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6	The role of convectively coupled atmospheric Kelvin
7	waves on African easterly wave activity
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Abstract:

2	The role of convectively coupled atmospheric Kelvin waves (CCKWs) on African
3	easterly wave (AEW) activity is explored over tropical Africa during boreal summer. A
4	modulation of the African synoptic environment by the CCKWs is shown to favor the formation
5	and intensification of mesoscale convective systems and AEWs during and after its passage. The
6	pre-Alberto AEW (2000) is investigated as a case study to show that its initiation occurred
7	during the passage of the convectively active phase of an eastward propagating CCKW.
8	CCKWs modulate the horizontal structure of the African easterly jet (AEJ). The
9	dynamical structure associated with the CCKW has wind anomalies peaked equatorward of the
10	AEJ. Therefore, the CCKW imposes horizontal shear on the AEJ, which modulates the relative
11	vorticity sign-reversal in the jet core. Further, the convectively active phase of the CCKW is
12	shown to increase the climatological background low-level (925-700 hPa) easterly vertical wind
13	shear over Africa, which favors the initiation and growth of organized convection. Due to the
14	modulation of the AEJ by the CCKW and its influence on convective triggers over Africa, AEW
15	activity is assumed to be also modulated by the CCKW.
16	AEW activity increases after the passage of the convectively active phase of the CCKW
17	over western Africa and during its passage over central-eastern Africa. It is suggested that
18	African topography is important for the localized enhanced AEW activity. These two areas of
19	anomalous AEW activity later move back towards the west over the Atlantic and represent a
20	train of AEWs.

1. Introduction

2 The impact of African easterly waves (AEWs) on West African rainfall during boreal summer is a well known and heavily studied topic (e.g., Kiladis et al. 2006 and references 3 therein). In addition to having a strong impact on West African rainfall, AEWs are also 4 associated with acting as initial precursors for tropical cyclones over the tropical Atlantic (e.g., 5 6 Avila and Pasch 1992) and over the Pacific (Frank 1970). Given the large body of work on these waves, it is perhaps surprising that there is still a lack of understanding of when and how these 7 waves originate. During the 1970s, most believed that AEWs formed from a mixed barotropic 8 9 and baroclinic instability mechanism, which was backed by several idealized modeling studies showing that AEWs could grow from small amplitudes on an unstable African easterly jet (AEJ; 10 e.g., Rennick 1976; Simmons 1977; Thorncroft and Hoskins 1994a,b). But recent work has 11 challenged this hypothesis (e.g., Mekonnen et al. 2006; Kiladis et al. 2006; Hall et al. 2006; 12 Thorncroft et al. 2008). These studies have proposed that AEWs are triggered by some localized 13 forcing that is in the form of latent heating in the entrance region of the AEJ. Thorncroft et al. 14 (2008) suggest that AEWs rely on the presence of significant upstream convective triggers linked 15 to African topography. It is argued here that convectively-coupled equatorial atmospheric 16 17 Kelvin waves (CCKWs) can provide such triggers over African topographic features by providing a favorable environment for convection and wave growth. This concept provides new 18 insight on a concept that is not yet fully understood and could be used to support the long range 19 20 prediction of AEW activity.

Mekonnen et al. (2006) and Kiladis et al. (2006) note the importance of the eastern
African highlands on AEW genesis. They find that the Darfur Mountains and the Ethiopian
Highlands (~35°E) are preferable regions for AEW genesis. In an idealized modeling study,

Thorncroft et al. (2008) imposed latent heating over an area near the longitude of the Darfur
Mountains. While no topographic information was given to the model, the result was a
developing train of AEWs that propagated westward with time, providing strong evidence to
support that AEWs can be formed by an upstream convective heating in the vicinity of the AEJ
entrance.

The modeling studies of Hsieh and Cook (2005) provide an alternative hypothesis
regarding the role of convection for the genesis of AEWs. They suggest that latent heating is
important for strengthening the potential vorticity (PV) strip on the cyclonic side of the AEJ.
This enhanced PV sign reversal provides a more favorable environment for AEW genesis via a
linear instability mechanism. By removing latent heating in their model, the associated PV strip
did not form and, as a result, AEWs failed to form.

More recently, there has been a general ambition towards understanding the interactions 12 of convection over the elevated terrain of eastern Africa (e.g., Carlson 1969a; Berry and 13 Thorncroft 2005; Mekonnen et al. 2006; Thorncroft et al. 2008). Berry and Thorncroft (2005) 14 suggest that the strong AEW that developed into Hurricane Alberto (2000) was triggered by 15 strong convection over the Darfur Mountains (~25°E) on July 30-31, 2000. In contrast to this, 16 Hill and Lin (2003) suggest that the pre-Alberto AEW originated from a small-scale (order of 17 200 km) MCS over the Ethiopian Highlands on July 28th. This MCS was observed to decay 18 prior to the initial convective burst over the Darfur Highlands (Berry and Thorncroft 2005). It 19 20 will be shown that the increased convection linked to the genesis of the pre-Alberto AEW over the Darfur Mountains occurred during the passage of the convectively active phase of an 21 22 eastward propagating CCKW.

1	CCKWs substantially modulate tropical rainfall in the region of the intertropical
2	convergence zone (ITCZ) on synoptic spatial and temporal scales (Gruber 1974; Zangvil 1975;
3	Takayabu 1991; Pires et al. 1997; Wheeler and Kiladis 1999; Wheeler et al. 2000; Mekonnen et
4	al. 2008). Observational studies using high resolution rainfall and brightness temperature
5	datasets (e.g., GOES-9, CLAUS, TRMM 3B42, etc.) reveal that most of the organized rainfall
6	within the convective envelope of the CCKW is composed of smaller scale cloud clusters that
7	move westward (e.g., Straub and Kiladis 2002; Mounier et al. 2007; Mekonnen et al. 2008;
8	Kiladis et al. 2009; Schreck and Molinari 2011; Laing et al. 2011; Tulich and Kiladis 2012).
9	Mounier et al. (2007) observed an increase of MCS activity within the convective envelope of a
10	CCKW over Africa during early July 1984. Their results show that CCKWs are able to
11	modulate convective activity over the whole ITCZ domain by impacting the frequency and
12	intensity of MCSs, with an emphasis over the Cameroon Highlands and central Africa. Laing et
13	al. (2011) also find that MCSs are larger and more intense within the convective envelope of a
14	CCKW.
15	Mekonnen et al. (2008) observed a strong CCKW passage over Africa during the boreal
16	summer of 1987. Prior to the passage of this strong CCKW, AEW activity was very weak. It
17	wasn't until directly after the passage of the CCKW that AEW activity became significant.
18	However, Mekonnen et al. (2008) suggests that this case was a rare event, such that on average

19 three AEWs initiate per year over Africa during the passage a CCKW. In support of CCKWs

20 modulating AEW activity, Leroux et al. (2010) suggest that intraseasonal variability of AEW

21 activity can vary with eastward propagating modes such as CCKWs and or the MJO. These

22 eastward propagating disturbances are suggested to impact the characteristics of the AEJ, which

23 thereafter would impact AEW activity.

1 This paper is motivated by the hypothesis of Mekonnen et al. (2008) that CCKWs could occasionally act as convective triggers for initiating AEWs. This work will extend upon 2 Mekonnen et al. (2008) and provide further detail on how CCKWs affect AEW genesis and 3 growth. In the case of a pre-existing AEW, the superposition with the convectively active phase 4 of the CCKW is assumed to amplify the AEW by increasing latent heating within the wave. 5 6 This paper is constructed as follows. Section 2 discusses the datasets and methodology used. Section 3 considers the role of a CCKW on the initiation of the AEW that later formed into 7 Hurricane Alberto (2000). A composite analysis that investigates the role of CCKWs on 8 9 atmospheric parameters associated with AEW genesis is in section 4. Discussion and conclusions are included in section 5. 10

11

2. Data and Methodology

The European Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis
(ERA-Interim) dataset (Simmons et al. 2007) was used to investigate the impact of a CCKW
passage on the synoptic environment over tropical Africa. This dataset has a horizontal
resolution of 1.5° and covers the period 1989 to the present.

Tropical rainfall information associated with the pre-Alberto AEW case study is provided 16 17 by the TRMM multi-satellite precipitation analysis (TMPA; TRMM product 3B42; Huffman et al. 2007). This dataset merges precipitation estimates from passive microwave sensors on a set 18 19 of low earth orbiting satellites that are calibrated using global analyses of monthly rain gauge data. This dataset is made available from 1998-present on 3-hourly 0.25° latitude-longitude 20 grids, but has been averaged to 6-hourly 1° latitude-longitude grids to improve computational 21 22 efficiency. By averaging the data onto a coarser grid, the missing data was interpolated 23 bilinearly in space and linearly in time from the surrounding values.

1	Geostationary Earth Orbit infrared (IR) data from the Climate Prediction Center (CPC)
2	merged IR dataset was used to investigate the convective burst over Darfur that has been
3	attributed to as the initiation of the pre-Alberto AEW (Berry and Thorncroft 2005). The CPC-
4	merged IR dataset is a composite of all geostationary earth orbiting IR (~11 μ m) images from the
5	multifunctional transport satellite [MTSAT; formerly the geostationary meteorological satellite],
6	Geostationary Operational Environmental Satellite (GOES), and Meteosat satellites (Janowiak et
7	al. 2001). Zenith angle corrections are used to match brightness temperatures away from the
8	respective sub-satellite points. This data is made available at 4 km spatial resolution every 30
9	minutes.
10	Following Leroux et al. (2010), AEW activity was diagnosed by calculating the eddy
11	kinetic energy (EKE) based on ECMWF-Interim wind data that were filtered in a 2-10 day band
12	(u' and v'). The EKE, defined as:
13	$EKE = \frac{1}{2}(u^{2} + v^{2}) (1)$
14	and was calculated at the level of the AEJ (700 hPa).
15	The CCKWs used in the composite analysis were identified by filtering National Oceanic
16	and Atmospheric Administration's (NOAA's) daily averaged interpolated outgoing long-wave
17	radiation (OLR) dataset (e.g., Liebmann and Smith 1996) in wavenumber and frequency within
18	the Kelvin band (a period of 2.5-20 days, with eastward wave numbers 1-14, constrained by the
19	Kelvin wave dispersion curves for equivalent depths of 8-90 meters; see Wheeler and Kiladis
20	1999 for additional details). This methodology has been demonstrated successfully in past work
21	(e.g., Straub and Kiladis 2002; Mekonnen et al. 2008). In short, this methodology decomposes a
22	field of data into wavenumber-frequency components for eastward moving wave disturbances.

Before the decomposition, the data is detrended and the ends of the time series were tapered to
 zero to control spectral leakage.

Following the methodology of Ventrice et al. (2012a,b), an index was developed by 3 selecting all dates between June-September (JJAS) 1989 and 2009 when the minimum negative 4 Kelvin filtered OLR anomaly (less than -1.5 standard deviations in magnitude) was over a 5 selected grid point (10°N, 15°W). We pick the same grid point as Ventrice et al. (2012a,b) for 6 consistency. A total of 142 CCKWs were objectively identified using this methodology. Lags 7 were then used on this time series in order to examine propagating characteristics. For 8 clarification, "Day 0" is when the minimum Kelvin filtered OLR anomaly moves over the 9 selected base point. 10 Anomalies for all composited fields were constructed by subtracting the long-term mean 11 and the first four harmonics of the seasonal cycle. Bootstrap random resampling tests with one 12 thousand iterations were used for statistical significance testing on all anomalies similar to 13 Roundy and Frank (2004). In each of these tests, a new sample equal in size to the original was 14 randomly drawn for the original set of composite dates with replacement. The composite 15 anomalies were considered 95% significant if 950 out of the 1000 random composites had the 16 17 same sign. All figures were made using the NCAR Command Language (NCL) version 6.0.0 (2012). 18

19 20 3. The influence of a convectively coupled Kelvin wave on the initiation of the pre-

Alberto African easterly wave (2000)

A time-longitude plot of unfiltered TRMM 3B42 rain rate anomalies and Kelvin filtered
 TRMM rain rate anomalies is used to highlight the role of CCKWs on the pre-Alberto AEW
 (Fig. 1). The +/- 2 mm day⁻¹ Kelvin filtered TRMM rain rate anomaly contour is only shown to

1 reference the location of strong CCKWs. ERA-Interim southerly winds at the level of the AEJ (700 hPa) are contoured black if greater than 2 ms⁻¹ to indicate southerly flow associated with 2 the circulation of AEWs. All variables were averaged over the 5-15°N latitude band. The letter 3 "A" represents the general time and location of the July 30th convective burst over the Darfur 4 Mountains [20-30°E] that has been attributed to the genesis of the pre-Alberto AEW (Berry and 5 6 Thorncroft 2005). The circulation associated with the pre-Alberto AEW began one day after this convective burst (July 31). While the dynamical signature associated with the pre-Alberto AEW 7 8 shows gradual intensification during its westward propagation over tropical Africa, consistent 9 with the observations of Berry and Thorncroft (2005), its rain-rate signature reveals distinct periods of strengthening and weakening. The strengthening and weakening of the pre-Alberto 10 AEW rain rate signature has not been discussed in previous studies and appears to be related to 11 the passage of the convectively active and suppressed phases of consecutive eastward 12 propagating CCKWs. 13

Between July 27 and August 9, two strong CCKWs are observed to propagate eastward 14 across tropical Africa. The high resolution TRMM 3B42 product shows that the higher 15 amplitude rain rate anomalies that occur within the convective envelopes of both CCKWs are 16 17 associated with westward propagating convective signatures. In contrast to the convectively active phase, the convectively suppressed phases of both CCKWs (dashed black contours) are 18 19 associated with reduced rain rate anomalies. Therefore during the analysis period, a suppressed-20 enhanced-suppressed-enhanced-suppressed sequence of unfiltered anomalous rain rates is clearly visible within the westward propagating MCSs over Africa. It is evident from Fig. 1 that rainfall 21 22 patterns during this period over Africa were highly influenced by CCKW activity.

1 The anomalous rain rate signature associated with the MCS that is linked to the genesis of the pre-Alberto AEW is observed to originate on July 30 over the Darfur Mountains (~25°E), 2 consistent with the observations of Berry and Thorncroft (2005). The initiation of this MCS 3 occurred roughly 15° of longitude to the east of the maximum Kelvin filtered TRMM rain rate 4 anomaly. Past research has shown that the maximum low-level zonal wind convergence 5 6 associated with CCKWs is located about 15° of longitude to the east of the center it the convective envelope (Takayabu and Murakami 1994; Straub and Kiladis 2003a,b). Therefore, 7 8 this MCS developed in a region relative to the CCKW that favors for MCS development via 9 increased low-level zonal wind convergence and vertical ascent. One day later (July 31), 700 hPa southerly winds associated with the pre-Alberto AEW circulation developed during the 10 passage of the CCKW, indicating when the dynamical signature associated with the AEW had 11 begun. 12

Between July 30 and 31, the pre-Alberto AEW was still superimposed with the convective envelope of the first CCKW. During this time, the pre-Alberto AEW had an anomalous rain rate signature greater than 40 mm day⁻¹. By August 1, the pre-Alberto AEW is collocated with the convectively suppressed phase of the CCKW. During the superposition, the anomalous rain rate signature associated with the pre-Alberto AEW weakens. Note that this convectively suppressed CCKW phase reduced rain rate anomalies to zero for other pre-existing westward moving MCSs over Africa.

The superposition between the pre-Alberto AEW and the convectively active phase of the following CCKW occurs between August 1 and 2 around 10°W-0°E. During this time, the anomalous rain rate signature associated with the pre-Alberto AEW increased to values greater than 40 mm day⁻¹ again. At this time, Berry and Thorncroft (2005) note the merging between

1 low-level potential vorticity (PV) generated over the Guinea Highlands region [5-13°N, 8-15°W] with the pre-Alberto AEW. The low-level PV generated over the Guinea Highlands region was 2 presumed to be generated with a coherent diurnal cycle there. Berry and Thorncroft (2005) 3 deemed this as the final stage of an AEW life cycle and called it the "west coast development" 4 stage. While AEWs may intensify over western tropical Africa from local processes, it is 5 suggested that the intensification of the pre-Alberto AEW over western Africa was also in 6 association with the superposition of the convectively active phase of an eastward propagating 7 CCKW. 8

9 In order to investigate the initiation of the pre-Alberto AEW in greater detail, maps of CPC-merged IR (shaded) overlaid with Kelvin filtered TRMM anomalies (black contours) and 10 ERA-interim 850 hPa wind anomalies (vectors) are constructed every six hours for the period 11 beginning at 18Z July 29 and ending 06Z July 31 (Fig. 2). The domain of Fig. 2 is focused over 12 eastern Africa, where the northeastern extent of the Gulf of Guinea is located in the lower left 13 corner. At 18Z July 29, the convectively active phase of the leading CCKW is located between 14 0-10°E, while its convectively-suppressed phase is located between 20-30°E. Note that scattered 15 MCSs were present across eastern Africa at this time, consistent with a time of day when 16 17 convection is found most frequent there (Yang and Slingo 2001; Laing et al. 2008, 2011). These MCSs over eastern Africa are weaker by 00Z July 30, consistent with the diurnal cycle of 18 convection there. By 06Z July 30, a single MCS associated with a brightness temperature value 19 20 that is less than 200°K is observed over 11°N, 24°E, between the convectively suppressed and active phases of the CCKW. Consistent with Fig. 1, this MCS formed roughly 15° of longitude 21 22 to the east of the maximum positive Kelvin filtered TRMM rain rate anomaly. The MCS formed 23 during a time of day (06Z) when convection is on average suppressed, suggesting that forcing

from the CCKW was able to overcome that associated with the coherent diurnal cycle of
 convection. This MCS is found to be the leading convective disturbance associated with the pre Alberto AEW (recall Fig. 1).

By 12Z July 30, the small MCS grew in horizontal extent and magnitude during the 4 5 superposition with the eastward most edge of the convectively active phase of the CCKW (Fig. 6 2c). Note that the scattered pre-existing MCSs over $30-40^{\circ}$ E weakened while superimposed with the convectively suppressed phase of the CCKW. These MCSs weakened during a time of 7 8 day when convection is on average most frequent and remain in a suppressed state while 9 superimposed with the convectively suppressed phase of the CCKW through 18Z July 30 (Fig. 2d). By 18Z July 30, the pre-Alberto MCS is now centered about 20°E and is observed to 10 further amplify and grow in horizontal extent while superimposed with the convectively active 11 phase of the CCKW. Easterly wind anomalies [over 5-15°N, 25-35°E] are observed to the east of 12 the convectively active phase of the CCKW, whereas westerly wind anomalies [over 5-10°N, 0-13 14 5°E] are observed to its west. This low-level wind signature is consistent with the low-level wind structure of the CCKW over Africa (Mounier et al. 2007). However, northerly wind anomalies 15 are also evident within the maximum positive Kelvin filtered TRMM rain rate anomaly. These 16 17 northerly wind anomalies are attributed to the formation of the low-level wind signature of the pre-Alberto AEW, not the CCKW. Further at this time, the deepest convection is collocated with 18 19 the northerly flow, consistent with the observed structure of an AEW over Africa (e.g. Carlson 20 1969a, b; Reed et al. 1977; Duvel 1990; Diedhiou et al. 1999; Payne and McGarry 1977; Fink 21 and Reiner 2003; Kiladis et al. 2006). By 00Z July 31, the pre-Alberto AEW has shifted slightly 22 westward while still superimposed with western half of the convectively active phase of the 23 CCKW (Fig 2e). A stratiform cloud signature has developed, consistent with the morphology of

cloudiness associated with a CCKW (Straub and Kiladis 2002). By 06Z July 31, deep convection
associated with the AEW remained prominent while superimposed with the convectively active
phase of the CCKW (Fig. 2f). This deep convection remained active during a time of day when
convection is on average suppressed, suggesting that the combined forcing from the CCKW and
the newly spawned AEW is greater than that of the forcing from the coherent diurnal cycle of
convection over Africa.

Each phase of the CCKW acts oppositely to either increase or reduce rainfall over 7 tropical Africa (e.g., Mounier et al 2007; Laing et al. 2011). The convectively active phase of the 8 9 CCKW increases the amplitude and frequency of westward moving MCSs, whereas the suppressed phase reduces both. In the pre-Alberto AEW case, the convectively suppressed phase 10 of the leading CCKW may have contributed to the decay of the MCS on July 29 that Hill and Lin 11 (2003) argue was important for the pre-Alberto AEW genesis over the Ethiopia Highlands (recall 12 Fig. 1). The initiation of the MCS that Berry and Thorncroft (2005) argue later developed into 13 the pre-Alberto AEW has been shown to occur during the passage of the convectively active 14 phase of an eastward propagating CCKW. This MCS amplified within the convectively active 15 phase of the CCKW during a time of day when convection is expected to be active. However, 16 17 this MCS also remained prominent while superimposed with the convectively active phase of the CCKW through 06Z, a time of day where convection is on average suppressed over Darfur. A 18 study comparing CCKWs and the diurnal cycle of convection within the tropics is needed to 19 20 fully understand the role of the CCKW and diurnally varying convection but is beyond the scope of this study. Motivated by the results above, we now explore the climatological influence of 21 CCKWs over tropical Africa in order to better understand the physical reasons of why the pre-22 23 Alberto AEW initiated during the passage of the CCKW.

2

4. The climatological role of the convectively coupled Kelvin waves on the synoptic environment over Africa

The composite zonal wind anomaly structure of a CCKW is observed to have strong 3 westward tilts in the lower troposphere, with upper-tropospheric winds generally opposite to 4 those in the lower-troposphere (e.g., Straub and Kiladis 2002; Kiladis et al. 2009; Ventrice et al. 5 2012b). Because of this vertical wind structure, CCKWs must influence the background low-6 level easterly vertical wind shear over tropical Africa during boreal summer. For example, the 7 amplification of low-level easterly vertical wind shear over Africa could provide a favorable 8 9 environment for the development of organized convection (e.g., Rotunno et al. 1988; Lafore and Moncrieff 1989). Further, since CCKWs strongly impact the zonal wind in the lower 10 troposphere, CCKWs might also alter the nature of the mid-level AEJ. These parameters are 11 now explored in turn to investigate the role of CCKWs on the synoptic environment important 12 for convection and AEW growth over Africa. 13

14 *i)* 925-700 hPa Vertical Wind Shear

The organization of ordinary convection into propagating MCSs is favored under 15 moderate vertical wind shear of the horizontal wind (e.g., Rotunno et al. 1988; Lafore and 16 17 Moncrieff 1989). Over Africa, Laing et al. (2008, 2011) found that frequent deep convection is associated with maxima in the 925-600 hPa easterly shear over northern tropical Africa during 18 May to August. This low-level vertical wind shear varies day-to-day and is composed by the 19 20 low-level monsoon westerlies and the mid-level AEJ. Since CCKWs are characterized by strong westward vertical tilts with height of the zonal wind, both the 925 hPa and 700 hPa zonal wind 21 fields are strongly influenced by CCKW passages. Therefore, these waves must affect the low-22 23 level vertical wind shear over Africa.

1 Anomalies of 925-700 hPa vertical wind shear magnitude (shaded) and direction (vectors) are averaged over each lag of the CCKW index to investigate the influence of the 2 CCKW on low-level shear over Africa (Fig. 3). The direction of shear represents the vector 3 difference between 925 hPa and 700 hPa. On Day -1, the convectively suppressed phase of the 4 CCKW is located over western tropical Africa, while its convectively active phase is located 5 over the eastern tropical Atlantic (Fig. 3a). Anomalous easterly shear extends from the western 6 half of the convectively suppressed phase of the CCKW through the convectively active phase, 7 covering over 30° of longitude. This anomalous easterly shear adds 0.5-1 ms⁻¹ to the 8 9 background easterly shear over Africa. Northeast of the convectively suppressed phase of the CCKW is a small area of anomalous westerly shear. The anomalous westerly shear is created by 10 anomalous low-level (850 hPa and below) easterly flow associated with the convectively 11 suppressed phase of the CCKW, where easterly anomalies weaken above 850 hPa. This 12 anomalous westerly shear is collocated with the convectively suppressed phase of the CCKW 13 and reduces the background low-level easterly vertical wind shear over Africa during the next 14 two days (Fig. 3b,c). 15

By Day 0, the convectively active phase of the CCKW is located over the coast of West 16 17 Africa (Fig. 3b). Easterly vertical wind is collocated with the convectively active phase of the CCKW and is associated with an increase to the background easterly vertical wind shear there. In 18 addition to occurring within the convectively active phase of the CCKW, significant anomalous 19 20 easterly shear extends eastward to the maximum positive Kelvin filtered OLR anomaly. The eastward extension of increased low-level easterly shear suggests that the area in between the 21 22 leading suppressed phase and the convectively active phase of the CCKW is also an environment 23 that favors for the development of organized convection. A vertical cross section of anomalous

zonal wind on Day 0 over the longitude of 8.5°N shows that the increased easterly vertical wind
shear in between the leading convectively suppressed phase and the active phase of the CCKW
(0°-10°W) is primarily driven by anomalous easterly winds extending back towards the west with
height (Fig. 4). The anomalous easterly shear within the convectively active phase of the CCKW
(0°-25°W) is from the combination of mid-tropospheric easterly flow undercut by anomalous
westerly flow.

Between Day +1 and Day +4, anomalous easterly vertical wind shear progresses eastward
with the convectively active phase of the CCKW (Fig. 3c-f). Following this convectively active
phase of the CCKW is its suppressed phase, which is associated with anomalous westerly
vertical wind shear. Between Day +1 and Day +4, this anomalous westerly vertical wind shear
reduces the background easterly vertical wind shear over the equatorial Atlantic and Africa by
roughly 0.5 ms⁻¹ (Fig. 3c-f).

By increasing the background low-level easterly vertical wind shear over Africa, the 13 CCKW provides an environment favorable for developing MCSs. The more frequent, stronger 14 MCSs within the convective envelope of the CCKW also increases the likelihood of initiating or 15 intensifying a pre-existing AEW. This increased vertical wind shear is observed to occur within 16 17 the convectively active phase of the CCKW, but increased vertical wind shear also occurs just ahead of it. Recall that the MCS that later developed into the pre-Alberto AEW formed in 18 between the leading convectively suppressed phase and convectively active phase of a CCKW 19 (Fig. 2c). This MCS flourished during the passage of the convectively active phase of the 20 CCKW, consistent with the increased low-level easterly vertical low-level wind shear generated 21 by the CCKW. 22

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ii) The impact of convectively coupled Kelvin waves on the horizontal structure of the African easterly jet

Fields of total 700 hPa easterly winds (dashed contours), anomalies of 700 hPa zonal 3 wind (shaded), and Kelvin filtered OLR anomalies (bold contours) are averaged over each lag of 4 the CCKW index to investigate the impact of the CCKW passage on the horizontal structure of 5 6 the AEJ (Fig. 5). Easterly 700 hPa wind anomalies (shaded) generally occur within the leading convectively suppressed phase of the CCKW (see Fig. 4). These easterly anomalies also extend 7 westward through the eastward most edge of the convectively active phase, consistent with the 8 westward vertical tilted structure of the CCKW. Anomalous westerly winds are observed to 9 follow the minimum negative Kelvin filtered OLR anomaly of the CCKW (Fig. 5d-l). These 10 anomalous westerly winds extend westward through the following convectively suppressed 11 phase of the CCKW (Fig. 5h-i). It is important to comment on the anomalous easterly wind 12 signature over the Atlantic between Day -4 and Day -2 (Fig. 5c-e). During this time, there are 13 easterly anomalies collocated within the convectively active phase of the CCKW. This signature 14 is not representative of the expected structure of the CCKW and is associated with an 15 interference pattern caused by a westward moving signature that has been attributed to a Saharan 16 17 air layer (SAL) outbreak across the tropical Atlantic between Day -6 and Day 0 (Ventrice et al. 2012b). 18

19 The AEJ can be modulated by the CCKW in two processes. The first process is
20 associated with the equatorial wind structure of the CCKW, which can modulate the horizontal
21 shear of the AEJ on the equatorward side. The second process is associated with convection
22 generated by the CCKW over Africa. Following the argument of Thorncroft and Blackburn
23 (1999), increased convection on the equatorward side of the jet generates PV near the level of the

jet, acting to strengthen the jet. For this analysis, we only focus on the first process. Keeping
 these principles in mind, we begin our analysis when the convectively suppressed phase of the
 CCKW is located over the tropical Atlantic on Day -6.

On Day -6, the highest amplitude easterly winds associated with the AEJ are located 4 over West Africa and centered over the coast of West Africa, indicated by the -9 ms⁻¹ isotach 5 (Fig. 5a). Between Day -5 and Day -2, the -9 ms^{-1} isotach extends we stward over the central 6 tropical Atlantic (to $\sim 40^{\circ}$ W), highlighting a westward extension of the AEJ (5ig. 4b-e). This 7 westward extension of the jet over the tropical Atlantic separates moist monsoonal air 8 9 equatorward of the jet, and a dry SAL poleward of it. Consistent with these observations, Ventrice et al. (2012b) found that an area of anomalously dry air progressed westward across the 10 northern tropical Atlantic during the passage of the convectively active phase of a CCKW. 11 Over Africa, the 700 hPa easterly wind anomalies associated with the convectively 12 suppressed phase of the CCKW increase the total magnitude of the AEJ between Day -4 and Day 13 +1 (Fig. 5c-h). Between Day -1 and Day +1, Kelvin wave induced easterly anomalies accelerate 14 the upstream half of the AEJ, extending the jet entrance region eastward over the Darfur 15 Mountains. Previous literature that has focused on the intraseasonal variability of AEW activity 16 17 (e.g., Leroux et al. 2010; Ventrice et al. 2011; Alaka and Maloney 2012) have shown that an eastward extension of the AEJ entrance region over the Darfur Mountains precedes a period of 18 19 increased AEW activity. The eastward extension of the AEJ entrance region will tend to increase 20 barotropic and baroclinic energy conversions for AEW growth over the Darfur Mountains and Ethiopian Highlands. Therefore, the convectively suppressed phase of the CCKW is modifying 21 the upstream half of the AEJ to pre-condition, or "load" the jet for a future period of increased 22 23 AEW activity. These easterly wind anomalies are present for about four days and so whether

these exist long enough to influence the subsequent AEW activity is an open question that should
 be investigated in future work.

3 For all days in Fig. 5, the maximum zonal wind anomaly is generally peaked equatorward of the AEJ. This signature suggests that the CCKW is affecting the horizontal shear across the 4 AEJ, which modifies the relative vorticity sign-reversal in the jet core. A tighter horizontal 5 gradient of easterly zonal wind is indicative of a more unstable AEJ, and vice versa. Focusing 6 on the convectively suppressed phase of the CCKW, the anomalous easterly winds equatorward 7 of the AEJ reduce the horizontal meridional gradient of zonal wind and suggests that the jet is 8 9 more stable, even though the jet core is strongest during this period. Therefore, the AEJ is less unstable over Africa between Day -2 and Day 0. The westerly winds associated with the 10 convectively active phase of the CCKW increase horizontal shear on the equatorward side of the 11 AEJ over Africa. This suggests that the AEJ is more unstable during the passage of the 12 convectively active phase of the CCKW, which favors for AEW initiation and growth over 13 Africa between Day + 1 and Day + 5. 14

15 *iii) 2-10 day filtered Eddy Kinetic Energy*

We hypothesize that the passage of the convectively active phase of the CCKW will 16 17 increase AEW activity over Africa (Fig. 1, Mekonnen et al. 2008). First, the leading convectively suppressed phase of the CCKW extends and accelerates the AEJ over the Darfur 18 Mountains (the trigger region). This mechanism acts to "load" the AEJ over the Darfur 19 20 Mountains and Ethiopian Highlands. Then more frequent strong and long lasting MCSs initiate within the convective envelope of the CCKW (e.g., Mounier et al. 2007; Laing et al. 2011). 21 These MCSs thrive in an environment characterized by increased low-level easterly shear. 22 23 Therefore, these MCSs are hypothesized to convectively trigger AEWs.

1	A time-longitude composite of 2-10 day filtered EKE anomalies averaged over each lag
2	of the CCKW index shows the relationship between CCKWs and AEW activity (Fig. 6). Recall
3	that Day 0 is when the minimum Kelvin filtered OLR anomaly is located over the base point
4	$(10^{\circ}N, 15^{\circ}W)$. Between Day -5 and Day +2, no significant positive EKE anomalies develop
5	over the tropical Atlantic during the passage of the convectively active phase of the CCKW.
6	Positive EKE anomalies first develop over West Africa ($15^{\circ}W-0^{\circ}E$) after the passage of the
7	convectively active phase of the CCKW over West Africa. This result suggests that the
8	convectively active phase of the CCKW is insufficient alone to modulate easterly wave activity
9	without the presence of the AEJ and African topography. Positive EKE anomalies progress
10	eastward with the convective envelope of the CCKW to roughly $0^{\circ}E$. Thereafter, this large area
11	of positive EKE anomalies over West Africa is observed to progress westward over the Atlantic
12	with an average phase speed of 9.0 m s^{-1} , consistent with the phase speed of an AEW (e.g.,
13	Kiladis et al. 2006, and references therein). This anomalous EKE signature is composed of
14	many instances where pre-existing AEWs are becoming stronger after the passage of the
15	convectively active phase of the CCKW (not shown), similar to the pre-Alberto case in 2000 and
16	the pre-Debby AEW case in 2006 (Ventrice et al. 2012a).
17	There are three distinct peaks of significant positive EKE anomalies over the tropical

Atlantic between Day +2 and Day +5. This is suggestive of that fact that topography in West Africa is acting to influence the spawning or intensification locations of AEWs after the passage of the convectively active phase of the CCKW. The extent to which AEWs are being triggered or enhanced over the Guinea Highlands region [5-13°N, 8-15°W] would need to be investigated in a more detailed analysis. Further, the three significant peaks of AEW activity over the tropical Atlantic confirms the observations of Ventrice et al. (2012b) that the wave-like disturbance over the tropical Atlantic that develops after the passage of the convectively active phase of the
 CCKW is a train of AEWs. Ventrice et al. (2012b) found that this train of AEWs developed
 after a zonally oriented strip of low-level cyclonic relative vorticity was generated after the
 passage of the convectively active phase of the composited CCKW over western tropical Africa
 (see their Fig. 7).

Positive EKE anomalies develop over the Darfur Mountains (25°E) and over and 6 downstream of the Ethiopian Highlands (30-35°E) during the passage of the eastern most edge 7 8 of the convectively active phase of the CCKW between Day +2 and Day +3. Recall that the 9 wind signature associated with the pre-Alberto AEW formed within the convectively active 10 phase of the CCKW over the Darfur Mountains (Fig. 1). The positive EKE anomalies generated over the Darfur Mountains amplify within the convectively active phase of the CCKW and 11 progress westward on time scales consistent with AEWs directly after the passage. This area of 12 positive EKE anomalies is observed to progress westward across tropical Africa between Day +613 14 and Day +10 and over the tropical Atlantic thereafter.

Interestingly on Day -1, significant positive EKE anomalies are generated over 20-30°E 15 16 prior to and during the passage of the convectively suppressed phase of the CCKW. Recall that 17 this is when the entrance region of the AEJ extends eastward over eastern highlands of Africa 18 (Fig. 5f). Therefore, any diurnally driven MCSs generated over the Darfur Mountains and 19 Ethiopian Highlands prior to the passage of the convectively suppressed phase of the CCKW 20 over might be sufficient enough to trigger an AEW due to the increased barotropic and baroclinic 21 energy conversions associated with the eastward shifted jet. Further work is needed on the role 22 of CCKWs and the eastward shifted AEJ over the eastern African highlands and their combined 23 impact on AEW activity.

5. Discussion and Conclusions

2 This study shows evidence that CCKWs modulate AEW activity on synoptic timescales over West Africa during boreal summer. A TRMM 3B42 rain-rate anomaly time-longitude plot 3 showed that the initiation of the pre-Alberto AEW (2000) occurred during the passage of a 4 CCKW (Fig. 1). The convection attributed to the genesis of the pre-Alberto AEW described by 5 Berry and Thorncroft (2005) was likely triggered by the passage of an eastward propagating 6 CCKW. It has been shown that a series of consecutive CCKWs strongly influenced the pre-7 Alberto AEW over Africa. We have also shown that the initiation and west coast developing 8 9 stages (e.g., Berry and Thorncroft 2005) of the pre-Alberto AEW were times when the pre-10 Alberto AEW was superposed with a convectively active phase of a CCKW. The convectively active phase of CCKWs modulates the synoptic environment over 11 tropical Africa through convective and dynamical processes that provides a favorable 12 environment for initiating and amplifying MCSs. Figure 7(a,b) shows two schematic diagrams 13 characterizing the evolution of the environment over Africa during the passage of a CCKW over 14 West Africa at arbitrary times t = 0 (when the active phase of the CCKW is over the Guinea 15 Highlands) and t = t + 3 days (when the active phase of the CCKW is over the eastern African 16 17 highlands). Beginning with time t = 0, the convectively active phase of the CCKW is located over the Guinea Highlands region in West Africa (7a). Enhanced low-level easterly shear 18 (purple arrow) extends from the convective envelope of the CCKW eastward to the center of its 19 20 convectively suppressed phase, with anomalous low-level westerly shear ahead of this convectively suppressed phase. Since the low-level shear is climatologically easterly over Africa 21 and is attributed to the combination of the mid-level AEJ and low-level monsoon westerlies, the 22 23 Kelvin-induced low-level westerly shear that occurs just the east of the leading suppressed phase

1 of the CCKW reduces the background low-level easterly vertical wind shear. In contrast, the Kelvin-induced low-level easterly shear that occurs within and immediately to the east of the 2 convectively active phase of the CCKW increases the background low-level easterly shear over 3 Africa. This increased anomalous easterly shear generated by the CCKW is beneficial for strong 4 and long-lasting MCS development (e.g., Mounier et al. 2007; Laing et al. 2011). By increasing 5 the frequency of strong, long-lasting MCSs, the CCKW increases the number of convective 6 triggers over Africa that is suggested to be important for AEW initiation over the eastern African 7 highlands (e.g., Thorncroft et al. 2008). 8

9 The AEJ (red dashed line) becomes more unstable (thick dark-red dashed line) over western Africa during the passage of the convectively active phase of the CCKW. Anomalous 10 westerly flow at the level of the AEJ associated with the CCKW imposes horizontal shear on the 11 AEJ, which increases the meridional gradient of zonal wind at the level of the jet. The 12 strengthening of the meridional gradient of zonal wind suggests that the negative gradient of 13 potential vorticity at the level of the jet is becoming more negative (not shown), indicative of a 14 more unstable jet. The more unstable jet is consistent with the observed enhanced AEW activity 15 (e.g., Leroux and Hall 2009). Further, the entrance region of the AEJ extends eastward over the 16 17 eastern African highlands. The eastward extension of AEJ has been found to precede a period of enhanced AEW activity (e.g., Leroux et al. 2011; Ventrice et al. 2011; Alaka and Maloney 18 2012). Since the CCKW provides an environment favorable for strong convection that can grow 19 20 along a more unstable AEJ, a westward propagating AEW activity response (orange slanted line) develops just after the passage of the convectively active phase of the CCKW over the Guinea 21 22 Highlands region.

1	At a slightly later time $(t + 3 \text{ days})$, the convective envelope associated with the CCKW
2	is located over eastern African highlands (7b). Enhanced low-level easterly shear propagates
3	eastward with the CCKW, now providing a favorable environment for organized convection over
4	the eastern African highlands. Westerly wind anomalies at the level of the AEJ extend westward
5	from the convectively active phase of the CCKW, imposing horizontal shear on the AEJ over a
6	larger region of Africa. Therefore, the AEJ is more unstable over a large horizontal extent for
7	AEW growth. Consistent with the environment favorable for AEW initiation and growth, a new
8	AEW response develops over the eastern African highlands during the passage of the
9	convectively active phase of the CCKW. The AEW response to the CCKW passage over the
10	Guinea Highlands region at time $t = 0$ has propagated back towards the west over the tropical
11	Atlantic and become important for Atlantic tropical cyclogenesis (e.g., Ventrice et al. 2012a.b).
12	An issue that needs to be addressed in future work is whether the eastward extension of
13	the AEJ over the eastern African highlands could be sufficient enough for AEW genesis even
14	though there is local suppression forced by the CCKW. Further, is the sub-weekly period that
15	the AEJ becomes more unstable in association with the passage of the convectively active phase
16	of the CCKW long enough to aid in an extended period of enhanced AEW activity? Or does the
17	common phasing with a lower frequency mode, such as the Madden Julian Oscillation (MJO)
18	become important to consider (e.g., Ventrice et al. 2012b)? Such questions should be further
19	studied using observations and models to better understand the variability of AEWs and MCSs in
20	support of operational forecasting at daily to intraseasonal timescales.
21	In addition to boreal spring (e.g., Nguyen and Duvel 2009), CCKWs impact African
22	weather variability during boreal summer. African forecasters should be aware of CCKWs for

the direct impact on rainfall, as well as the triggering of AEWs over the Darfur Mountains, the

1	Ethiopian Highlands, and even possibly the Guinean Highlands where east Atlantic tropical
2	cyclogenesis implications become important to consider.

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23	Figures:

1 FIG. 1. A time-longitude plot of unfiltered TRMM 3B42 rain-rate anomalies (positive anomalies shaded only) overlaid with Kelvin filtered TRMM anomalies (contoured) averaged between the 2 5-15°N band for the period of July 20-August 10, 2000. The initiation of the MCS linked to the 3 genesis of the pre-Alberto AEW is denoted as the letter "A". The +/-2 mm day⁻¹ Kelvin filtered 4 TRMM anomaly is only contoured to indicate each phase of the CCKW. Positive values of 5 ECWMF-interim total meridional wind is contoured (black); contouring begins at 2 ms⁻¹; 6 contour interval is 1 ms^{-1} . 7 8 9 FIG. 2. CPC-merged IR (shaded) overlaid with Kelvin filtered TRMM rain rate anomalies (black contours) and ERA-Interim 850 hPa wind anomalies (vectors) for the period beginning at 18Z 10 July 29, 2000 and ending 06Z July 31, 2000. Shade interval is 2.5°K; contours interval is 0.2 11 mm day⁻¹: reference vector is 10 ms⁻¹. 12 13 14 FIG. 3. The 925-700 hPa vertical wind shear vector and magnitude (shaded) anomaly composite averaged over each CCKW lag. Wind shear magnitude anomalies statistically different than zero 15 at the 90% level are shaded. Vectors represent the vector difference between 925 hPa and 700 16

17 hPa. Kelvin filtered OLR anomalies are contoured if statistically different than zero at the 95%

18 level. Negative Kelvin filtered OLR anomalies are dashed. Shade interval is 0.1 ms^{-1} ; contour 19 interval is 3 Wm^{-2} ; reference shear vector is 0.5 ms^{-1} .

20

FIG. 4. A height-longitude composite of zonal wind anomalies along 8° N on Day 0 of the
CCKW index. The slanted black line-on-white fill represents the topography of West Africa.

FIG. 5. 700 hPa zonal wind anomalies averaged over each CCKW lag. Anomalies statistically
significantly different than zero at the 95% level are shaded. Total raw easterly zonal wind is
composited for each CCKW lag and contoured. Kelvin filtered OLR anomalies are contoured
(bold). Shade interval is 0.2 ms⁻¹; Kelvin filtered OLR contour interval is 3 Wm⁻²; easterly 700
hPa winds contour range is from -10 to -4 ms⁻¹; wind contour interval is 1 ms⁻¹. The red dashed
contour highlights the -9 ms⁻¹ contour.

FIG. 6. A time-longitude composite of 2-10 day filtered 700 hPa eddy kinetic energy (shaded)
averaged and over each lag of the CCKW index between the 7.5-15°N band. Positive (Negative)
eddy kinetic energy anomalies statistically different than zero at the 90% level are within the
solid (dashed) contour. Kelvin filtered OLR anomalies are averaged over the 5-10°N latitude
band and are boldly contoured. Negative Kelvin filtered OLR anomalies are dashed. Shade
interval is 0.1 m²s⁻²; contour interval is 3 Wm⁻².

FIG. 7. A schematic diagram representing the modulation of the African environment and AEW
activity by the passage of a CCKW when the convective envelope of the CCKW is over (a) the
Guinea Highlands and (b) approximately three days later when it is over the eastern African
highlands.



FIG. 1. A time-longitude plot of unfiltered TRMM 3B42 rain-rate anomalies (positive anomalies shaded only) overlaid with Kelvin filtered TRMM anomalies (contoured) averaged between the
5-15°N band for the period of July 26-August 10, 2000. The initiation of the MCS linked to the genesis of the pre-Alberto AEW is denoted as the letter "A". The +/- 2 mm day⁻¹ Kelvin filtered
TRMM anomaly is only contoured to indicate each phase of the CCKW. Positive values of ECWMF-interim total meridional wind is contoured (black); contouring begins at 2 ms⁻¹; contour interval is 1 ms⁻¹.



FIG. 2. CPC-merged IR (shaded) overlaid with Kelvin filtered TRMM rain rate anomalies (black
contours) and ERA-Interim 850 hPa wind anomalies (vectors) for the period beginning at 00Z
July 30, 2000 and ending 06Z July 31, 2000. Shade interval is 2.5° K; contours interval is 0.2
mm day⁻¹; reference vector is 10 ms⁻¹.



FIG. 3. The 925-700 hPa vertical wind shear vector and magnitude (shaded) anomaly composite
averaged over each CCKW lag. Wind shear magnitude anomalies statistically different than zero
at the 90% level are shaded. Vectors represent the vector difference between 925 hPa and 700
hPa. Kelvin filtered OLR anomalies are contoured if statistically different than zero at the 95%
level. Negative Kelvin filtered OLR anomalies are dashed. Shade interval is 0.1 ms⁻¹; contour
interval is 3 Wm⁻²; reference shear vector is 0.5 ms⁻¹.



FIG. 4. A height-longitude composite of zonal wind anomalies along 8°N on Day 0 of the
CCKW index. The slanted black line-on-white fill represents the topography of West Africa.



FIG. 5. 700 hPa zonal wind anomalies averaged over each CCKW lag. Anomalies statistically significantly different than zero at the 95% level are shaded. Total raw easterly zonal wind is composited for each CCKW lag and contoured. Kelvin filtered OLR anomalies are contoured (bold). Negative Kelvin filtered OLR anomalies are dashed. Shade interval is 0.2 ms⁻¹; Kelvin filtered OLR contour interval is 3 Wm⁻²; easterly 700 hPa winds contour range is from -10 to -4 ms⁻¹; wind contour interval is 1 ms⁻¹. The red dashed contour highlights the -9 ms⁻¹ contour.

- 8
- 9



FIG. 6. A time-longitude composite of 2-10 day filtered 700 hPa eddy kinetic energy (shaded)
averaged and over each lag of the CCKW index between the 7.5-15°N band. Positive (Negative)
eddy kinetic energy anomalies statistically different than zero at the 90% level are within the
solid (dashed) contour. Kelvin filtered OLR anomalies are averaged over the 5-10°N latitude
band and are boldly contoured. Negative Kelvin filtered OLR anomalies are dashed. Shade
interval is 0.1 m²s⁻²; contour interval is 3 Wm⁻².



FIG. 7. A schematic diagram representing the modulation of the African environment and AEW
activity by the passage of a CCKW when the convective envelope of the CCKW is over (a) the
Guinea Highlands and (b) approximately three days later when it is over the eastern African
highlands.