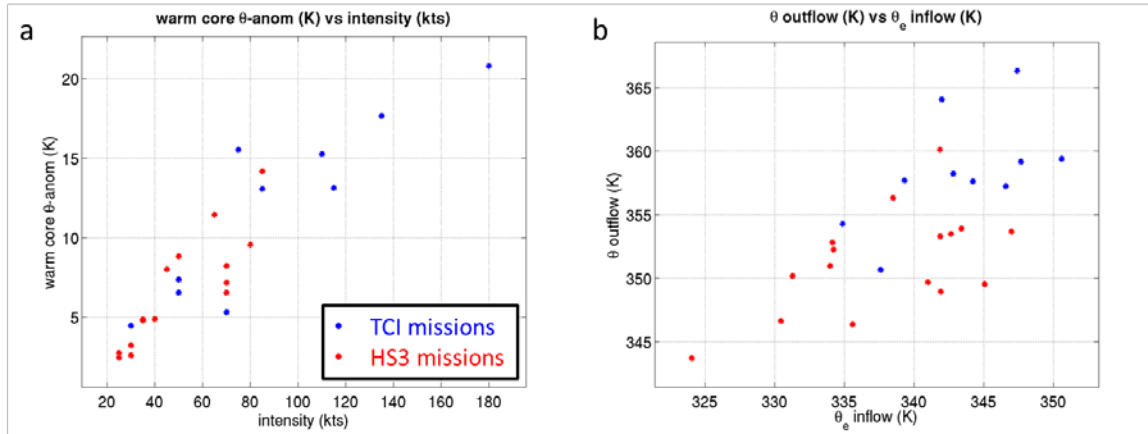


Figure 16. Scatter plots comparing (a) the magnitude of the maximum  $\theta$  anomaly associated with the warm core (K) to present storm intensity (kt), and (b) the  $\theta$  of the level of strongest 0–500 km mean radial outflow to the  $\theta_e$  of the level of strongest 0–500 km mean radial inflow. Each dot corresponds to a separate TCI (blue) or HS3 (red) mission. From TCI, all Martyr, Joaquin, and Patricia flights are included. From HS3, all missions investigating TCs declared by NHC (no invests) with at least one dropsonde pass over the core are included. Intensity is based upon the corresponding NHC best track intensity valid at the time of the temporal median of the dropsonde release sequence.  $\theta$  anomaly is computed with respect to a mean reference profile taken from a 500–1500 km radius annulus about the TC.