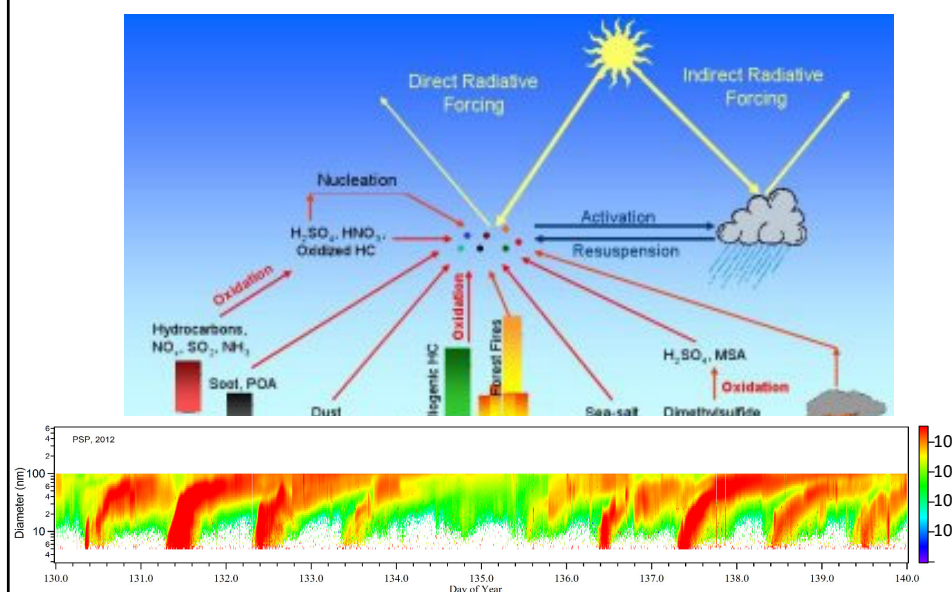


Lecture 11. New particle formation in the atmosphere



1

Nucleation Mechanisms

<u>Unary Homogeneous Nucleation (UHN)</u>	H_2O
<u>Binary Homogeneous Nucleation (BHN)</u>	$\text{H}_2\text{O} + \text{H}_2\text{SO}_4$
<u>Ion-Mediated Nucleation (IMN)</u>	$\text{H}_2\text{O} + \text{H}_2\text{SO}_4 + \text{Ions}$
<u>Ternary Homogeneous Nucleation (THN)</u>	$\text{H}_2\text{O} + \text{H}_2\text{SO}_4 + \text{NH}_3$
<u>Ternary IMN (TIMN)</u>	$\text{H}_2\text{O} + \text{H}_2\text{SO}_4 + \text{NH}_3 + \text{Ions}$
<u>Ternary Nucleation with Amines</u>	$\text{H}_2\text{O} + \text{H}_2\text{SO}_4 + \text{Amines}$
<u>Organics-mediated Nucleation</u>	$\text{H}_2\text{O} + \text{H}_2\text{SO}_4 + \text{Organics w/o ions}$
<u>Pure Organics Nucleation</u>	Organics w/o Ions

2

Key parameters controlling atmospheric new particle formation and implications

$$J_{\text{BHN}} = J([\text{H}_2\text{SO}_4], \text{RH}, T)$$

$$J_{\text{THN}} = \dots + [\text{NH}_3]$$

$$J_{\text{ATHN}} = \dots + [\text{Amines}]$$

$$J_{\text{IMN}} = J([\text{H}_2\text{SO}_4], \text{RH}, T, Q, S_0)$$

$$J_{\text{TIMN}} = J([\text{H}_2\text{SO}_4], [\text{NH}_3], \text{RH}, T, Q, S_0)$$

$$J_{\text{nucl_org}} = \dots + [\text{Organics}] + \text{ions}$$

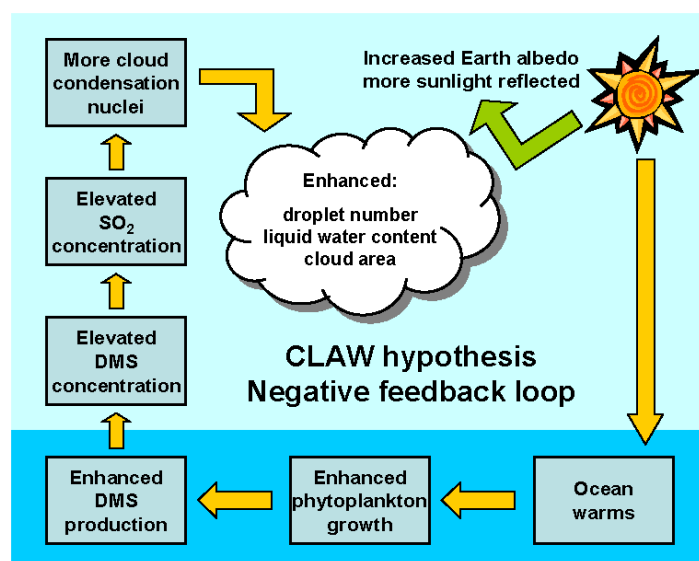
$$J_{\text{pure_org}} = [\text{Organics}] + \text{ions}$$

...

3

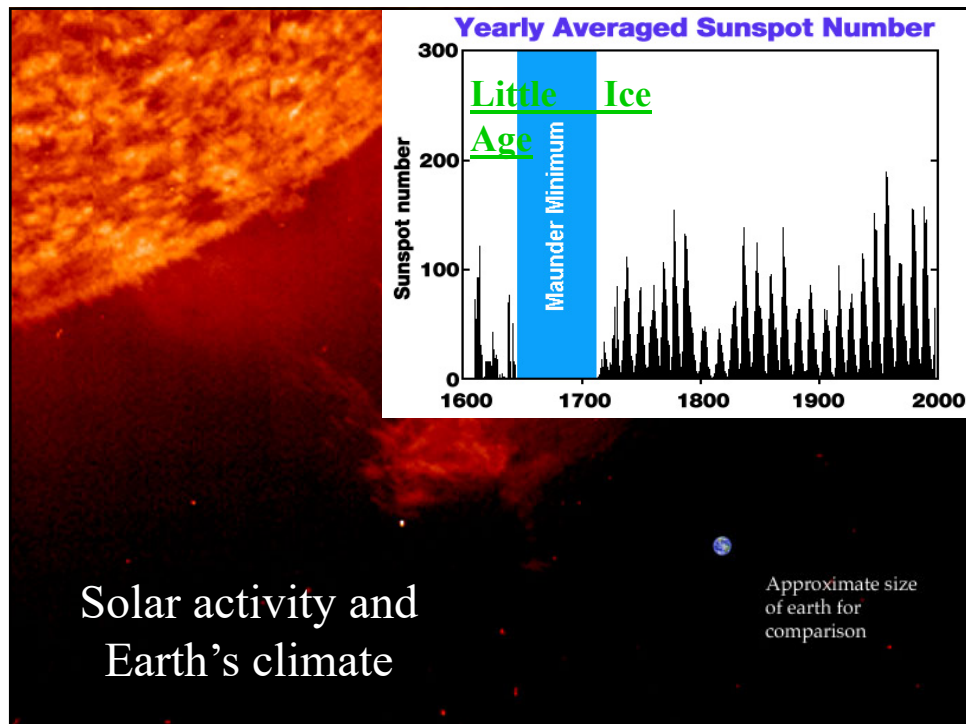
3

Negative climate feedback mechanism: CLAW hypothesis

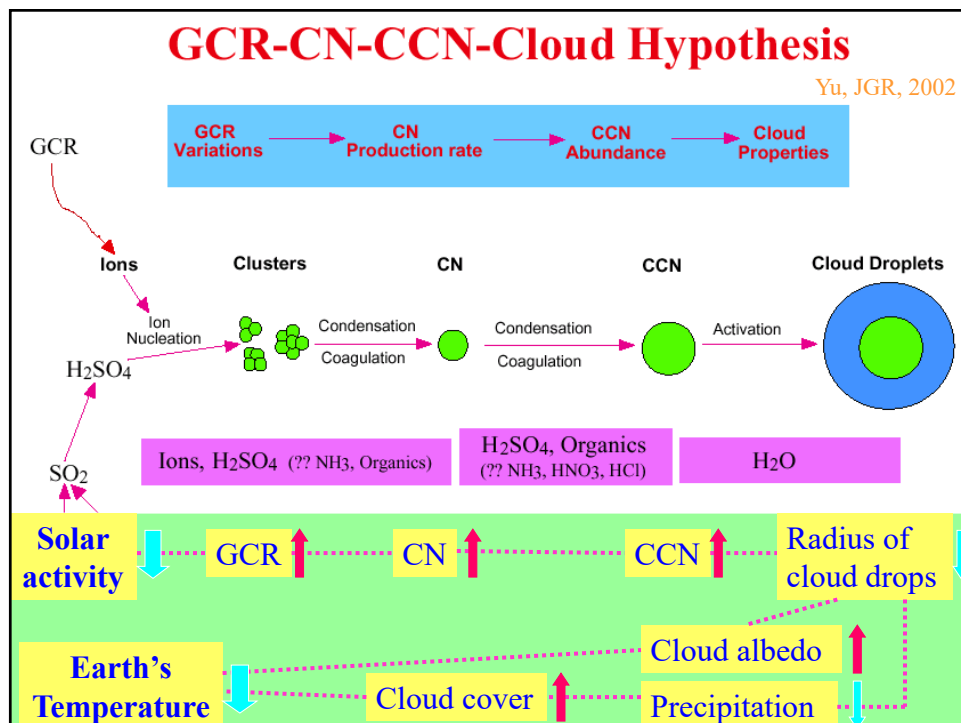


Charlson, R. J., J. E. Lovelock, M. O. Andreae, S. G. Warren, Oceanic phytoplankton, atmospheric sulphur, cloud albedo and climate, *Nature*, 326, 655-661, 1987.

4

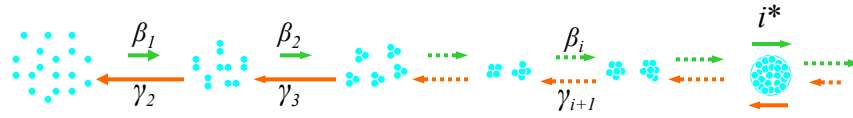


5



6

Water unary homogeneous nucleation



$$\frac{dn_{i+1}}{dt} = \delta_{i,1}\beta_i n_i - \gamma_{i+1}n_{i+1} - \beta_{i+1}n_{i+1} + \gamma_{i+2}n_{i+2}, \quad i \geq 1$$

$$\delta_{i,1} = \begin{cases} 0.5 & \text{if } i=1 \\ 1 & \text{if } i \neq 1 \end{cases}$$

Under quasi-steady state: $J_{UHN} = \delta_{i,1}\beta_i n_i - \gamma_{i+1}n_{i+1}$

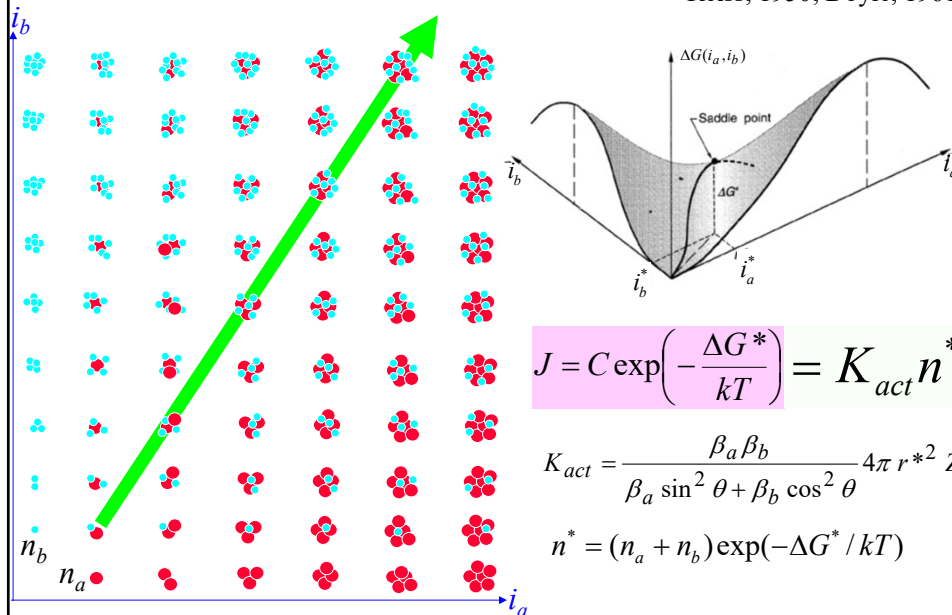
Define a quantity f_i as, $\delta_{i,1}\beta_i f_i = \gamma_{i+1}f_{i+1}$ $\frac{J_{UHN}}{\delta_{i,1}\beta_i f_i} = \frac{n_i}{f_i} - \frac{n_{i+1}}{f_{i+1}}$

$$J_{UHN} \sum_{i=1}^{i_L} \frac{1}{\delta_{i,1}\beta_i f_i} = \frac{n_1}{f_1} - \frac{n_{i_L+1}}{f_{i_L+1}}$$

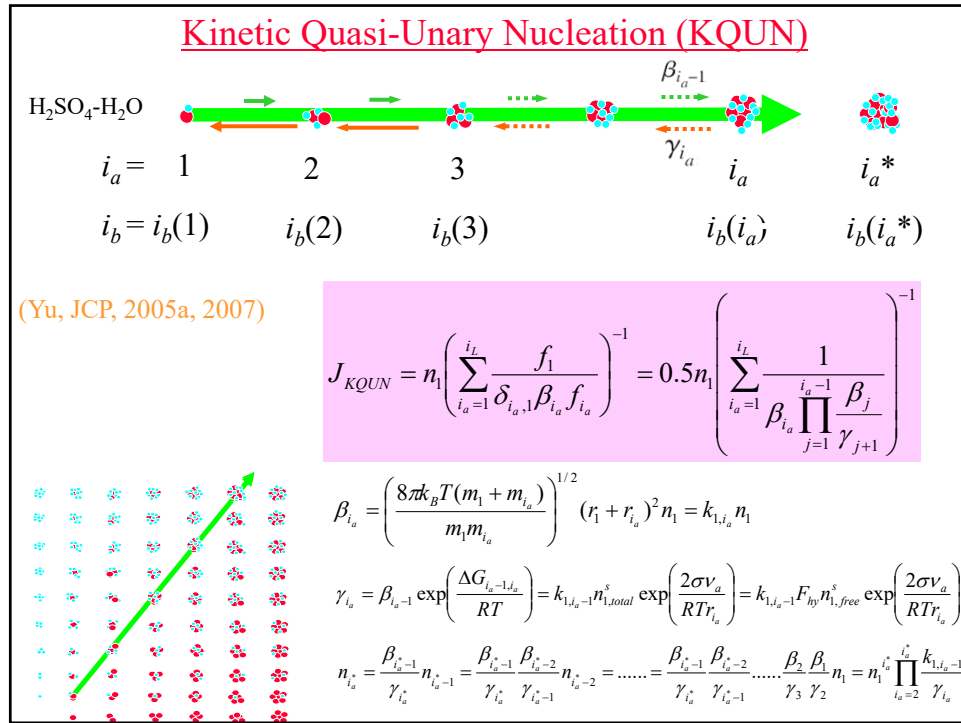
7

Binary homogeneous nucleation

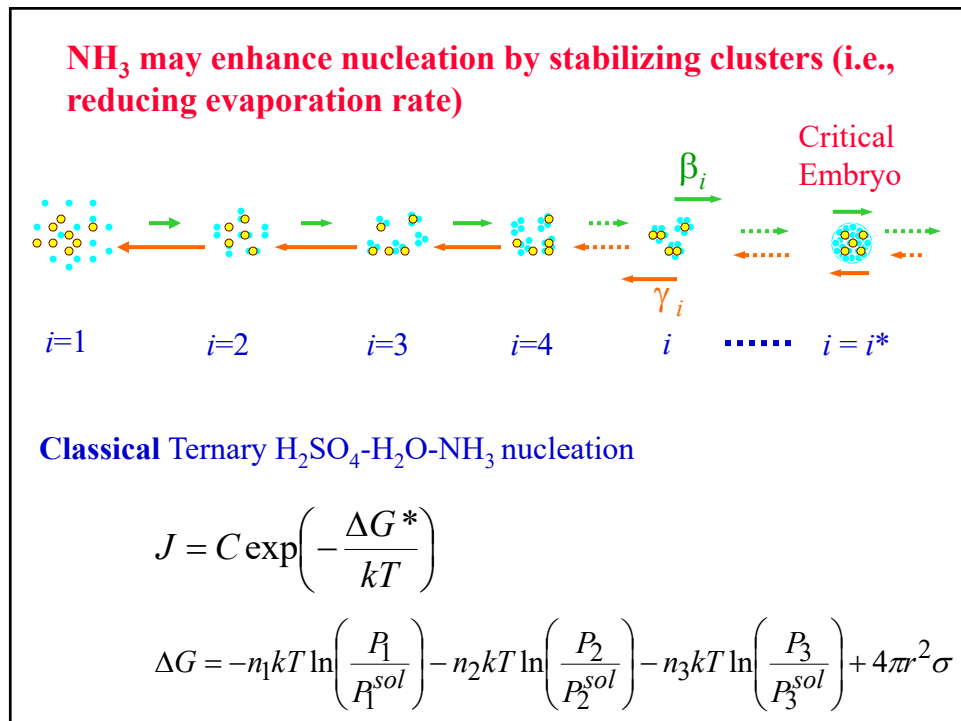
Reiss, 1950; Doyle, 1961



8



9

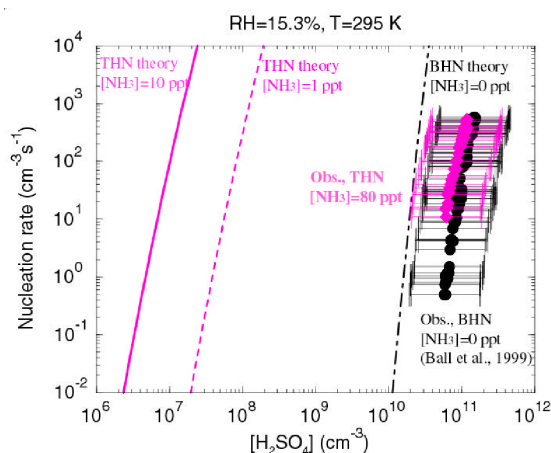


10

Effect of NH₃: Theory versus experiments

Enhancement of NH₃ on nucleation rate:

If the laboratory measurements are real, our study indicates that the effect of ammonia on H₂SO₄-H₂O homogeneous nucleation is very limited (Yu, JGR, 2006).



Laboratory experiments

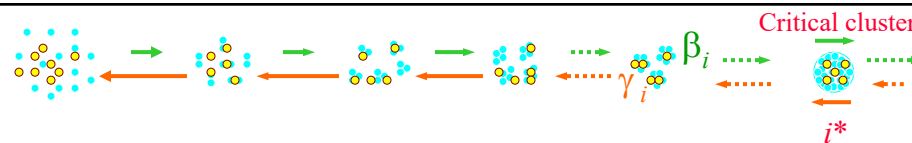
1-2 orders of magnitude (Ball et al., 1999: [NH₃] = 80, 170 ppt)

< 1 order of magnitude (Kim et al., 1998: [NH₃] = 0.71 -3.6 ppm;

Christensen, 1994; Diamond et al., 1985)

unobvious (Nolan, 1987)

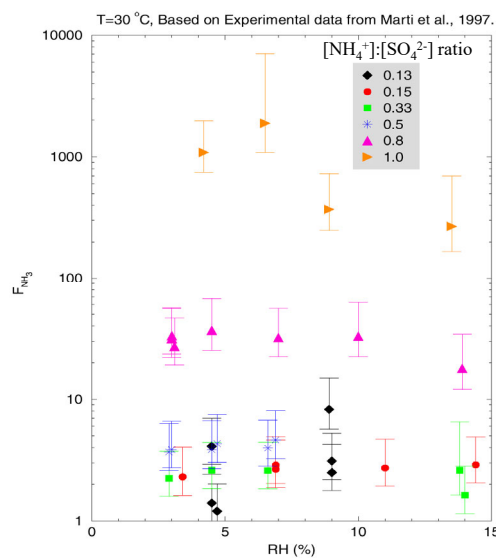
11



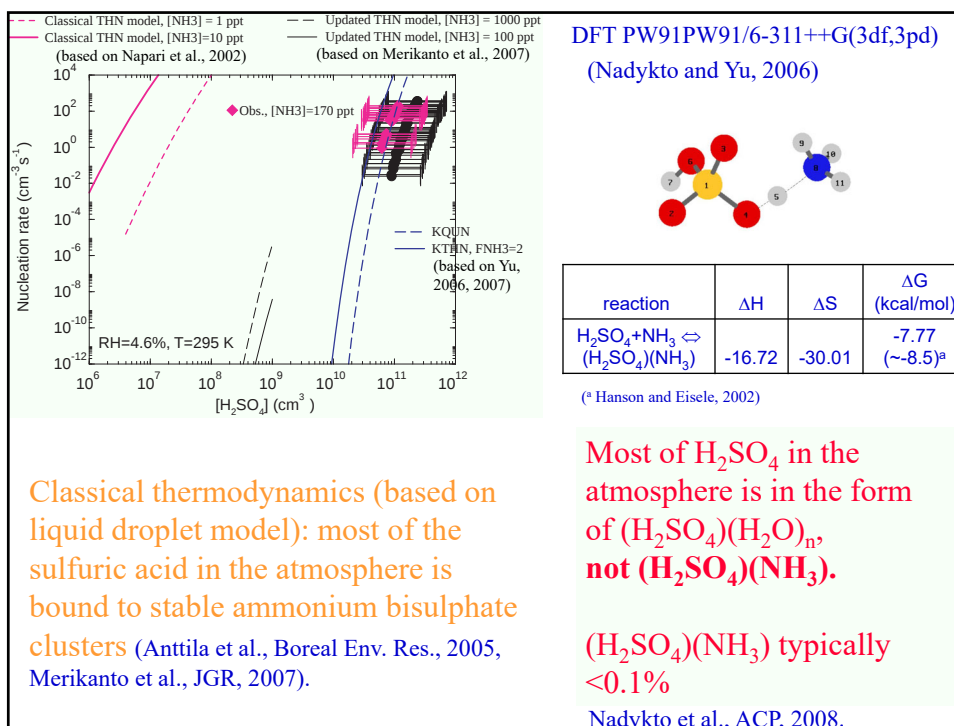
Kinetic THN (Yu, JGR, 2006)

$$\gamma_i^{\text{Ternary}} = \gamma_i^{\text{Binary}} / F_{\text{NH}_3}$$

F_{NH_3} based on vapor pressure measurements: Depend strongly on the degree of neutralization.



12



13

Understanding the role of ions in new particle formation in the atmosphere

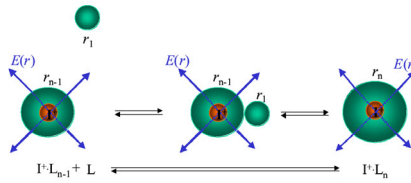
14

Classical ion induced nucleation theory

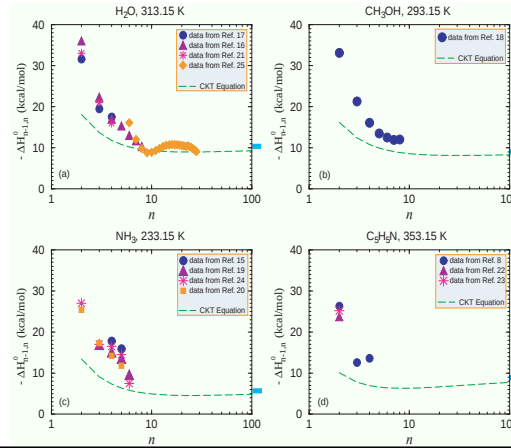
Classical Kelvin-Thomson (CKT) equation (Thomson, 1888):

$$\Delta G_{0,n}^{\text{charged}} = -nkT \ln\left(\frac{p}{p_{\text{sat}}}\right) + \sigma 4\pi r_n^2 - \frac{(qe)^2}{8\pi\epsilon_0} \left(1 - \frac{1}{\epsilon_l}\right) \left(\frac{1}{r_0} - \frac{1}{r_n}\right)$$

Yu, 2005b



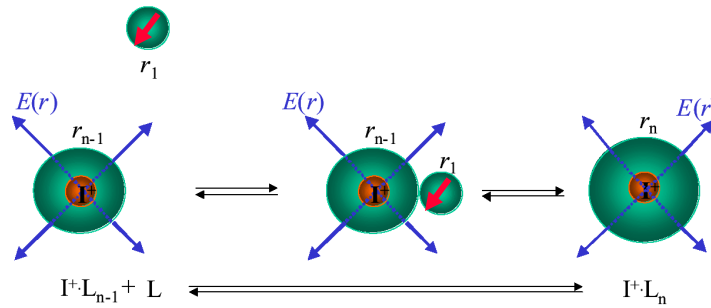
Fundamental inconsistency exists between the general form of the CKT expression and the properties of small cluster ions (Holland and Castleman, 1982).



15

Dipole-charge interaction is important

Nadykto and Yu,
2004;
Yu, 2005b



Modified Kelvin-Thomson equation:

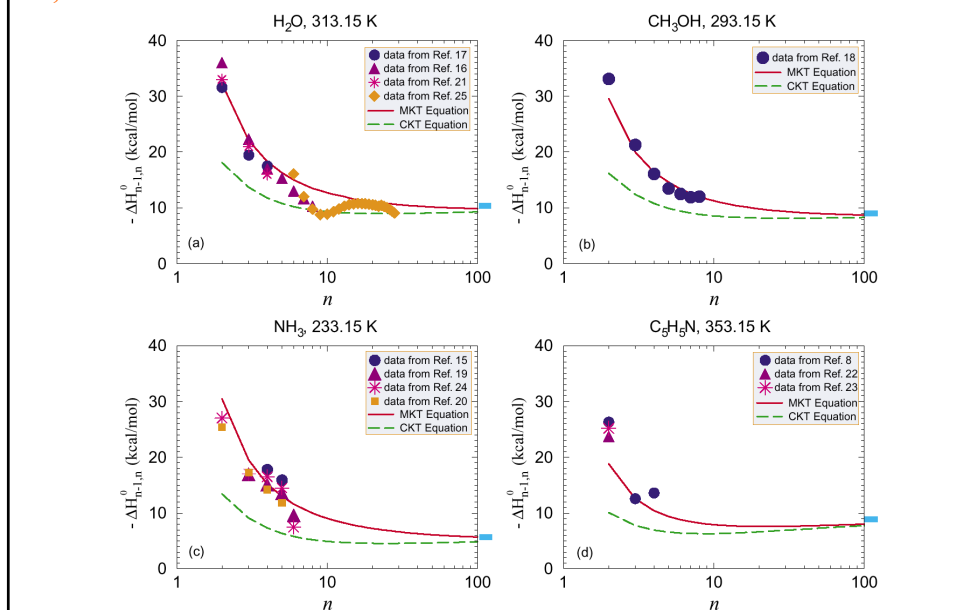
$$\Delta G_{0,n}^{\text{charged}} = -nkT \ln\left(\frac{p}{p_{\text{sat}}}\right) + \sigma 4\pi r_n^2 - \frac{(qe)^2}{8\pi\epsilon_0} \left(1 - \frac{1}{\epsilon_l}\right) \left(\frac{1}{r_0} - \frac{1}{r_n}\right) - \int_1^n \left\{ \frac{1}{2} \alpha E_{n-1}^2 + kT \ln \left[\frac{\exp\left(\frac{\mu_0 E_{n-1}}{kT}\right) - \exp\left(-\frac{\mu_0 E_{n-1}}{kT}\right)}{2} \right] \right\} dn$$

16

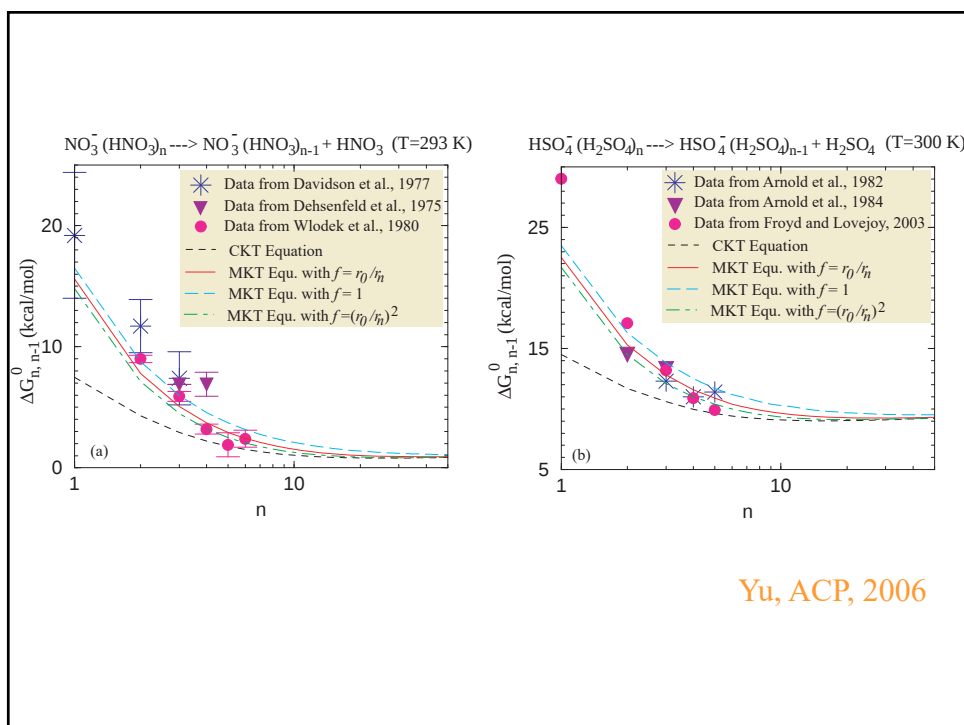
Clustering enthalpies for protonated clusters: $H^+X_{n-1} + X \rightarrow H^+X_n$

(X = H₂O, NH₃, CH₃OH, and C₂H₅N)

Yu, 2005b



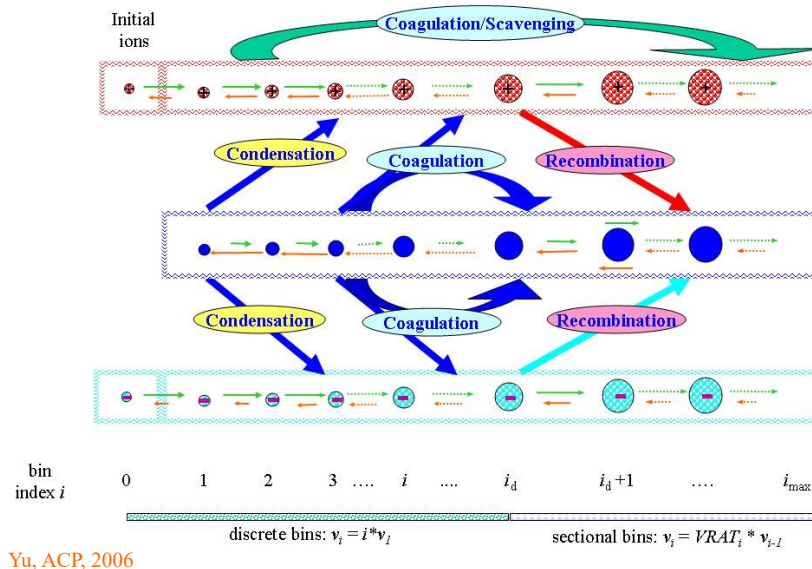
17



Yu, ACP, 2006

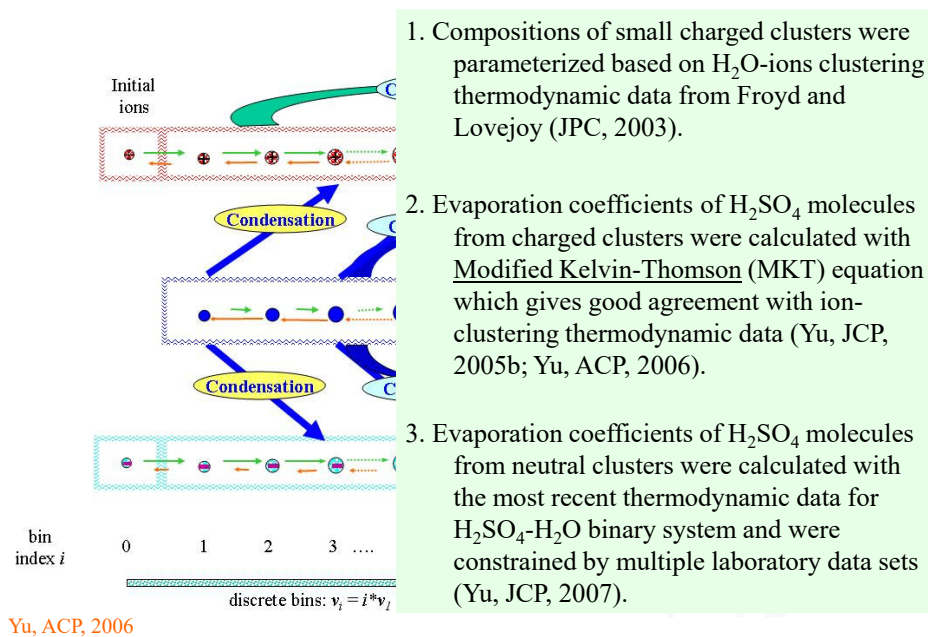
18

Ion-mediated nucleation (IMN) model



19

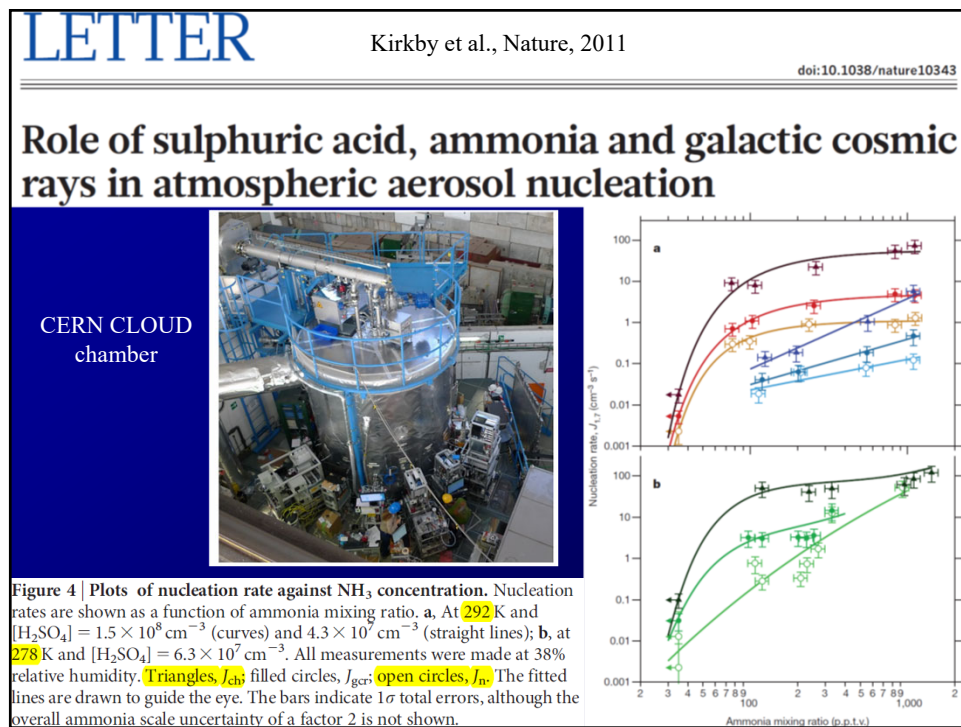
Ion-mediated nucleation (IMN) model



20

Ternary Ion-Mediated Nucleation (TIMN): Controlling Parameters

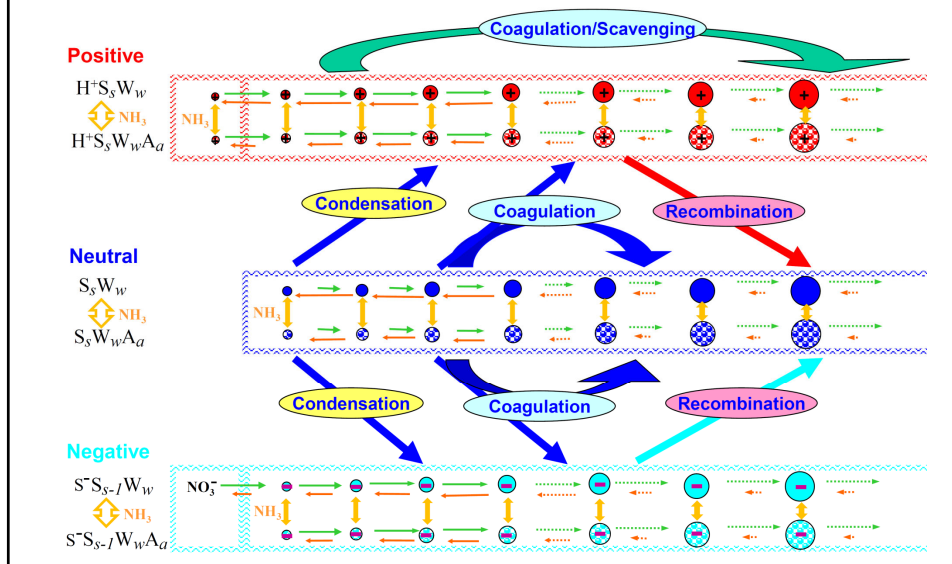
21



22

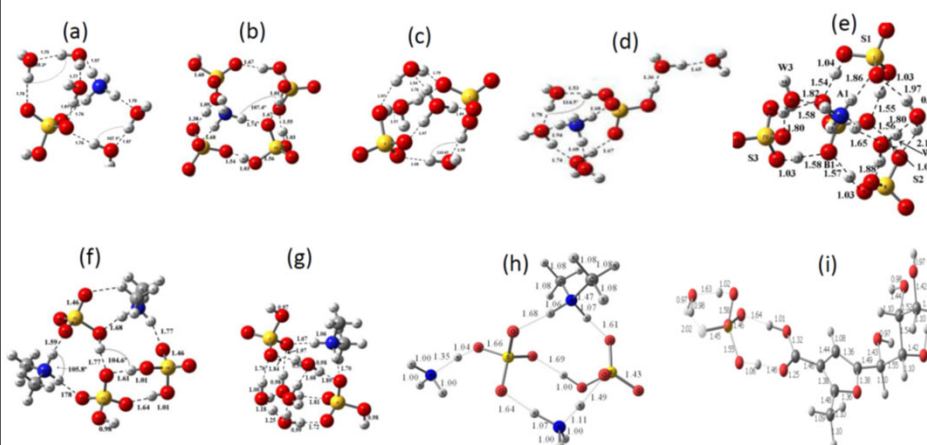
Kinetic-based $\text{H}_2\text{SO}_4\text{-H}_2\text{O-NH}_3$ ternary ion-mediated nucleation (TIMN) model

Yu et al., ACPD, 2018



23

Quantum chemistry (QC) studies of neutral and charged clusters

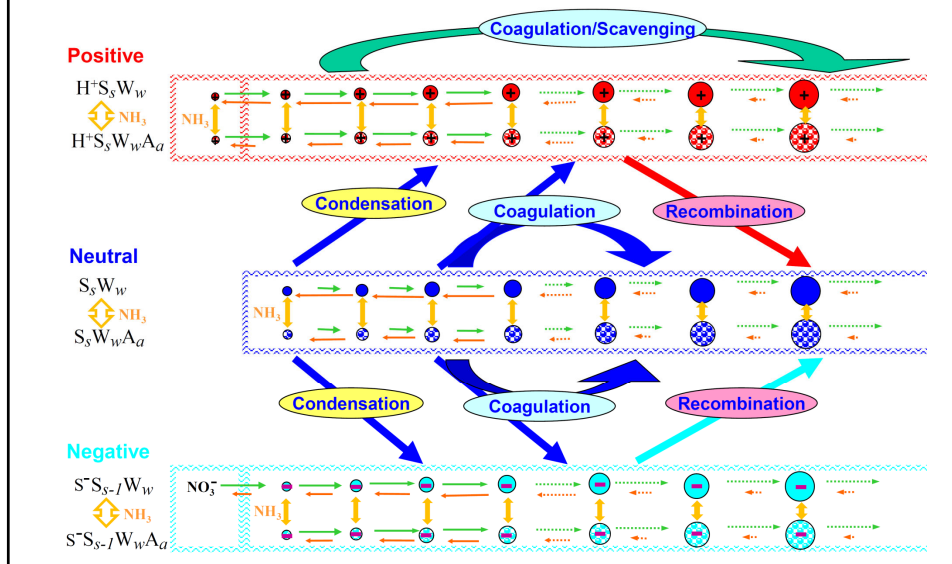


Geometries of the most stable isomers of (a) $(\text{NH}_3)(\text{H}_2\text{SO}_4)(\text{H}_2\text{O})_5$, (b) $(\text{NH}_3)_1(\text{H}_2\text{SO}_4)_4$, (c) $(\text{H}_3\text{O}^+)(\text{H}_2\text{SO}_4)_2(\text{H}_2\text{O})_5$, (d) $(\text{NH}_4^+)(\text{H}_2\text{SO}_4)(\text{H}_2\text{O})_6$, (e) $(\text{HSO}_4^-)(\text{H}_2\text{SO}_4)_3(\text{NH}_3)(\text{H}_2\text{O})_3$, (f) $((\text{CH}_3)_2\text{NH})_2(\text{H}_2\text{SO}_4)_3$, (g) $(\text{H}_2\text{SO}_4)_2[(\text{CH}_3)_2\text{NH}]_1(\text{H}_2\text{O})_4$, (h) $(\text{H}_2\text{SO}_4)_2[(\text{CH}_3)_2\text{NH}]_2(\text{NH}_3)_2$, (i) $(\text{C}_{10}\text{H}_{14}\text{O}_7)(\text{H}_2\text{SO}_4)(\text{H}_2\text{O})$, calculated at PW91PW91/6-311++G(3df,3pd) level of theory.

24

Kinetic-based $\text{H}_2\text{SO}_4\text{-H}_2\text{O-NH}_3$ ternary ion-mediated nucleation (TIMN) model

Yu et al., ACPD, 2018

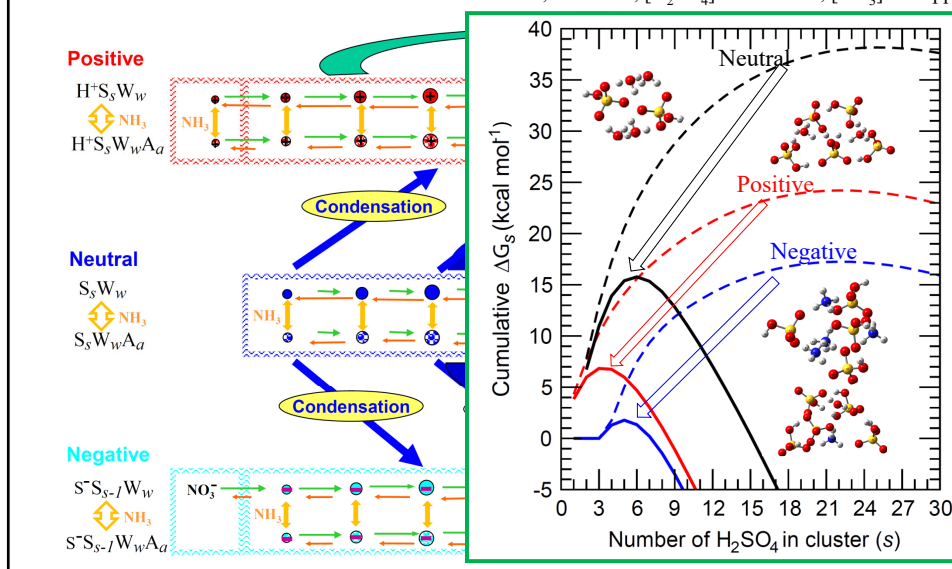


25

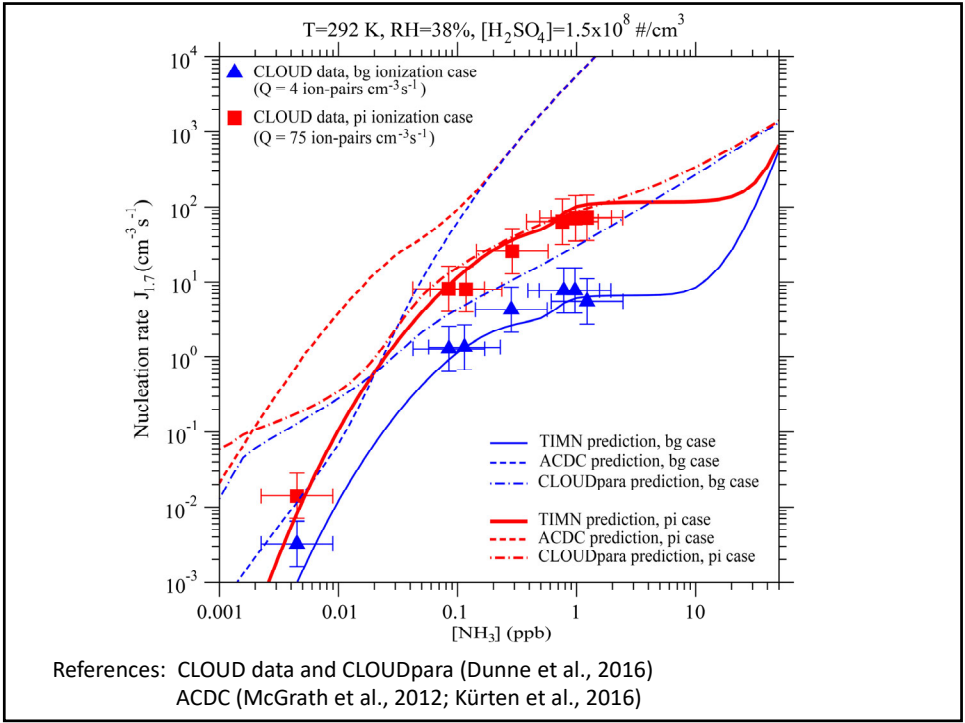
Kinetic-based $\text{H}_2\text{SO}_4\text{-H}_2\text{O-NH}_3$ ternary ion-mediated nucleation (TIMN) model

Yu et al., ACPD, 2018

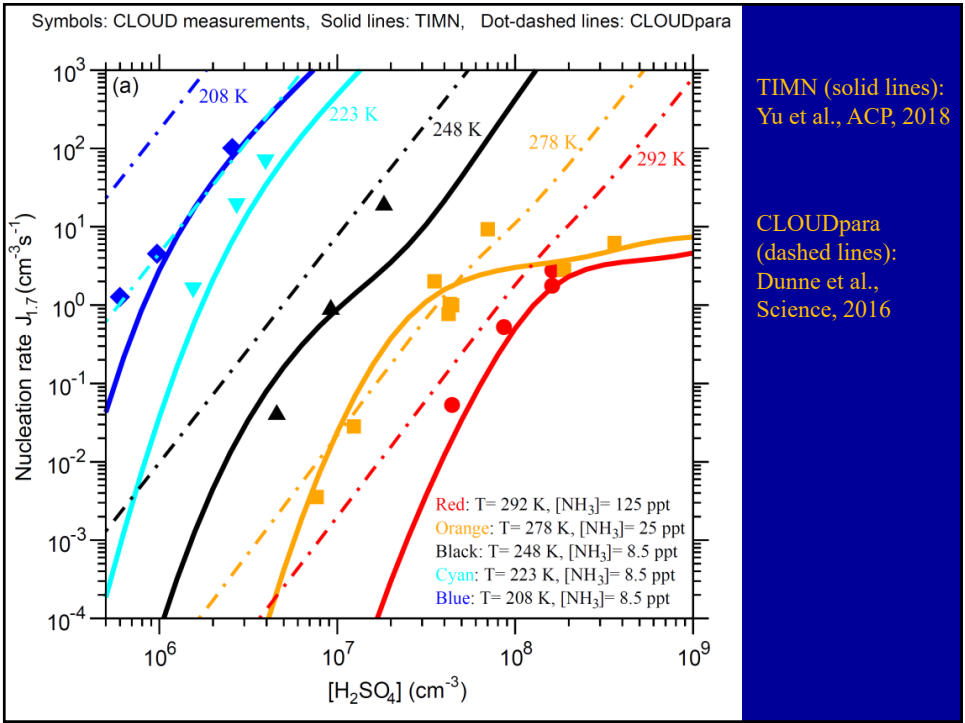
$T=292\text{ K}$, $\text{RH}=38\%$, $[\text{H}_2\text{SO}_4]=3\times 10^8\text{ cm}^{-3}$, $[\text{NH}_3]=0.3\text{ ppb}$



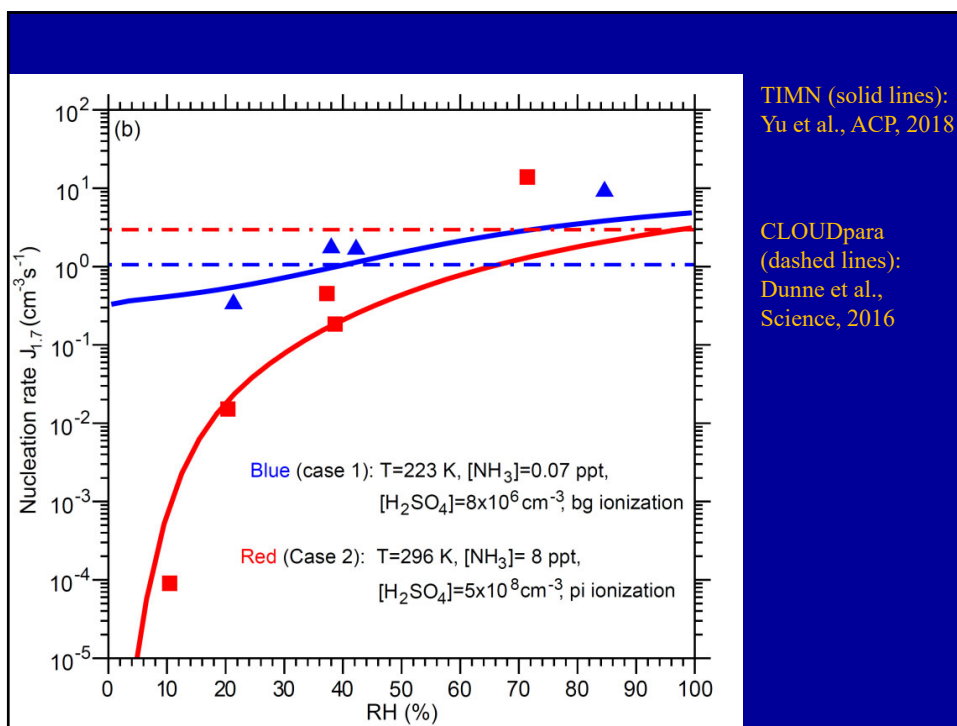
26



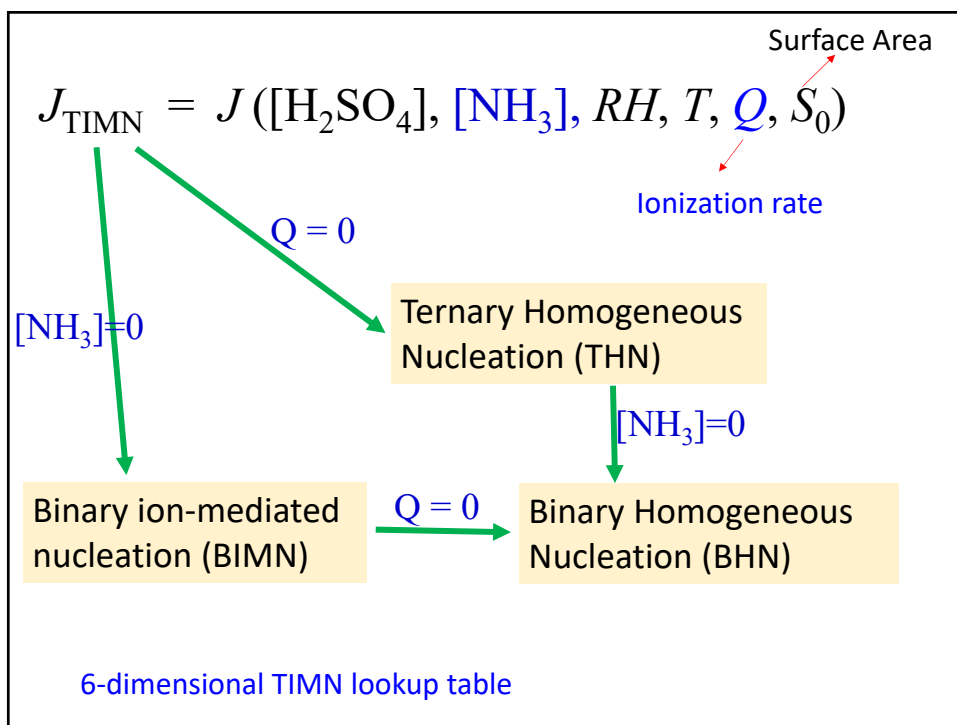
27



28



29



30

Article

<https://gmd.copernicus.org/articles/13/2663/2020/>

Articles / Volume 13, issue 6 / GMD, 13, 2663–2670, 2020

<https://doi.org/10.5194/gmd-13-2663-2020>
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Development and technical paper |

17 Jun 2020

Article

Assets

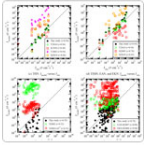
Peer review

Metrics

Related articles

H₂SO₄–H₂O binary and H₂SO₄–H₂O–NH₃ ternary homogeneous and ion-mediated nucleation: lookup tables version 1.0 for 3-D modeling application

Fangqun Yu, Alexey B. Nadykto, Gan Luo, and Jason Herb



Abstract

Formation of new particles in the atmosphere has important implications for air quality and climate. Recently, we have developed a kinetically based H₂SO₄–H₂O–NH₃-ion nucleation model which well captures the absolute values of nucleation rates as well as dependencies of nucleation rates on NH₃ and H₂SO₄ concentrations, ionization rates, temperature, and relative humidity observed in the well-controlled Cosmics Leaving Outdoor Droplets (CLOUD) measurements. Here we employ the aforementioned recently developed kinetic nucleation model to generate nucleation rate lookup tables for H₂SO₄–H₂O binary homogeneous nucleation (BHN), H₂SO₄–H₂O–NH₃ ternary homogeneous nucleation (THN), H₂SO₄–H₂O-ion binary ion-mediated nucleation (BIMN), and H₂SO₄–H₂O–NH₃-ion ternary ion-mediated nucleation (TIMN). A comparison of nucleation rates calculated using the lookup tables with CLOUD measurements of BHN, BIMN, THN, and TIMN is presented. The lookup tables cover a wide range of key parameters controlling binary, ternary, and ion-mediated nucleation in the Earth's atmosphere and are a cost-efficient solution for multidimensional modeling. The lookup tables and FORTRAN codes, made available through this work, can be readily used in 3-D modeling. The lookup tables can also be used by experimentalists involved in laboratory and field measurements for a quick assessment of nucleation involving H₂SO₄, H₂O, NH₃, and ions.

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<https://zenodo.org/records/3483797>

zenodo

Planned intervention: On Monday, October 6th, 06:30 UTC, Zenodo will be unavailable for 1-2 minutes to perform an upgrade to our compute cluster.

Published October 12, 2019 | Version 1.0.0

Dataset Open

Lookup tables for H₂SO₄-H₂O binary and H₂SO₄-H₂O-NH₃ ternary homogeneous and ion-mediated nucleation

Fangqun Yu

Show affiliations

2019/10/10

This directory contains fortran code and lookup tables for calculating nucleation rate for the following four nucleation mechanisms:
 1. H₂SO₄-H₂O binary homogeneous nucleation (BHN),
 2. H₂SO₄-H₂O-NH₃ ternary homogeneous nucleation (THN),
 3. H₂SO₄-H₂O-Ions binary ion-mediated nucleation (BIMN), and
 4. H₂SO₄-H₂O-NH₃-Ions ternary ion-mediated nucleation (TIMN)

References:
 1. Yu, F., Nadykto, A. B., Herb, J., Luo, G., Nazarenko, K. M., and Uvarova, L. A.: H₂SO₄-H₂O-NH₃ ternary ion-mediated nucleation (BHN): Kinetic-based model and comparison with CLOUD measurements, Atmos. Chem. Phys., 18, 17451–17474, <https://doi.org/10.5194/acp-18-17451-2018>, 2018.
 2. Yu, F., Nadykto, A. B., Herb, J., Luo, G.: H₂SO₄-H₂O binary and H₂SO₄-H₂O-NH₃ ternary homogeneous and ion-mediated nucleation: Lookup tables, in review, GMD, 2019.

Files included in the package:
 YBHN: BHN code and lookup table
 YTHN: THN code and lookup table
 YBIMN: BIMN code and lookup table
 YTIMN: TIMN code and lookup table

Lookup tables for H₂SO₄-H₂O

ncd_XXX_mod.f (XXX = BHN, THN, BIMN, TIMN): Stand alone module for XXX rate calculation.
 mainXXX.f: Test program to demonstrate how the lookup table is used.
 InputXXX.dat: Example input data for testing the code and lookup table.
 OutputXXX.dat: Example output after running the test code
 Use "f95 -f" to compile and get executable program a.out
 To run the test program:
 >a.out
 For your reference, output of running the program on my workstation has been saved to outputXXX.dat.
 I hope that the comments provided in the subroutines make the code self-explanatory. Contact me if you have questions.
 Enjoy and have fun!
 Fangqun Yu
 SUNY-Albany
 (fyu@albany.edu)

Files

Files (19.4 MB)
 BHN-BIMN-THN-TIMN-LookupTables.tar.gz
 md5:48b9596bd8ba33770e137ba2e02d014
 19.4 MB
 Download

Additional details

Funding

U.S. National Science Foundation
 Development, Validation, and Application of Multicomponent Atmospheric New Particle

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16

```

head.its.albany.edu (yfq)
Terminal Sessions View X server Tools Games Settings Macros Help
Session Servers Tools Games Sessions View Split MultiExec Tunneling Packages Settings Help

Quick connect...
Name
[network/its/lab/yulab/yfq/ATMS]
YTIMN
YBHN
YBIMN
YTHN

YTHN/YBIMN:
total 3888
-rw-r--r-- 1 yfq faculty 270 Oct 5 2019 XRH.txt
-rw-r--r-- 1 yfq faculty 30 Oct 5 2019 XS.txt
-rw-r--r-- 1 yfq faculty 400 Oct 5 2019 XT.txt
-rw-r--r-- 1 yfq faculty 391421 Oct 5 2019 YJBIMN.txt

YTHN/YTIMN:
total 12688
-rw-r--r-- 1 yfq faculty 330 Oct 5 2019 XH2504.txt
-rw-r--r-- 1 yfq faculty 90 Oct 5 2019 XQ.txt
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-rw-r--r-- 1 yfq faculty 30 Oct 5 2019 XS.txt
-rw-r--r-- 1 yfq faculty 400 Oct 5 2019 XT.txt
-rw-r--r-- 1 yfq faculty 3131249 Oct 5 2019 YJBIMN.txt

YTHN/YTIMN:
total 101332
-rw-r--r-- 1 yfq faculty 330 Oct 5 2019 XH2504.txt
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-rw-r--r-- 1 yfq faculty 30 Oct 5 2019 XS.txt
-rw-r--r-- 1 yfq faculty 400 Oct 5 2019 XT.txt
-rw-r--r-- 1 yfq faculty 103330679 Oct 5 2019 YJTIMN.txt

[yfq@head-01 BHN-BIMN-THN-TIMN-LookupTables]$ ls -/*
YBHN/a.out YBHN/nucL_bhn_mod.mod YBIMN/nucL_BIMN_mod.f YTHN/nucL_THN_mod.f YTIMN/mainTIMN.f
YBHN/inputBHN.dat YBHN/outputBHN.dat YBIMN/outputBIMN.dat YTHN/outputTHN.dat YTIMN/nucL_TIMN_mod.f
YBHN/mainBIMN.f YBIMN/inputBIMN.dat YTHN/inputTHN.dat YTIMN/a.out YTIMN/nucL_tinn_mod.mod
YBHN/nucL_bhn_mod.f YBIMN/mainBIMN.f YTHN/mainTHN.f YTIMN/inputTIMN.dat YTIMN/outputTIMN.dat

YBHN/YBIMN:
XH2504.txt XRH.txt XS.txt XT.txt YJBIMN.txt

YBIMN/YBIMN:
XH2504.txt XQ.txt XRH.txt XS.txt XT.txt YJBIMN.txt

YTHN/YTHN:
XH2504.txt XNH3.txt XRH.txt XS.txt XT.txt YJTHN.txt

YTIMN/YTIMN:
XH2504.txt XNH3.txt XQ.txt XRH.txt XS.txt XT.txt YJTIMN.txt
[yfq@head-01 BHN-BIMN-THN-TIMN-LookupTables]$

```

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```

head.its.albany.edu (yfq)
Terminal Sessions View X server Tools Games Settings Macros Help
Session Servers Tools Games Sessions View Split MultiExec Tunneling Packages Settings Help

Quick connect...
[yfq@head-01 ATMS15]$ ls
BHN-BIMN-THN-TIMN-LookupTables BHN-BIMN-THN-TIMN-LookupTables.tar.gz
[yfq@head-01 ATMS15]$ cd BHN-BIMN-THN-TIMN-LookupTables
[yfq@head-01 BHN-BIMN-THN-TIMN-LookupTables]$ ls
YBHN YBIMN YTHN YTIMN
[yfq@head-01 BHN-BIMN-THN-TIMN-LookupTables]$ cd YBHN
[yfq@head-01 YBHN]$ ls
a.out inputBHN.dat mainBHN.f nucL_bhn_mod.mod outputBHN.dat YBHN
[yfq@head-01 YBHN]$ cat inputBHN.dat
5.000E+06 2.100E+02 3.500E+01 2.000E+01
[yfq@head-01 YBHN]$ cat outputBHN.dat
5.000E+06 3.500E+01 2.100E+02 2.000E+01 4.781E+00
[yfq@head-01 YBHN]$ cat mainBHN.f
!
PROGRAM MAIN
!
! Test code for using the BHN nucleation rate lookup tables
! Contact Fangqun Yu (fyu@albany.edu) for questions.
!
! References to F95 modules
USE NUC_L_BHN_MOD, ONLY : READJBHN, YJBHN

REAL*4 :: CACID,RH,TK,XS, XJBHN

CHARACTER(LEN=255) :: DATA_DIR
DATA_DIR = "/"

open(20,file='inputBHN.dat')
open(30,file='outputBHN.dat')

CALL READJBHN(DATA_DIR) !Only need to be read once at the beginning

DO ITRY = 1, 100
  READ(20,*,end=500)CACID,TK,RH,XS
  CALL YJBHN(CACID,RH,TK,XS, XJBHN)
  WRITE(30,100)CACID,RH,TK,XS, XJBHN
ENDDO
500 CONTINUE
100 FORMAT(100(1PE10.3))
STOP
END

[yfq@head-01 YBHN]$ f95 nucL_bhn_mod.f mainBHN.f
[yfq@head-01 YBHN]$ a.out
Read BHN look-up tables @ ./YBHN/
[yfq@head-01 YBHN]$ cat outputBHN.dat
5.000E+06 3.500E+01 2.100E+02 2.000E+01 4.781E+00
[yfq@head-01 YBHN]$

```

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```

head.its.albany.edu (yfq)
Terminal Sessions View X server Tools Games Settings Macros Help
Session Servers Tools Games Sessions View Split MuExec Tunneling Packages Settings Help
Quick connect... 2 yfqserv1 3 yfqserv1 7 yfqserv1 8 yfqserv1 5 headnod 6 he
[yfq@head-01 BHN-BIMN-THN-TIMN-LookupTables]$ ls
YBHN YBIMN YTHN YTIMN
[yfq@head-01 BHN-BIMN-THN-TIMN-LookupTables]$ cd YTIMN
[yfq@head-01 YTIMN]$ ls
a.out inputTIMN.dat mainTIMN.f nucl_TIMN_mod.f nucl_timn_mod.mod outputTIMN.dat YTIMN
[yfq@head-01 YTIMN]$ cat mainTIMN.f

PROGRAM MAIN
!
! Test code for using the TIMN nucleation rate lookup tables
! Contact Fangqun Yu (fyu@albany.edu) for questions.
!
! References to F90 modules
USE NUCL_TIMN_MOD, ONLY : READJTIMN, YJTIMN

REAL*4 :: CACID,RH,TK,XQ,XS,XB,XJTIMN
CHARACTER(LEN=255) :: DATA_DIR

DATA_DIR = "/"

open(20,file='inputTIMN.dat')
open(30,file='outputTIMN.dat')

CALL READJTIMN(DATA_DIR) ! Only need to be read once at the beginning of run

DO ITRY = 1, 100
  read(20,*end=500)CACID,TK,RH,XQ,XS,XB
  CALL YJTIMN(CACID,RH,TK,XQ,XS,XB, XJTIMN)
  WRITE(30,100)CACID,RH,TK,XQ,XS,XB,XJTIMN
ENDDO
500 CONTINUE
100 FORMAT(100(1PE10.3))
STOP
END

[yfq@head-01 YTIMN]$ cat inputTIMN.dat
2.318E+06 2.236E+02 3.919E+01 1.415E+01 2.000E+01 4.636E+08
4.555E+07 1.967E+02 1.530E+01 8.378E+00 2.000E+01 9.111E+09
5.104E+05 2.994E+02 1.905E+01 1.055E+01 2.000E+01 1.021E+08
4.920E+06 2.675E+02 6.497E+01 3.920E+00 2.000E+01 9.041E+08
6.897E+07 2.392E+02 8.262E+01 2.131E+01 2.000E+01 1.379E+10
5.209E+06 2.952E+02 9.211E+01 3.383E+01 2.000E+01 1.042E+09
2.320E+06 2.686E+02 4.339E+01 7.783E+00 2.000E+01 4.641E+08

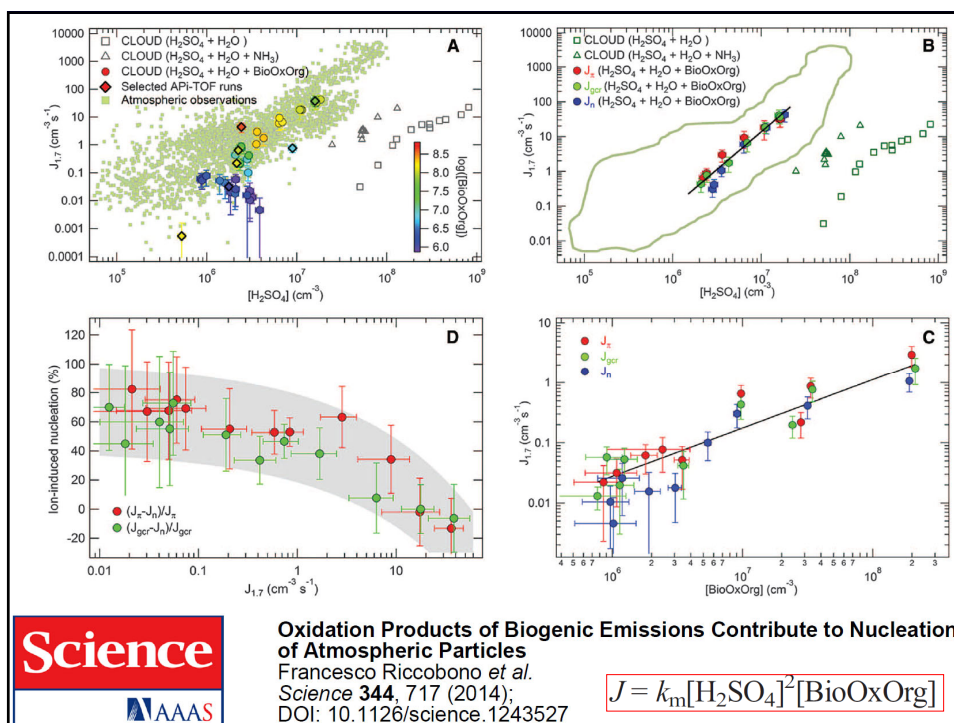
[yfq@head-01 YTIMN]$ f95 nucl_TIMN_mod.f mainTIMN.f
[yfq@head-01 YTIMN]$ a.out
Read TIMN look-up tables @ ./YTIMN/
[yfq@head-01 YTIMN]$ cat outputTIMN.dat

```

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**Understanding the role of organics in
new particle formation in the atmosphere**

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Impact of temperature dependence on the possible contribution of organics to new particle formation in the atmosphere

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Table 1. The changes in enthalpy (ΔH), entropy (ΔS), and Gibbs free energy (ΔG) for the formation of a $(\text{C}_{10}\text{H}_{14}\text{O}_7)(\text{H}_2\text{SO}_4)_2$ cluster under the standard condition ($P = 1 \text{ atm}$, $T = 298 \text{ K}$).

	ΔH (kcal mol^{-1})	ΔS ($\text{cal mol}^{-1} \text{ K}^{-1}$)	ΔG (kcal mol^{-1})
$\text{C}_{10}\text{H}_{14}\text{O}_7 + \text{H}_2\text{SO}_4 + \text{H}_2\text{SO}_4 \rightleftharpoons (\text{C}_{10}\text{H}_{14}\text{O}_7)(\text{H}_2\text{SO}_4)_2$	-38.30	-75.45	-15.81

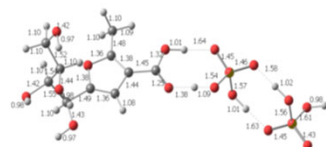
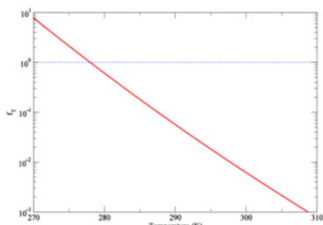


Figure 1. The equilibrium geometry of the most stable isomers of the heteromolecular trimer composed of $(\text{C}_{10}\text{H}_{14}\text{O}_7)(\text{H}_2\text{SO}_4)_2$ obtained at the PW91PW91/6-311++G(3df,3pd) level of theory. The bonding lengths are in Angstroms.



$$J_{\text{Nucl-OrgT}} = J_{\text{Nucl-Org}} f_T$$

$$f_T = \exp\left[\frac{\Delta H}{k} \left(\frac{1}{T} - \frac{1}{T_0}\right)\right],$$

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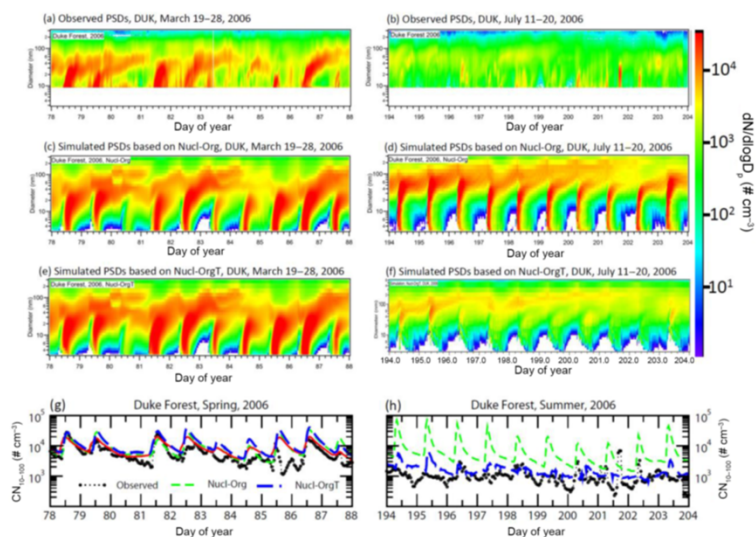


Figure 7. The particle size distributions (PSDs) observed (a, b) and simulated based on the Nucl-Org (c, d) and Nucl-OrgT (e, f) schemes during two 10-day periods in March (a, c, e) and July (b, d, f) 2006 in Duke Forest (DUK), along with a time series of the concentration of condensation nuclei between 10 and 100 nm (CN_{10-100}) (g, h).