## Comparison of Vertical Velocity Profiles between Tropical Cyclones and Polar Lows

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## Motivation for Work

- Strength and location of convection in cyclones is crucial for understanding intensity change
- Especially tropical cyclones (TCs)
- Intensity change: Strengthening of the low-level horizontal wind or relative vorticity
- Results from the Tropical Cyclone Intensity (TCI) Experiment...


## Tropical Cyclone Intensity (TCI) Experiment

- Goal: Improve prediction of tropical cyclone (TC) intensity and structural changes
- Specifically, focusing on the role of the TC outflow
- Look at cases of rapid intensification (RI) and rapid decay (RD)
- Launched 784 eXpendable Digital Dropsondes (XDDs) into JCs:
- Erika (30 August), Marty (27-28 October), Joaquin (2-5 October), and Patricia (20-23 October)


## 1. Introduction

## Definitions...

## - Polar Low:

- Intense maritime cyclone
- Forms poleward of the Polar Jet
- Horizontal scale 10's to 100's km (AMS Glossary)
- Low-level warm core
- Surface winds approach gale force
- $15-30 \mathrm{~m} \mathrm{~s}^{-1}$
(Douglas et al. 1991; Montgomery and Farrell 1992; Moore and Haar 2003, Rasmussen and Turner 2003)


Rasmussen 1981

## Definitions...



- Tropical Cyclone:
- Intense maritime cyclone
- Forms over tropics/ subtropics
- Horizontal scale (500 1000 km)
- Warm-core, non-frontal
- Organized deep convection
- Closed surface wind circulation
- $17-70 \mathrm{~m} \mathrm{~s}^{-1}$
(Adapted from National Hurricane Center 2016)

Image courtesy of the Naval Research Laboratory archives at http://www.nrlmry.navy.mil/TC.html

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(Douglas et al. 1991; Montgomery and Farrell 1992; Moore and Haar 2003, Rasmussen and Turner 2003)
- Tropical Cyclone (TC):
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## Definitions...

- Polar Low (PL):
- Intense maritime cyclone
- Forms poleward of the
- Tropical Cyclone (TC):
- Intense maritime cyclone
- Forms over tropics/

Because of their similarities in appearance and structure, Emanuel and Rotunno (1989) called a subclass of Polar Lows 'Arctic Hurricanes'.

$$
\begin{aligned}
& \text { gale force } \\
& \text { • } 15-30 \mathrm{~m} \mathrm{~s}^{-1}
\end{aligned}
$$

(Douglas et al. 1991; Montgomery and Farrell 1992; Moore and Haar 2003, Rasmussen and Turner 2003)

- Closed surface wind circulation
- 17 - $70 \mathrm{~m} \mathrm{~s}^{-1}$
(Adapted from National Hurricane Center 2016)


## Two Main Archetypes for Polar Lows

- 'Arctic hurricane' (AH): tight circulation, well defined eye, convective "rainbands"
- Classic "comma cloud': circulation with extending primary convective band


Adapted from Rasmussen 1981. His Fig. 6

## Can I choose a flavor?

## (a) M0 at 30 hr


(b) M0.5 at 30 hr

(c) M1 at 30 hr

(f) M3 at 30 hr


Baroclinicity (Shear)
a) $\mathrm{M} 0-\mathrm{V} 2(60 \mathrm{hr})$

b) M0-R50 (60hr)

c) M0-R100 ( 60 hr )


Vertically integrated total condensed water ( $\mathrm{g} \mathrm{kg}^{-1}$ ) and SLP ( $\sim 3 \mathrm{hPa}$ )

RMW

## Can I choose a flavor?



FIG. 7. Maximum growth rate $\left(10^{-6} \mathrm{~s}^{-1}\right)$ plotted as a function of wind shear in the $700-900 \mathrm{mb}$ layer and wavelength for the $B C D / C I S K$ mode with $\hat{r}_{8}=0.7$.

Adapted from Sardie and Warner (1983)


Fig. 9. Maximum growth rate $\left(10^{-6} \mathrm{~s}^{-1}\right)$ plotted as a function of wind shear in the $700-900 \mathrm{mb}$ layer and PBL moisture for the BCD/CISK mode.


Fig. 8. Wavelength of maximum growth rate (km) plotted as a function of wind shear in the $700-900 \mathrm{mb}$ layer and PBL moisture for the BCD/CISK mode.

## Can I choose a flavor?



## Can I choose a flavor?



## Upper Level PV Interaction and Vertical Velocity



## Upper Level PV Interaction and Vertical Velocity



## Interlocked PV Non. Dimensional Vertical Velocity



- Cross-sections through maximum absolute vorticity at $Z=0.0$
- Initially, strongest updraft and downdraft is in the midlevels
- Over, time the couplet descends to the surface
- In low static stability, to maintain strong ascent it is required to maintain TWB
- Vortex stretching
- Generation of surface PV

Adapted from Montgomery and Farrell (1992)

## Presence of an upper-level trough...


e) $w$


- Used an axisymmetric TC-Carnot Cycle model
- Goal: Show that enthalpy differences can drive ABs
- Ran experiments of different low-level soundings, presence of an upper-level trough, and strength and location of RMW

Adapted from Emanuel and Rotunno (1988)

## Presence of an upper-level trough...

| Experiment | $\begin{aligned} & r_{\text {max }} \\ & (\mathrm{km}) \end{aligned}$ | $\begin{aligned} & V_{s} \\ & \left(\mathrm{~ms}^{-1}\right) \end{aligned}$ | $\begin{aligned} & r_{\mathrm{m}} \\ & (\mathrm{~km}) \end{aligned}$ | $\begin{aligned} & V_{\mathrm{m}} \\ & \left(\mathrm{~ms}^{-1}\right) \end{aligned}$ | Sounding |
| :---: | :---: | :---: | :---: | :---: | :---: |
| A | 50 | 10 |  | 0 | WM |
| B | 50 | 10 |  | 0 | HD |
| C | 50 | 10 |  | 0 | CD |
| D | 50 | 5 |  | 0 | WM |
| E | 50 | 2 |  | 0 | WM |
| F | 100 | 10 |  | 0 | WM |
| G | 100 | 5 |  | 0 | WM |
| H | 50 | 10 | 500 | 20 | WM |
| I | 100 | 10 | 500 | 20 | WM |
| J | 50 | 5 | 500 | 20 | WM |

$r_{\max } \equiv$ radius of maximum surface winds.
$V_{\mathrm{s}} \equiv$ maximum surface winds.
$r_{\mathrm{m}} \equiv$ radius of maximum winds at tropopause.
$V_{\mathrm{m}} \equiv$ maximum winds at tropopause.

e) $w$


- Used an axisymmetric TC-Carnot Cycle model
- Goal: Show that enthalpy differences can drive AHs
- Ran experiments of different low-level soundings, presence of an upper-level trough, and strength and location of RMW

Adapted from Emanuel and Rotunno (1988)


- Contours of $0.2 \mathrm{~m} \mathrm{~s}^{-1}$
- Strongest updrafts are in Run H with an upper level trough
- $0.6 \mathrm{~m} \mathrm{~s}^{-1}$
- Updraft core tilts outward
- Part of updraft is inside the RMW!!!

Adapted from Emanuel and Rotunno (1988)

## Role of Convection in TCs

- Updraft and downdraft strength is weak [near-zero] (Black et al. 1996)
- However, abnormally strong updrafts [> $10 \mathrm{~m} \mathrm{~s}^{-1}$ ] can occur (Jorgensen et al. 1985; Black et al. 1994, 1996, 2002; Aberson et al. 2006; Marks et al. 2008; Heymsfield et al. 2010)
- Mainly tied to the eyewall rather than rainband regions (Jorgensen et al. 1985; Black et al. 1996; Stern and Aberson 2006; Aberson et al. 2006; Guimond et al. 2010)
- The strength and number of updrafts inside the radius of maximum wind (RMW) correlates well with intensity change (e.g., Rogers et al. 2012)
- Linked to intensification of low-level winds after RMW contraction (Stern et al. 2015)
- Occur with eyewall vorticies that draw entropy rich air from eye into eyewall (Persing and Montgomery 2003; Aberson et al. 2008)


# Role of Convection in TCs 



## ngth is weak [near-zero] (Black et al.

Fig. 3. Vertical profiles of reflectivity (contoured in $\mathrm{dB}, Z$ ) and $w$ (shaded contours) for (a) an inbound leg into the center of Hurricane Gilbert on 14 September 1988 and (b) an outbound leg from the center of Hurricane Gustav on 29 August 1990. The data has been smoothed, which reduces the magnitudes of the data extrima. The locations of the minimum $w$ of $-7 \mathrm{~m} \mathrm{~s}^{-1}$ and the maximum $w$ of 15 $\mathrm{m} \mathrm{s}^{-1}$ for Gilbert and the minimum $w$ of $-3 \mathrm{~m} \mathrm{~s}^{-1}$ and the rnaximum $w$ of $7 \mathrm{~m} \mathrm{~s}^{-1}$ for Gustav are shown by $M n$ and $M x$, respectively. The domain is 80 km in the horizontal by 15 km in the vertical. The bold vertical lines are the locations of the vertical partitioning between the regions indicated at the top. Horizontal dashed lines are the aircraft altitudes where flight-level $w$ has been interpolated across the range delay in the Doppler data. The horizontal distance scale and the scale for $w$ are shown at the bottom of (a) and (b).
tgomery 2003; Aberson et al. 2008)

Black et al. (1996)

## Problem Statement

- Despite past research comparing TCs and AHs thermodynamically, little study has been done to compare the convective environments of the two
- TCs tend to have weaker vertical velocities than most mid-latitude cyclones (Jorgensen et al. 1985; Black et al. 1996; Heymsfield et al. 2010), but this doesn't cover PLs or AHs
- Independent studies of PLs have shown that the strength of vertical velocities tends to be near-zero (Emanuel and Rotunno 1989; Douglas et al. 1995; Yanase and Niino 2007)
- Strongest updrafts on order of $1-3 \mathrm{~m} \mathrm{~s}^{-1}$


## Hypothesis

- The bulk strength of vertical velocities in PLs agrees well with findings for TCs
- Hypothesis: Vertical velocity profiles and frequencies of vertical velocity strength below the tropopause would be comparable between AHs and TCs
- PLs tend to have lower tropopause heights (e.g., Douglas et al 1991)
- Goal: Compare and contrast the strength and location of convection in TCs and AHs
- Compute contoured frequency diagrams of vertical velocity similar to Nelson et al. (2017) for TCs and AHs


## 2. Data and Methods

## The data...

- Use storm-centered data from the six-hourly ERA-Interim reanalysis (ERA-I, Dee et al. 2011)
- Three AHs
- Sea Surface Temperature and Altimeter Synergy for Improved Forecasting of Polar Lows (STARS) project dataset (Noer et al. 2011)
- Three TCs
- 2015 Tropical Cyclone Intensity (TCI) experiment $\rightarrow$ Nelson et al. (2017) study
- AH cases were only considered if their satellite imagery resembled a TC (i.e., had a well defined eye, rainbands, etc.)

- Top-left: PL 28 occurred 27 January 20041000 UTC - 28 January 20041300 UTC
- Bottom-left: PL 110 occurred 02 February 2010 1600 UTC - 02 February 20102100 UTC
- Bottom-right: PL 134 occurred 11 March 2011 0600 UTC - 12 March 20111000 UTC


Images courtesy of the STARS Data Set Image Data Base at http://polarlow.met.no/STARS-DAT/browser/view_stars-dat.php

Fig. 1
(a)

(b)


Fig. 1
(a)
(b)


Table 1 List of ERA-I analysis times and dates for each TC used in this study. Provided is the cyclone name, date, time (UTC) and numerical assignment 1-10 for ERA-I analysis time.

| Cyclone Name | Date | Time <br> Time |  |
| :--- | :--- | :--- | :--- |
| Marty | 27 Sept. 2015 | 18 | 1 |
| Marty | 28 Sept. 2015 | 18 | 2 |
| Joaquin | 02 Oct. 2015 | 18 | 3 |
| Joaquin | 03 Oct. 2015 | 18 | 4 |
| Joaquin | 04 Oct. 2015 | 18 | 5 |
| Joaquin | 05 Oct. 2015 | 18 | 6 |
| Patricia | 20 Oct. 2015 | 18 | 7 |
| Patricia | 21 Oct. 2015 | 18 | 8 |
| Patricia | 22 Oct. 2015 | 18 | 9 |
| Patricia | 23 Oct. 2015 | 18 | 10 |

Fig. 1
(a)
(b)



Table 2 Same as Table 1, except for AHs and numerical assignments of 1-11.

| Cyclone Name | Date | Time | ERA-I Analysis <br> Time |
| :--- | :--- | :--- | :--- |
| PL 134 | 11 March 2011 | 06 | 1 |
| PL 134 | 11 March 2011 | 12 | 2 |
| PL 134 | 11 March 2011 | 18 | 3 |
| PL 134 | 12 March 2011 | 00 | 4 |
| PL 134 | 12 March 2011 | 06 | 5 |
| PL 110 | 02 Feb. 2010 | 18 | 6 |
| PL 28 | 27 Jan. 2004 | 12 | 7 |
| PL 28 | 27 Jan. 2004 | 18 | 8 |
| PL 28 | 28 Jan. 2004 | 00 | 9 |
| PL 28 | 28 Jan. 2004 | 06 | 10 |
| PL 28 | 28 Jan. 2004 | 12 | 11 |

## The methods...

- Use storm-centered data from the six-hourly ECMWF Reanalysis - Interim (ERA-I, Dee et al. 2011)



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## The methods...



## Obtaining the "true" center

- Initial cyclone centers obtained from the STARS (AHs) and National Hurricane Center Best-track (TCs) datasets
- Cyclone centers corrected using a zero-wind center algorithm following a power law weighting scheme similar to Nelson et al. (2017)
$-U$ and $V$ wind components were storm motion corrected
- Storm motion calculated using centered differencing and Lat/Lon locations


## Obtaining the "true" center

- Red dot is the initial cyclone center position from either the NHC Best-Track data or STARS dataset
- Use a pair of wind observations (Obs. 1 and Obs. 2)
- Blue lines are orthogonal to wind barbs
- Blue dot is intersection


## Obtaining the "true" center

- The weighting function is:

$$
W=\frac{V_{t}}{\left(d^{2}\right)}
$$

## (weighting shown in <br> green)

## Obtaining the "true" center

- Given many observations, a MEAN wind corrected cyclone center can be obtained


## Obtaining the "true" center



- Once a new center is obtained, the algorithm will re-run until a solution converges within $0.001^{\circ}$
- Must do this within 100 iterations


## Obtaining the "true" center

- Altitude was taken to be the geopotential height assuming a layer mean temperature
- For the TCs, restricted to be below an altitude of 13.5 km and within a 1000 km radius of the initial center
- For the AHs, restricted to be below an altitude of 1 km and within a 300 km radius of the initial center


## Obtaining the RMW

- RMW calculated by:
- Bilinear interpolation to increase "resolution" (~12 to 40)
- Looked at the top 10 horizontal wind speed data points below 2 km and within 100 km
- Restrict data by removing all data outside of an RMW normalized radius ( $\mathrm{R}^{*}$ ) of 10R* and above an altitude of 13.5 km


## Calculating shear

- For TCs, used the 850-200 hPa shear magnitude and direction:
- TCs $\rightarrow$ SHIPS dataset
- For AHs, used the 900-600 hPa shear magnitude and direction:
- AHs $\rightarrow$ Computed from the ERA-I data at the cyclone center
- AHs are much more shallow features!!!
- Here, height of the tropopause was the mean height estimated from the temperature profiles


## Calculating/Evaluating vertical velocity

## profiles...

- Compute vertical velocity from the approximation:

$$
\omega \approx-\rho g w
$$

- Contoured frequency diagrams (CFDs) by altitude, tropopause normalized altitude ( $\mathrm{A}^{*}$ ), $\mathrm{R}^{*}$, and shear-relative (SR) azimuth were computed
- Evaluate convection strength based upon percentile thresholds: 97.5\% (moderate), 99.2\% (strong), and 99.5\% (extreme)


## The analysis...

- Compare the CFD plots for ERA-I TCs to the Nelson et al. (2017) study
- Gauge the ability of ERA-I to accurately represent the observed convective environments of cyclones
- Compare the CFD plots for ERA-I TCs to ERA-I AHs
- Look at the net vertical motion (mean vertical motion) inside and outside the RMW
- Use composite planar and cross-sectional plots of vertical velocity and temperature to examine the 'mean' convective environments


## The Nelson et al. (2017) study

- Examined the convective environment in three of the TCs
- Marty, Joaquin, and Patricia (590 XDDs)
- Derived vertical velocity using dropsonde fall speed and density correction

$$
\begin{aligned}
& w=V-V_{f} \\
& V=F_{o} \sqrt{\frac{\rho_{o}}{\rho}} \\
& \rho=\frac{p}{\left(R_{d} T_{v}\right)}
\end{aligned}
$$

Fig. 10

## APPENDIX: Nelson et al. (2017)




Fig. 11

## APPENDIX: Nelson et al. (2017)

- Most sondes made it below 5000 m, many made it below 500 m
- NO correlation between surface fall speed and altitude
- Most within 1 St.

Dev.

