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13 14	The Development of the North Pacific Jet Phase Diagram as an Objective Tool to Monitor the State of the Upper-Tropospheric Flow Pattern
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# ABSTRACT

46 47	Previous studies employing empirical orthogonal function (EOF) analyses of upper-
48	tropospheric zonal wind anomalies have identified the leading modes of North Pacific jet (NPJ)
49	variability that prevail on synoptic time scales. The first leading mode corresponds to a zonal
50	extension or retraction of the exit region of the climatological NPJ, while the second leading
51	mode corresponds to a poleward or equatorward shift of the exit region of the climatological
52	NPJ. These NPJ regimes can strongly influence the character of the large-scale flow pattern over
53	North America. Consequently, knowledge of the prevailing NPJ regime and the forecast skill
54	associated with each NPJ regime has the potential to increase confidence in operational medium-
55	range (6–10-day) forecasts over North America.
56	This study documents the development of a NPJ Phase Diagram, which is constructed
57	from the two leading EOFs of 250-hPa zonal wind anomalies during September-May 1979-
58	2014. The projection of 250-hPa zonal wind anomalies at one or multiple times onto the NPJ
59	Phase Diagram provides an objective characterization of the state or evolution of the upper-
60	tropospheric flow pattern over the North Pacific. A 30-year analysis of GEFS reforecasts with
61	respect to the NPJ Phase Diagram demonstrates that forecasts initialized and verified during jet
62	retraction and equatorward shift regimes are associated with larger average errors than jet
63	extension and poleward shift regimes. An examination of the best and worst forecasts further
64	suggests that periods characterized by rapid NPJ regime transition or the development and
65	maintenance of North Pacific blocking events exhibit reduced forecast skill.

66 1. Introduction

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(NPJ) stream is a narrow, meandering current of strong upper-tropospheric wind speeds bounded
by appreciable horizontal and vertical shear. The position and intensity of the NPJ is modulated
by a number of external factors, including tropical convection (e.g., Hoskins and Karoly 1981;
Madden and Julian 1994; Harr and Dea 2009; Archambault et al. 2013, 2015; Torn and Hakim
2015; Grams and Archambault 2016; Bosart et al. 2017), interactions between the NPJ and
baroclinic eddies along the midlatitude storm track (e.g., Orlanski and Sheldon 1995; Chang et
al. 2002; Hakim 2003; Torn and Hakim 2015; Bosart et al. 2017), and the East Asian Winter
Monsoon (e.g., Jhun and Lee 2004; Lee et al. 2010; Wang and Chen 2014; Handlos and Martin

Anchored downstream of the Asian continent at middle latitudes, the North Pacific jet

2016). In combination, these factors contribute to NPJ configurations that vary substantially onboth weather and climate time scales.

79 In an attempt to characterize the variability of the NPJ, prior work has identified the 80 leading modes of NPJ variability that prevail on weather and climate time scales during the 81 winter (Dec-Feb). Schubert and Park (1991) provided one of the first investigations of 82 subseasonal NPJ variability, and calculated the two leading traditional empirical orthogonal 83 functions<sup>1</sup> (EOFs) of 20–70-day filtered zonal wind at 200 hPa over the Pacific basin. Their first 84 EOF describes variability in the intensity of the NPJ over the western North Pacific, while their 85 second EOF describes a zonal extension or retraction of the exit region of the climatological 86 NPJ. In contrast, Eichelberger and Hartmann (2007) employed daily zonal wind data during 87 January in their traditional EOF analysis and found that the first EOF of the vertically averaged 88 zonal-mean zonal wind over the North Pacific encompasses variability in the intensity,

<sup>&</sup>lt;sup>1</sup> A traditional EOF analysis is a statistical technique to extract patterns that explain the greatest fraction of the variance within a multidimensional dataset (Wilks 2011).

longitudinal extent, and latitudinal position of the NPJ. Consequently, the Eichelberger and
Hartmann (2007) analysis suggests that NPJ variability is considerably more complex when
analyzed on synoptic rather than subseasonal time scales.

92 Recent studies by Athanasiadis et al. (2010) and Jaffe et al. (2011) provided additional 93 physical clarity on the two leading modes of NPJ variability that prevail on synoptic time scales 94 during the cold season (Nov–Mar). These studies applied traditional EOF analysis to unfiltered 95 upper-tropospheric zonal wind data over the North Pacific and determined that the first mode of 96 NPJ variability corresponds to longitudinal variability in the vicinity of the exit region of the 97 climatological NPJ. Specifically, a positive EOF 1 pattern (+EOF 1) describes a zonal extension 98 of the exit region of the climatological NPJ, while a negative EOF 1 pattern (-EOF 1) describes a 99 zonal retraction of the exit region of the climatological NPJ. The second mode of NPJ variability 100 corresponds to latitudinal variability in the vicinity of the exit region of the climatological NPJ. 101 In the context of this mode, a positive EOF 2 pattern (+EOF 2) describes a poleward shift of the 102 exit region of the climatological NPJ, while a negative EOF 2 pattern (-EOF 2) describes an 103 equatorward shift.

104 Knowledge of the four NPJ configurations identified by Athanasiadis et al. (2010) and 105 Jaffe et al. (2011), hereafter referred to as NPJ regimes, subsequently permits an examination of 106 the relationship between each NPJ regime and the downstream large-scale flow pattern over 107 North America. To this end, Griffin and Martin (2017) employed time-extended EOF analyses 108 (e.g., Weare and Nasstrom 1982; Wilks 2011) of 250-hPa zonal wind data from the 109 NCEP/NCAR reanalysis dataset (Kalnay et al. 1996) to construct composite analyses of the 110 large-scale flow evolution over the North Pacific and North America during the 10-day period 111 preceding and following the development of each NPJ regime. The Griffin and Martin (2017)

analysis yields a clear relationship between each NPJ regime and the large-scale flow pattern
over North America, and implies that knowledge of the prevailing NPJ regime may offer
considerable value to operational medium-range (6–10-day) forecasts of temperature and
precipitation over North America. However, this value is limited operationally without
complementary knowledge of the relative forecast skill associated with the development or
persistence of each NPJ regime.

118 The concept of regime-dependent forecast skill has been explored with respect to large-119 scale upper-tropospheric flow regimes over the North Atlantic basin (e.g., Ferranti et al. 2015) 120 and with respect to large-scale atmospheric teleconnection patterns (e.g., Palmer 1988; Lin and 121 Derome 1996; Sheng 2002; Ferranti et al. 2015). However, to the authors' knowledge, no study 122 has comprehensively examined regime-dependent forecast skill over the North Pacific with 123 respect to the prevailing NPJ regime. Consequently, a primary goal of the present study is to 124 identify whether certain NPJ regimes exhibit enhanced or reduced forecast skill. In an effort to 125 address this goal, the results from prior studies on NPJ variability (e.g., Athanasiadis et al. 2010; 126 Jaffe et al. 2011; Griffin et al. 2017) are extended to the cool season (Sep-May) and a two-127 dimensional phase diagram, hereafter referred to as the NPJ Phase Diagram, is developed 128 employing the two leading modes of NPJ variability during that time period. The NPJ Phase 129 Diagram subsequently aids in visualizing the state and evolution of the upper-tropospheric flow 130 pattern over the North Pacific, and serves as an objective tool from which new insights can be 131 derived regarding the climatology and forecast skill of each NPJ regime.

132 The remainder of this manuscript is structured as follows. Section 2 discusses the 133 development of the NPJ Phase Diagram. Section 3 discusses the climatology of each NPJ regime 134 and reviews the large-scale flow patterns associated with each NPJ regime. Section 4 examines

the forecast skill of each NPJ regime with respect to the NPJ Phase Diagram. Section 5

136 illuminates the characteristics of the best and worst forecast periods with respect to the NPJ

137 Phase Diagram, and section 6 offers a discussion of the results and some conclusions.

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## **2. Development of the NPJ Phase Diagram**

139 The NPJ Phase Diagram is developed by employing anomalies of the zonal component of 140 the 250-hPa vector wind from the 0.5°-resolution National Centers for Environmental Prediction 141 Climate Forecast System Reanalysis (CFSR; Saha et al. 2010, 2014) at 6-h intervals during 142 September-May 1979-2014. Anomalies are calculated as the deviation of the instantaneous 250-143 hPa zonal wind from a 21-day running mean centered on each analysis time in order to remove 144 the 36-year mean as well as the annual and diurnal cycles. The CFSR is chosen for this study 145 because of its role in providing the initial conditions for the Global Ensemble Forecast System 146 (GEFS) Reforecast Version 2 dataset prior to 2011 (Hamill et al. 2013). The GEFS Reforecast 147 dataset is utilized in sections 4 and 5 to examine the forecast skill of each NPJ regime with 148 respect to the NPJ Phase Diagram. A traditional EOF analysis (Wilks 2011) is subsequently 149 performed on the 250-hPa zonal wind anomaly data within a horizontal domain bounded in 150 latitude from 10°N to 80°N and in longitude from 100°E to 120°W in order to identify the two 151 leading modes of NPJ variability. This horizontal domain is chosen to capture the entire North 152 Pacific basin and to match the domain employed by Griffin and Martin (2017).

In comparison to traditional EOF analysis, Griffin and Martin (2017) demonstrate that time-extended EOF analysis (e.g., Weare and Nasstrom 1982; Wilks 2011) of 250-hPa zonal wind anomalies over the North Pacific is beneficial for ensuring that the evolution of the NPJ is characterized by a higher degree of temporal coherence. However, this higher degree of temporal coherence is achieved by filtering out the high-frequency variability of the NPJ that occurs on

158 daily time scales (Griffin and Martin 2017; their Fig. 1). When considering the NPJ and its 159 influence on the downstream upper-tropospheric flow pattern over North America, short-term 160 fluctuations in the position, intensity, and evolution of the NPJ, such as those associated with 161 recurving tropical cyclones or intensifying extratropical cyclones, can have substantial impacts 162 on the character of the downstream upper-tropospheric flow pattern over North America (e.g., 163 Archambault et al. 2015; Torn and Hakim 2015; Grams and Archambault 2016; Bosart et al. 164 2017). Additionally, the application of time-extended EOF analysis is computationally more 165 expensive than traditional EOF analysis, especially when employing a dataset with 0.5° 166 resolution such as the CFSR. For these two reasons, traditional EOF analysis is chosen for this 167 study. The subsequent analysis demonstrates that the application of traditional EOF analysis to 168 250-hPa zonal wind anomalies during the cool season from the CFSR produces the same two 169 leading modes of NPJ variability as found in previous studies (Athanasiadis et al. 2010; Jaffe et 170 al. 2011; Griffin and Martin 2017).

171 The regression of 250-hPa zonal wind anomalies from the CFSR onto the two leading 172 spatial patterns obtained from the traditional EOF analysis, EOF 1 and EOF 2, are illustrated in 173 Fig. 1. The sign of a particular EOF pattern is arbitrary, but is chosen in Fig. 1 to ensure 174 consistency with previous studies on NPJ variability. EOF 1 explains 12.2% of the variance of 175 250-hPa zonal wind over the North Pacific and corresponds to longitudinal variability of the 250-176 hPa zonal wind in the vicinity of the exit region of the climatological NPJ. A positive EOF 1 177 pattern (+EOF 1) is associated with a zonal extension of the exit region of the climatological NPJ 178 (i.e., a jet extension), while a negative EOF 1 pattern (-EOF 1) is associated with a retraction of 179 the exit region of the climatological NPJ (i.e., a jet retraction). EOF 2 explains 8.8% of the 180 variance of 250-hPa zonal wind over the North Pacific and corresponds to latitudinal variability

of the 250-hPa zonal wind in the vicinity of the exit region of the climatological NPJ. A positive 181 182 EOF 2 pattern (+EOF 2) is associated with a poleward shift of the exit region of the 183 climatological NPJ (i.e., a poleward shift), while a negative EOF 2 pattern (-EOF 2) is 184 associated with an equatorward shift of the exit region of the climatological NPJ (i.e., an 185 equatorward shift). The combined variance explained by EOF 1 and EOF 2 is comparable to that 186 found in previous studies (Athanasiadis et al. 2010; Jaffe et al. 2011; Griffin and Martin 2017) 187 and the two leading EOFs are statistically well separated using the methodology outlined in 188 North et al. (1982). To ensure that the EOF patterns shown in Fig. 1 are representative of the 189 entire cool season, separate traditional EOF analyses were performed on three-month subsets of 190 the 250-hPa zonal wind anomaly data. These independent EOF analyses (not shown) confirm 191 that EOF 1 and EOF 2 represent the two leading modes of NPJ variability with fidelity 192 throughout the cool season.

193 The 250-hPa zonal wind anomalies at any particular analysis time can be regressed onto 194 EOF 1 and EOF 2 to calculate the instantaneous principal components (PCs), PC 1 and PC 2, 195 corresponding to that analysis time. The magnitude and sign of PC 1 and PC 2 are standardized 196 and provide an indication of how strongly the instantaneous 250-hPa zonal wind anomalies 197 project onto EOF 1 and EOF 2, respectively. Time series constructed from the instantaneous PCs 198 subsequently assist in characterizing the temporal evolution of the NPJ with respect to EOF 1 199 and EOF 2. As noted by Griffin and Martin (2017), the use of instantaneous PCs produces a 200 noisy time series due to the high-frequency variability that characterizes the NPJ on daily time 201 scales (their Fig. 1). Consequently, in an attempt to describe the evolution of the NPJ with 202 greater temporal coherence than the instantaneous PCs while preserving the high-frequency 203 variability of the NPJ on daily time scales, the instantaneous PCs are smoothed through the

calculation of a weighted average of the instantaneous PCs within ±24 h of each analysis time,  $t_0$ . The weight, w, prescribed to the instantaneous PCs at each analysis time, t, within ±24 h of  $t_0$  is defined as:  $w = 5 - |t - t_0|/6$ , for  $|t - t_0| \le 24$  h.

207 The weighted PCs at a particular analysis time can then be plotted on a two-dimensional 208 Cartesian grid (i.e., the NPJ Phase Diagram) in an effort to visualize the state of the NPJ. The 209 position along the abscissa within the NPJ Phase Diagram corresponds to the value of weighted 210 PC 1 and indicates how strongly the 250-hPa zonal wind anomalies project onto EOF 1. Positive 211 and negative values of weighted PC 1 represent a jet extension and jet retraction, respectively. 212 The position along the ordinate within the NPJ Phase Diagram corresponds to the value of 213 weighted PC 2 and indicates how strongly the 250-hPa zonal wind anomalies project onto EOF 214 2. Positive and negative values of weighted PC 2 represent a poleward shift and equatorward 215 shift, respectively. Salient examples of NPJ configurations that project strongly onto a jet 216 extension and a jet retraction regime are provided in Figs. 2a and 2b, respectively, while NPJ 217 configurations that project strongly onto a poleward shift and an equatorward shift regime are 218 provided in Figs. 3a and 3b, respectively.

219 As for the sample cases shown in Figs. 2 and 3, the weighted PCs at all analysis times 220 during September–May 1979–2014 are plotted on the NPJ Phase Diagram in order to classify 221 each analysis time into one of the four NPJ regimes, or to identify analysis times during which 222 the NPJ lies within the unit circle (Fig. 4). For this classification scheme, the analysis times are 223 classified based on, first, whether the position of the NPJ within the NPJ Phase Diagram is 224 greater than a distance of 1 PC unit from the origin and, second, whether the absolute value of 225 PC 1 or PC 2 is greater. Analysis times that fall into the "origin" category are interpreted as 226 times during which the NPJ exhibits a neutral signal with respect to the NPJ Phase Diagram.

Plotting the weighted PCs onto the NPJ Phase Diagram over a specified time interval capturesthe evolution of the NPJ and yields a trajectory within the NPJ Phase Diagram.

## 229 3. Characteristics of the NPJ Phase Diagram

230 The classification of analysis times discussed in section 2 illuminates several salient 231 characteristics of each NPJ regime. The typical residence time of the NPJ within each NPJ 232 regime is provided in Table 1. Overall, the mean and median residence time within an NPJ 233 regime do not vary considerably between the NPJ regimes. Specifically, the mean residence time 234 within an NPJ regime ranges between 3.58 and 3.85 days, while the median residence time 235 ranges between 2.50 and 2.75 days. The residence time is slightly longer for periods when the 236 NPJ resides within the unit circle, with a mean and median residence time of 4.65 and 3.25 days, 237 respectively. The inequality between the mean and median residence times for each NPJ regime 238 highlights the degree to which the distribution of residence times is skewed towards transient 239 rather than persistent NPJ regimes. In support of this observation, an examination of the 240 minimum and maximum residence time within each NPJ regime indicates that while an NPJ 241 regime can be transient, it can also persist for multiple weeks.

242 As demonstrated from previous studies on NPJ variability, each NPJ regime exhibits a 243 strong influence on the character of the downstream large-scale flow pattern over North America 244 (e.g., Athanasiadis et al. 2010; Jaffe et al. 2011; Griffin and Martin 2017). To ensure consistency 245 with previous studies, composite analyses are constructed employing the CFSR for periods 246 during which the NPJ resided within the same NPJ regime for at least three consecutive days. A 247 three-day threshold is chosen as a compromise between the magnitude of the mean and median 248 residence time for each NPJ regime (Table 1). Figures 5 and 6 illustrate the characteristic large-249 scale flow pattern four days following the onset of each NPJ regime. This particular time is

subjectively chosen for brevity and to highlight both the characteristic structure of the NPJ, as well as the downstream flow pattern over North America associated with each NPJ regime. Twosided Student's t-tests were performed on the geopotential height and temperature anomaly fields shown in Figs. 5 and 6 to identify anomalies that are statistically significant with respect to climatology at the 99% confidence level. The reader is referred to Griffin and Martin (2017) for further detail on the evolution of the large-scale flow pattern associated with each NPJ regime.

256 A jet extension (N=159) is characterized by the meridional juxtaposition of an anomalous 257 upper-tropospheric trough over the central North Pacific and an anomalous ridge over the 258 subtropical North Pacific that combine to produce a strong, zonally-oriented NPJ (Fig. 5a). 259 Beneath the left-exit region of the extended NPJ, an anomalous surface cyclone drives 260 anomalous southerly geostrophic flow along the west coast of North America (Fig. 6a). This 261 southerly geostrophic flow is associated with the development of lower-tropospheric warm 262 anomalies over western North America and, subsequently, the amplification of an anomalous 263 upper-tropospheric ridge in the same location (Fig. 5a). Lower-tropospheric cold anomalies are 264 found upstream of the surface cyclone in conjunction with anomalous northerly geostrophic flow 265 over the central North Pacific, and across eastern North America beneath an anomalous upper-266 tropospheric trough (Fig. 6a).

A jet retraction (N=162) features an anomalous upper-tropospheric ridge over the central North Pacific, and anomalous troughs over northwestern North America and the subtropical North Pacific (Fig. 5b). In combination, these geopotential height anomalies result in a retracted NPJ over the western North Pacific and a split NPJ to the east of the dateline. Directly beneath the central North Pacific ridge, the circulation associated with an anomalous surface anticyclone contributes to the development of lower-tropospheric cold anomalies over Alaska and the west

coast of North America, and warm anomalies over the central North Pacific (Fig. 6b). Lowertropospheric warm anomalies are also found in the south-central U.S. upstream of an anomalous
upper-tropospheric ridge positioned over the southeastern U.S.

276 A poleward shift (N=189) exhibits an anomalous upper-tropospheric trough over the 277 high-latitude North Pacific and an anomalous ridge over the subtropical North Pacific that act in 278 combination to position the exit region of the NPJ poleward of 40°N (Fig. 5c). An anomalous 279 surface cyclone is located beneath the left-exit region of the poleward-shifted NPJ, which results 280 in anomalous southerly geostrophic flow over northern North America and the development of 281 lower-tropospheric warm anomalies in that location (Fig. 6c). These lower-tropospheric warm 282 anomalies are also associated with an anomalous upper-tropospheric ridge positioned over 283 eastern Canada (Fig. 5c). Lower-tropospheric cold anomalies are only observed over the Bering 284 Strait and Gulf of Alaska during a poleward shift in conjunction with anomalous northerly 285 geostrophic flow upstream of the surface cyclone (Fig. 6c).

286 Lastly, an equatorward shift (N=149) is associated with an anomalous upper-tropospheric 287 ridge over the high-latitude North Pacific and an anomalous trough over the subtropical North 288 Pacific, reminiscent of a Rex block (Fig. 5d; Rex 1950). This configuration of geopotential 289 height anomalies results in an equatorward deflection of the exit region of the NPJ near Hawaii, 290 and a weaker NPJ over the western North Pacific compared to the other NPJ regimes. An 291 anomalous upper-tropospheric trough is also positioned over eastern Canada downstream of the 292 high-latitude ridge over the North Pacific (Fig. 5d). In the lower-troposphere, an equatorward 293 shift is associated with an anomalous surface anticyclone centered near the Aleutian Islands. This 294 surface anticyclone facilitates anomalous northerly geostrophic flow over northern North 295 America and the development of lower-tropospheric cold anomalies in that location (Fig. 6d).

296 Conversely, anomalous southerly geostrophic flow upstream of the surface anticyclone

contributes to the development of lower-tropospheric warm anomalies over the Bering Strait andthe Gulf of Alaska.

299 Additional insight is found by considering the interannual and intraannual variability of 300 each NPJ regime. While the NPJ resides within one of the four NPJ regimes (i.e., outside a 301 radius of 1 PC unit from the origin) 59% of the time during an average cool season (not shown), 302 there is considerable interannual variability in the frequency of each NPJ regime (Fig. 7a). As an 303 example, the 1997–1998 cool season was characterized by the second-lowest annual frequency 304 of poleward shifts (4.7%), while the subsequent 1998–1999 cool season featured highest annual 305 frequency of poleward shifts (34.9%). Comparable abrupt changes in the annual frequency of an 306 individual NPJ regime are readily observed when considering the time series for other NPJ 307 regimes, as well. Furthermore, linear regressions performed on each of the time series shown in 308 Fig. 7a do not identify any statistically significant trends in the frequency of each NPJ regime 309 during 1979–2014 (not shown).

310 Substantial variability characterizes the frequency of each NPJ regime throughout the 311 duration of an individual cool season, as well (Fig. 7b). Specifically, the NPJ resides within an 312 NPJ regime most frequently during November–March and less frequently during the months of 313 September, October, April, and May. Both jet extensions and jet retractions peak in frequency 314 during the month of March, while poleward shifts and equatorward shifts peak during February 315 and January, respectively. The frequencies of each NPJ regime during an individual month are 316 generally comparable, except during March, when jet extensions and jet retractions are 317 noticeably more frequent than poleward shifts and equatorward shifts, and during September,

when poleward shifts and equatorward shifts are nearly two times more frequent than jetextensions and jet retractions.

320 As may be anticipated, the interannual and intraannual frequency of each NPJ regime are 321 strongly modulated by large-scale atmospheric teleconnection patterns. For example, the 322 Pacific/North American (PNA) pattern is known to exhibit a strong relationship with the 323 intensity of the NPJ (e.g., Wallace and Gutzler 1981; Barnston and Livesey 1987; Franzke and 324 Feldstein 2005; Strong and Davis 2008; Athanasiadis et al. 2010; Franzke et al. 2011; Griffin and 325 Martin 2017). Specifically, a positive PNA pattern is canonically characterized by an anomalous 326 upper-tropospheric trough over the central North Pacific and an anomalous ridge over the 327 subtropical North Pacific. Consequently, a positive PNA pattern is particularly conducive to the 328 development of an extended NPJ. Conversely, a negative PNA pattern exhibits an anomalous 329 upper-tropospheric ridge over the central North Pacific that favors a retracted NPJ. 330 To clearly illustrate the relationship between the PNA and each NPJ regime, all analysis 331 times that were characterized by a NPJ regime (i.e., outside a radius of 1 PC unit from the origin) 332 were classified based on the sign and magnitude of the daily PNA index (CPC 2017a). Analysis

that featured a PNA index < -0.5 were classified as occurring during a negative PNA, and those

times that featured a PNA index > 0.5 were classified as occurring during a positive PNA, those

remaining were classified as occurring during a neutral PNA. Figure 8a demonstrates that the

336 frequency of each NPJ regime is indeed well associated with the phase of the PNA, with jet

337 extensions and poleward shifts occurring most frequently during a positive PNA, and jet

338 retractions and equatorward shifts occurring most frequently during a negative PNA.

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The frequency of each NPJ regime also exhibits an association with the phase of the
Arctic Oscillation (AO; Thompson and Wallace 1998; Higgins et al. 2000; Ambaum et al. 2001).

341 The positive phase of the AO is canonically characterized by above-normal 1000-hPa 342 geopotential heights over the central North Pacific and below-normal 1000-hPa geopotential 343 heights over the Arctic. As for the PNA index, daily AO indices (CPC 2017b) are employed to 344 classify analysis times that were characterized by a NPJ regime. Those analysis times exhibiting 345 an AO index > 0.5 were classified as occurring during a positive AO, those exhibiting an AO 346 index < -0.5 were classified as occurring during a negative AO, and those remaining were 347 classified as occurring during a neutral AO. Figure 8b indicates that jet retractions are most 348 frequent during a positive AO and jet extensions are most frequent during a negative AO. This 349 relationship agrees with the NPJ regime composites shown in Fig. 6, given that jet retractions are 350 associated with an anomalous surface anticyclone over the central North Pacific (Fig. 6b), and jet 351 extensions feature an anomalous surface cyclone in that location (Fig. 6a).

352 The El Niño–Southern Oscillation (ENSO) can also modulate the structure of the NPJ. 353 For example, prior work suggests that anomalous convection and above-normal sea-surface 354 temperatures over the central and eastern equatorial Pacific during an El Niño favor an extended 355 and equatorward-shifted NPJ. Conversely, anomalous convection and above-normal sea-surface 356 temperatures over the western equatorial Pacific during a La Niña favor a retracted NPJ (e.g., 357 Horel and Wallace 1981; Rasmusson and Wallace 1983; Rasmusson and Mo 1993; Yang et al. 358 2002; Xie et al. 2015; Cook et al. 2017). In an effort to frame this relationship with respect to the 359 NPJ Phase Diagram, analysis times that were characterized by a NPJ regime were classified 360 based on the sign and magnitude of the monthly Nino3.4 index (ESRL 2017). Any analysis times 361 that coincided with a Nino3.4 index > 1.0 were classified as occurring during an El Niño, 362 analysis times that coincided with a Nino3.4 index < -1.0 were classified as occurring during a 363 La Niña, and all other analysis times were classified as occurring during a neutral ENSO state.

Figure 8c demonstrates that El Niño is indeed most frequently characterized by jet extensions and equatorward shifts. Conversely, La Niña is most frequently characterized by jet retractions and poleward shifts. The results from Fig. 8c translate to individual cool seasons characterized by El Niño and La Niña events, as well. For example, Fig. 7a indicates that the 1982–1983 El Niño cool season (Sep–May Nino3.4 = 1.82) was most frequently characterized by jet extensions and equatorward shifts, while the 1999–2000 La Niña cool season (Sep–May Nino3.4 = -1.22) was most frequently characterized by jet retractions and poleward shifts.

### **4. GEFS forecast skill with respect to the NPJ Phase Diagram**

372 Provided with a relationship between each NPJ regime and the downstream large-scale 373 flow pattern over North America, complementary knowledge of the forecast skill associated with 374 each NPJ regime offers the potential to increase confidence in operational medium-range 375 forecasts over North America. To evaluate the forecast skill associated with each NPJ regime, an 376 ensemble of 9-day forecast trajectories within the NPJ Phase Diagram are calculated daily during 377 September-May 1985-2014 using 250-hPa zonal wind data from the 1.0°-resolution GEFS 378 Reforecast Version 2 dataset (Hamill et al. 2013). The GEFS Reforecast dataset features 10 379 ensemble member forecasts and 1 control member forecast initialized daily at 0000 UTC, each 380 with forecast lead times as long as 384 h.

Forecast errors within the NPJ Phase Diagram are calculated as the distance error in PC units between the ensemble mean NPJ Phase Diagram forecast and the verifying 0-h analysis that corresponds to each forecast lead time. The NPJ Phase Diagram forecasts are then classified (1) based on the position of the NPJ within the NPJ Phase Diagram at the time of forecast initialization or forecast verification following the schematic shown in Fig. 4, and (2) based on season. Two-sided Student's t-tests are performed on all NPJ Phase Diagram forecast error

statistics to indicate statistical significance in accordance with the criteria outlined in eachpertinent figure caption.

389 The average distance errors associated with ensemble mean NPJ Phase Diagram forecasts 390 initialized during the same season are provided in Fig. 9a. Overall, NPJ Phase Diagram forecasts 391 initialized during the winter (Dec–Feb) exhibit significantly larger distance errors within the NPJ 392 Phase Diagram than forecasts initialized during the fall (Sep–Nov) and spring (Mar–May) at 393 forecast lead times less than 144 h. At lead times greater than 144 h, forecasts initialized during 394 the winter and spring exhibit significantly larger distance errors than forecasts initialized during 395 the fall. Furthermore, forecasts initialized during the fall exhibit distance errors that fall below 396 the cool-season average at all forecast lead times, while forecasts initialized during the winter 397 exhibit errors that lie above the cool-season average at all forecast lead times.

398 The average distance errors of ensemble mean NPJ Phase Diagram forecasts initialized 399 during the same NPJ regime are shown in Fig. 9b. At lead times less than 120 h, no significant 400 differences in distance error are observed between the NPJ regimes. However, significant 401 differences between the NPJ regimes begin to emerge at lead times greater than 120 h. 402 Specifically, forecasts initialized during a jet retraction exhibit significantly larger distance errors 403 than forecasts initialized during a poleward shift at lead times between 120–168 h, and 404 significantly larger distance errors than forecasts initialized during a jet extension at lead times 405 between 192-216 h. However, despite these significant differences at lead times greater than 120 406 h, the spread in distance errors between the NPJ regimes is generally less than 0.10 PC units 407 during this time period.

Substantially larger spread between the distance errors associated with each NPJ regimeis found while considering NPJ Phase Diagram forecasts verified during the same NPJ regime

410 (Fig. 9c). In particular, forecasts verified during equatorward shifts and jet retractions exhibit 411 significantly larger distance errors than those verified during poleward shifts and jet extensions 412 at lead times greater than 96 h. Consequently, knowledge of the NPJ regime at the time of 413 forecast verification appears to be a greater indicator of forecast skill with respect to the NPJ 414 Phase Diagram than the NPJ regime at the time of forecast initialization. This result implies that 415 enhanced or reduced confidence can be prescribed to a forecast by considering the forecasted 416 evolution of the NPJ with respect to the NPJ Phase Diagram, rather than by considering the state 417 of the NPJ at the time of forecast initialization.

418 The poor forecast skill of ensemble mean NPJ Phase Diagram forecasts verified during 419 equatorward shifts is also apparent when considering the frequency with which each NPJ regime 420 is overforecast or underforecast in the GEFS Reforecast dataset. Figure 10 demonstrates that 421 equatorward shifts are substantially underforecast by ensemble mean NPJ Phase Diagram 422 forecasts at all lead times compared to the verifying 0-h analyses. Specifically, equatorward 423 shifts are underforecast by nearly 26% at a 216-h lead time, which is at least double the 424 frequency that the other NPJ regimes are underforecast at the same lead time. While all NPJ 425 regimes are generally underforecast by the ensemble mean NPJ Phase Diagram forecasts at lead 426 times greater than 168 h, both jet extensions and poleward shifts are overforecast at lead times 427 less than 168 h.

#### 428 5. Best and worst NPJ Phase Diagram forecasts

Additional insight into the forecast skill associated with each NPJ regime is found by considering the characteristics of the best and worst NPJ Phase Diagram medium-range forecasts. Such an investigation has the potential to illuminate factors that may contribute to enhanced or reduced forecast skill (e.g., Lillo and Parsons 2017). The best and worst medium-

range forecasts with respect to the NPJ Phase Diagram are identified as those forecasts that rank
in the top or bottom 10%, respectively, in terms of both (1) the average GEFS ensemble *mean*distance error of the 192- and 216-h forecasts and (2) the average GEFS ensemble *member*distance error of the 192- and 216-h forecasts. The first criterion provides a measure of forecast
accuracy during the medium-range period, while the second criterion provides a measure of
forecast precision during the medium-range period.

439 Figure 11 illustrates a series of hypothetical NPJ Phase Diagram forecasts that would 440 qualify as a best, an intermediate, and a worst forecast with respect to the two criteria identified 441 in the previous paragraph. A best forecast is one in which the ensemble mean forecast exhibits a 442 small distance error, as well as a small average ensemble member distance error. Consequently, a 443 best forecast can be interpreted as one in which the NPJ Phase Diagram forecast is both accurate and precise. The intermediate forecast depicts a situation in which there is a small ensemble 444 445 mean distance error, but also a large average ensemble member distance error. Consequently, 446 both criteria are not satisfied, and this situation represents one in which the forecast was accurate 447 but not particularly precise. Finally, a worst forecast is a situation that exhibits large ensemble 448 mean distance error and large average ensemble member distance error, or a forecast that was 449 neither accurate or precise.

As a whole, the frequency distribution of the worst NPJ Phase Diagram forecasts features two separate maxima during the cool season, one during December and another during March, with a relative minimum during January (Fig. 12a). The best NPJ Phase Diagram forecasts tend to occur most frequently during the beginning and end of the cool season, but also peak during December. The best and worst NPJ Phase Diagram forecasts are also classified based on the NPJ regime at the time of forecast initialization in Fig. 12b. This frequency distribution indicates that

456 the worst forecasts initialized disproportionately more than the best forecasts during jet 457 retractions and equatorward shifts, while the best forecasts initialized disproportionately more 458 than the worst forecasts during jet extensions and poleward shifts. The average value of PC 1 and 459 PC 2 at the time of forecast initialization also indicates a preference for the worst forecasts to 460 initialize most frequently during jet retractions and equatorward shifts, and for the best forecasts 461 to initialize most frequently during jet extensions and poleward shifts (Table 2). However, only 462 the values of PC 1 are statistically different between the best and worst forecasts at the time of 463 forecast initialization.

464 The evolution of the NPJ during the 10-day period following the initialization of a best or 465 worst NPJ Phase Diagram forecast also differs substantially (Table 2). In particular, the average 466 change in PC 2 ( $\Delta$ PC2) during the 10-day period following a worst forecast indicates a 467 significant movement of the NPJ towards an equatorward shift within the NPJ Phase Diagram, 468 while the 10-day period following a best forecast exhibits a significant movement of the NPJ 469 towards a poleward shift. Additionally, the worst forecast periods feature significantly longer 470 trajectories within the NPJ Phase Diagram compared to the best forecast periods during the 10-471 day period following forecast initialization. This particular result suggests that the worst 472 forecasts often occur during periods characterized by rapid NPJ regime change, while the best 473 forecast periods are characterized by more persistent upper-tropospheric flow patterns over the 474 North Pacific. This notion aligns well with previous work, which suggests that periods 475 characterized by upper-tropospheric regime change are associated with reduced forecast skill 476 (e.g., Tibaldi and Molteni 1990; Frederiksen et al. 2004; Pelly and Hoskins 2006; Ferranti et al. 477 2015; Lillo and Parsons 2017).

478 An examination of the upper-tropospheric flow patterns associated with the best and 479 worst forecast periods offers insight into the types of large-scale flow patterns that are associated 480 with enhanced or reduced forecast skill. This examination is performed by employing the CFSR 481 to construct composite analyses of 250-hPa wind speed, geopotential height, and geopotential 482 height anomalies at the time a best or worst forecast is initialized, as well as at 192 h following 483 forecast initialization. Two-sided Student's t-tests are subsequently used to evaluate whether the 484 difference between geopotential height anomalies associated with the worst and best forecast 485 composites is statistically significant at each time period.

486 The composite upper-tropospheric flow patterns at the time a best or worst forecast is 487 initialized within each NPJ regime are provided in Fig. 13. At first glance, an examination of the 488 geopotential height anomalies associated with each composite reveals few qualitative differences 489 between the best and worst forecasts initialized during the same NPJ regime. However, a direct 490 calculation of the difference between geopotential height anomalies associated with the worst 491 and best forecasts illuminates some significant features (Fig. 14). In particular, while both the 492 best and worst forecasts initialized during a jet extension are characterized by a strong, zonally-493 extended NPJ at the time of forecast initialization (Figs. 13a,b), the worst forecasts exhibit 494 significantly higher geopotential height anomalies over the eastern North Pacific compared to the 495 best forecasts (Fig. 14a). Similarly, both the best and worst forecasts initialized during a jet 496 retraction feature an anomalous ridge over the central North Pacific (Figs. 13c,d). However, the 497 worst forecasts exhibit significantly higher geopotential height anomalies over the Gulf of 498 Alaska, and significantly lower geopotential height anomalies over the subtropical North Pacific 499 and the western Great Lakes compared to the best forecasts (Fig. 14b). The lower geopotential 500 height anomalies over the subtropical North Pacific and western Great Lakes associated with the

worst forecast composite also favor a stronger southern stream of the NPJ to the east of the
dateline and less pronounced ridging over eastern North America compared to the best forecasts
(Figs. 13c,d).

504 As for jet extensions and jet retractions, the worst forecasts initialized during a poleward 505 shift also exhibit significantly higher geopotential height anomalies over the Gulf of Alaska 506 compared to the best forecasts (Figs. 13e, f and Fig. 14c). The worst forecasts initialized during a 507 poleward shift are also characterized by a more intense NPJ, a stronger jet stream over North 508 America, and significantly lower geopotential height anomalies over the southwestern U.S. and 509 northwestern Mexico. (Figs. 13e, f and Fig. 14c). While not as prominent as observed in the other 510 composites, the worst forecasts initialized during an equatorward shift also exhibit significantly 511 higher geopotential height anomalies over the eastern North Pacific compared to the best 512 forecasts (Figs. 13g,h and Fig. 14d). Consequently, the presence of higher geopotential height 513 anomalies over the eastern North Pacific at the time of forecast initialization is a noticeable 514 differentiator between the worst and best forecasts regardless of the prevailing NPJ regime. 515 Substantial differences in the upper-tropospheric flow pattern over the North Pacific are 516 observed 192 h following the initialization of a best and worst forecast, respectively. In 517 particular, the upper-tropospheric flow pattern 192 h following the initialization of a best forecast 518 is characterized by an anomalous trough over the high-latitude North Pacific and an anomalous 519 ridge over the subtropical North Pacific that, in combination, favor an extended and poleward-520 shifted NPJ regardless of the NPJ regime at the time of forecast initialization (Figs. 15a,c,e,g). 521 Downstream of the trough over the high-latitude North Pacific, an anomalous ridge is also firmly 522 positioned over North America in the best forecast composites. In contrast, the upper-523 tropospheric flow pattern 192 h following the initialization of a worst forecast features an

anomalous ridge over the high-latitude North Pacific and a retracted NPJ regardless of the NPJ
regime at the time of forecast initialization (Figs. 15b,d,f,h). An anomalous trough of variable
strength is also located over North America downstream of the high-latitude North Pacific ridge
in all of the worst forecast composites.

528 The difference between the geopotential height anomalies 192 h following the 529 initialization of a worst and best forecast is clearly identified in Fig. 16. Compared to the best 530 forecast composites, the worst forecast composites exhibit significantly higher geopotential 531 height anomalies over the high-latitude North Pacific, and significantly lower geopotential height 532 anomalies over the subtropical North Pacific (Figs. 16a,b,c,d), reminiscent of a Rex block (Rex 533 1950). Notably, this difference pattern prevails regardless of the NPJ regime at the time of 534 forecast initialization. Consequently, the upper-tropospheric flow patterns shown in Figs. 13 and 535 15 uniformly suggest that periods characterized by the development and/or maintenance of 536 upper-tropospheric blocking events over the North Pacific are associated with reduced forecast 537 skill with respect to the NPJ Phase Diagram. Conversely, those periods that evolve towards a 538 zonal flow pattern over the North Pacific are generally associated with enhanced forecast skill.

539 6. Discussion and conclusions

The preceding analysis corroborates the results from prior studies of NPJ variability that establish a connection between the two leading modes of 250-hPa zonal wind variability over the North Pacific and the large-scale flow pattern over North America (e.g., Athanasiadis et al. 2010; Jaffe et al. 2011; Griffin and Martin 2017). Provided with this connection, this study utilizes the two leading modes of 250-hPa zonal wind variability from the CFSR during the cool season as the foundation for developing a NPJ Phase Diagram. The NPJ Phase Diagram subsequently provides an objective tool to monitor the state and evolution of the upper-tropospheric flow

pattern over the North Pacific, to identify the prevailing NPJ regime, and to evaluate thecharacteristic forecast skill associated with each NPJ regime.

549 The application of the NPJ Phase Diagram to 250-hPa zonal wind data from the CFSR 550 during September–May 1979–2014 illuminates several salient characteristics of each NPJ regime 551 and highlights opportunities for future research. In particular, while the mean and median 552 residence times within a particular NPJ regime are typically on the order of three days, a NPJ 553 regime can persist for multiple weeks. Furthermore, it is apparent that the frequency of each NPJ 554 regime exhibits considerable interannual and intraannual variability. Given the relationship 555 between each NPJ regime and the large-scale flow pattern over North America, further 556 investigation into the large-scale flow patterns that are conducive to prolonged residence times 557 within a NPJ regime, or that increase the frequency of a NPJ regime, may offer considerable 558 value to operational seasonal and subseasonal forecasts over North America.

559 Large-scale atmospheric teleconnection patterns can strongly modulate the frequency of 560 each NPJ regime. For example, it was noted that a positive (negative) PNA is most frequently 561 characterized by jet extensions and poleward shifts (jet retractions and equatorward shifts). 562 However, recall from Figs. 6a and 6c that jet extensions and poleward shifts are associated with 563 distinctly different lower-tropospheric temperature anomalies over North America, with jet 564 extensions favoring anomalously cold temperatures over eastern North America and poleward 565 shifts favoring anomalously warm temperatures over northern North America. Consequently, 566 knowledge of the prevailing NPJ regime provides additional operational value beyond sole 567 knowledge of the PNA index when evaluating the character of the large-scale flow pattern over 568 North America. The NPJ Phase Diagram provides an objective basis for detailed investigations 569 of NPJ variability during other well-established atmospheric teleconnection patterns, as well,

such as the AO, ENSO, North Atlantic Oscillation (e.g., Wallace and Gutzler 1981), and

571 Madden–Julian Oscillation (Madden and Julian 1972). Such investigations may offer additional

value to seasonal and subseasonal forecasts by illuminating the palette of large-scale flow

573 evolutions over the North Pacific that may operate during a particular atmospheric

574 teleconnection pattern.

575 Knowledge of the relative forecast skill associated with each NPJ regime illuminates 576 particular periods during the cool season that may be characterized by enhanced or reduced 577 forecast skill. In particular, the frequency distribution of the worst forecasts with respect to the 578 NPJ Phase Diagram exhibits a bimodal structure throughout the duration of an individual cool 579 season, with relative maxima during December and March, and a relative minimum during 580 January. While it is clear that ensemble mean forecasts initialized during the winter generally 581 exhibit the largest distance errors within the NPJ Phase Diagram, additional research is necessary 582 to affirm the veracity of the relative frequency minimum that characterizes the worst NPJ Phase 583 Diagram forecasts during January and to identify factors that may contribute to its occurrence.

584 Examination of the forecast skill associated with each NPJ regime offers additional 585 insight into the types of large-scale flow patterns that exhibit reduced forecast skill. Overall, the 586 analysis persistently indicates that forecasts verified during jet retractions and equatorward shifts 587 exhibit reduced forecast skill with respect to the NPJ Phase Diagram compared to jet extensions 588 and poleward shifts. Recall from the NPJ composites in Figs. 5 and 6, that these particular NPJ 589 regimes are associated with the development of anomalous ridges in the central and high-latitude 590 North Pacific, respectively. In light of this observation, it is likely that diabatic processes account for some of the reduced forecast skill associated with these NPJ regimes, given the documented 591 592 ability of diabatic processes to amplify the flow pattern, (e.g., Massacand et al. 2001; Riemer et

al. 2008; Torn 2010; Ferranti et al. 2015; Pfahl et al. 2015; Grams and Archambault 2016; Bosart
et al. 2017). Additional case study work that utilizes the NPJ Phase Diagram to interrogate poor
forecasts verified during jet retractions and equatorward shifts is likely to illuminate the specific
processes that contribute to the reduced forecast skill during these NPJ regimes.

597 An examination of the best and worst medium-range forecasts with respect to the NPJ 598 Phase Diagram suggests that the worst forecasts are associated with the development and/or 599 maintenance of upper-tropospheric blocking events over the North Pacific. This result holds 600 regardless of the NPJ regime at the time of forecast initialization, and corroborates previous 601 work highlighting the reduced predictability associated with the development of upper-602 tropospheric blocking events (e.g., Tibaldi and Molteni 1990; D'Andrea et al. 1998; Frederiksen 603 et al. 2004; Pelly and Hoskins 2006; Matsueda 2011; Ferranti et al. 2015). Consequently, greater 604 understanding surrounding the variability of large-scale flow evolutions that are conducive to the 605 development of upper-tropospheric blocking events is necessary. The NPJ Phase Diagram 606 provides an objective frame of reference from which to examine the development of upper-607 tropospheric blocking events and to identify the spectrum of large-scale flow evolutions that are 608 conducive to block formation. Additionally, it is apparent that the worst forecasts are associated 609 with a significant movement of the NPJ towards an equatorward shift within the NPJ Phase 610 Diagram during the 10-day period following forecast initialization, while the best forecasts are 611 associated with a significant movement of the NPJ towards a poleward shift. In light of this 612 result, the NPJ Phase Diagram provides an objective tool to identify NPJ regime transitions and 613 can be utilized to examine the characteristic large-scale flow patterns associated with those 614 transitions. Results from such examinations have the potential to increase confidence in

operational forecasts during periods of NPJ regime transition, given that certain trajectorieswithin the NPJ Phase Diagram are associated with reduced forecast skill.

617 Finally, the relative forecast skill associated with each NPJ regime is only applicable with 618 respect to the GEFS Reforecast dataset. Consequently, additional research is required to evaluate 619 the forecast skill of NPJ regimes with respect to other ensemble prediction systems. An 620 independent evaluation of forecast skill with respect to these other ensemble prediction systems 621 has the potential to illuminate whether the large-scale flow patterns that exhibit reduced skill in 622 the GEFS Reforecast dataset are pervasive across all modeling systems. To the degree that any 623 differences exist between ensemble prediction systems with respect to the relative forecast skill 624 of each NPJ regime, these evaluations have the potential to identify situations during which 625 greater confidence can be prescribed to a particular ensemble prediction system. 626 627 **Acknowledgments** 628 The authors thank Mike Bodner, Daniel Halperin, Arlene Laing, Bill Lamberson, Sara Ganetis,

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798	Table Captions
799	TABLE 1. Characteristic residence times in days for each NPJ regime. The numbers in
800	parentheses represent the number of unique time periods characterized by each NPJ regime
801	during September-May 1979-2014.
802	
803	TABLE 2. NPJ Phase Diagram characteristics derived from the CFSR for the periods
804	characterized by the best and worst NPJ Phase Diagram medium-range forecasts with all
805	quantities in expressed in PC units. $\Delta$ PC1 and $\Delta$ PC2 represent the change in PC 1 and PC 2,
806	respectively, during the 10-day period following the initialization of a best and worst forecast.
807	Asterisks indicate that values associated with the best and worst forecasts are statistically
808	significantly different at the 99.9% confidence level.
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# 821 Tables

General NPJ Regime Characteristics				
NPJ			Maximum	Minimum
Regime	Residence Time (d)	Residence Time (d)	Residence Time (d)	Residence Time (d)
Jet Extension (N=380)	3.85	2.50	27.25	0.25
Jet Retraction (N=383)	3.70	2.75	34.00	0.25
Poleward Shift (N=431) 3.58	3.58	2.75	18.00	0.25
Equatorward Shift (N=373)	3.65	2.50	18.50	0.25
Origin (N=872)	4.65	3.25	35.50	0.25

TABLE 1. Characteristic residence times in days for each NPJ regime. The numbers inparentheses represent the number of unique time periods characterized by each NPJ regime

during September–May 1979–2014.

<b>Comparison of Best/Worst Forecast Periods</b>					
	Avg. Start PC1	Avg. Start PC2	Avg. ΔPC1	Avg. ΔPC2	Avg. 10-d Traj. Length
Best Forecasts (N=475)	0.09*	0.04	0.09	0.16*	3.50*
Worst Forecasts (N=763)	-0.18*	-0.08	0.01	-0.21*	4.33*

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838 839 840 841 842 843 844 844	TABLE 2. NPJ Phase Diagram characteristics derived from the CFSR for the periods characterized by the best and worst NPJ Phase Diagram medium-range forecasts with all quantities in expressed in PC units. $\Delta$ PC1 and $\Delta$ PC2 represent the change in PC 1 and PC 2, respectively, during the 10-day period following the initialization of a best and worst forecast. Asterisks indicate that values associated with the best and worst forecasts are statistically significantly different at the 99.9% confidence level.
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#### 859 Figure Captions

- FIG. 1. (a) September–May 250-hPa mean zonal wind is contoured in black every 10 m s<sup>-1</sup>
- above 30 m s<sup>-1</sup>, and the regression of EOF 1 onto 250-hPa zonal wind anomaly data is shaded
- following the legend in m  $s^{-1}$ . (b) As in (a) but for EOF 2.
- 863
- FIG. 2. (a) 250-hPa wind speed in m s<sup>-1</sup> is shaded following the legend at 1800 UTC 11 February
- 865 2004. (b) The location of weighted PC 1 and PC 2 at 1800 UTC 11 February 2004 within the
- NPJ Phase Diagram. (c),(d) As in (a),(b) but for 1800 UTC 13 March 2009.
- 867
- FIG. 3. As in Fig. 2 but for (a),(b) 1800 UTC 9 April 1984 and (c),(d) 1200 UTC 28 January
  1991.

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FIG. 4. Schematic illustrating the classification scheme for CFSR analysis times and GEFS
reforecasts with respect to the NPJ Phase Diagram.

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FIG. 5. Composite mean 250-hPa wind speed in m s<sup>-1</sup> is shaded in the fill pattern, 250-hPa
geopotential height is contoured in black every 120 m, and 250-hPa geopotential height
anomalies are contoured in solid red and dashed blue every 30 m for positive and negative
values, respectively, 4 days following the initiation of a (a) jet extension, (b) jet retraction, (c)
poleward shift, and (d) equatorward shift regime. Stippled areas represent locations where the
250-hPa geopotential height anomalies are statistically significantly different from climatology at
the 99% confidence level.

FIG. 6. Composite anomalies of mean sea-level pressure are contoured in solid and dashed black
every 2 hPa for positive and negative values, respectively, and 850-hPa temperature anomalies
are shaded in the fill pattern every 1 K 4 days following the initiation of a (a) jet extension, (b)
jet retraction, (c) poleward shift, and (d) equatorward shift regime. Stippled areas represent
locations where the 850-hPa temperature anomalies are statistically significantly different from
climatology at the 99% confidence level.

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FIG. 7. (a) The percent frequency of each NPJ regime during every cool season between
September 1979 and May 2014. The years indicated on the horizontal axis identify the end of
individual cool seasons. (b) The percent frequency of analysis times during each month of the
cool season that are characterized by each NPJ regime. The numbers in parentheses below each
month indicate the number of analysis times during each month.

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FIG. 8. (a) The percent frequency of each NPJ regime at analysis times during which the NPJ is outside of the unit circle on the NPJ Phase Diagram and characterized by each phase of the PNA discussed in the text. The numbers in parentheses below each category indicate the number of analysis times in each category. (b) As in (a) but for the AO. (c) As in (a) but for ENSO.

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900 FIG. 9. (a) The average error of GEFS ensemble mean NPJ Phase Diagram forecasts initialized 901 during the same season. The colored circles on each line indicate that the error associated with 902 that season is statistically significantly different from the error associated with another season at 903 the 99% confidence level. The numbers in parentheses in the legend indicate the number of 904 forecasts in that category. Forecast lead time on the horizontal axis represents the hours after

905	forecast initialization. (b) As in (a) but for forecasts initialized during the same NPJ regime. (c)
906	As in (a) but for forecasts verified during the same NPJ regime. Forecast lead time on the
907	horizontal axis in (c) depicts the hours prior to forecast verification.
908	
909	FIG. 10. The percent frequency that an NPJ regime is overforecast or underforecast by the GEFS
910	ensemble mean NPJ Phase Diagram forecasts relative to the verifying 0-h analyses at each
911	forecast lead time.
912	
913	FIG. 11. Schematic illustrating the classification scheme for the best and worst NPJ Phase
914	Diagram medium-range forecasts.
915	
916	FIG. 12. (a) The percent frequency of the best and worst NPJ Phase Diagram medium-range
917	forecasts that are initialized during each month of the cool season. (b) The percent frequency of
918	the best and worst NPJ Phase Diagram medium-range forecasts that are initialized during each
919	NPJ regime.
920	
921	FIG. 13. Composite mean 250-hPa wind speed in m $s^{-1}$ is shaded in the fill pattern, 250-hPa
922	geopotential height is contoured in black every 120 m, and 250-hPa geopotential height
923	anomalies are contoured in solid red and dashed blue every 30 m for positive and negative
924	values, respectively, at the time a (a) best and (b) worst NPJ Phase Diagram forecast is initialized
925	during a jet extension. (c),(d) As in (a),(b) but for those forecasts initialized during a jet

926 retraction. (e),(f) As in (a),(b) but for those forecasts initialized during a poleward shift. (g),(h)

927	As in (a,b) but for those forecasts initialized during an equatorward shift. The quantities in the
928	top right corner of every panel indicate the number of cases included in each composite.
929	
930	FIG. 14. (a) The difference between the 250-hPa geopotential height anomalies associated with a
931	worst and best NPJ Phase Diagram forecast at the time of forecast initialization during a jet
932	extension is shaded every 30 m in the fill pattern. (b) As in (a) but for a jet retraction. (c) As in
933	(a) but for a poleward shift. (d) As in (a) but for an equatorward shift. Statistically significant
934	differences in geopotential height anomalies at the 99% confidence level are stippled in all
935	panels.
936	
937	FIG. 15. As in Fig. 13, but for the composite 250-hPa flow pattern 192 h following the
938	initialization of a best and worst NPJ Phase Diagram forecast.
939	
940	FIG. 16. As in Fig. 14, but for the composite difference between 250-hPa geopotential height
941	anomalies associated with the upper-tropospheric flow pattern 192 h following the initialization
942	of a worst and best NPJ Phase Diagram forecast.
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# 957 Figures

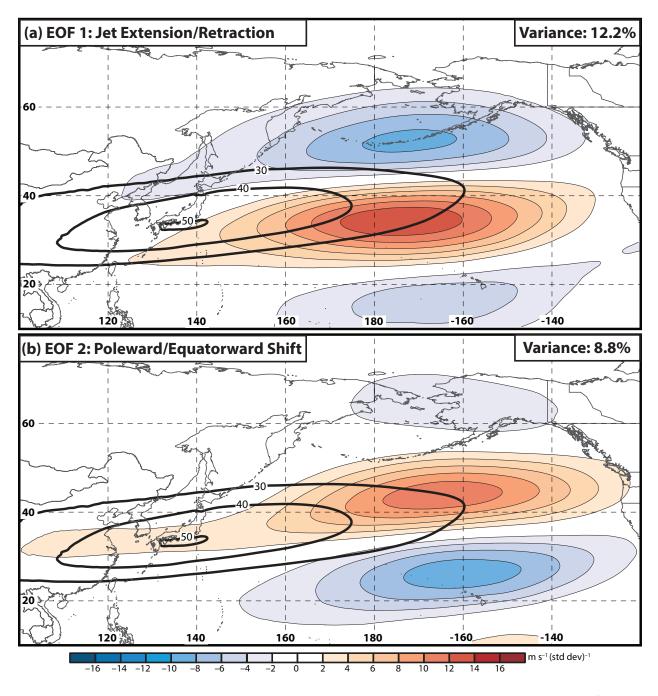
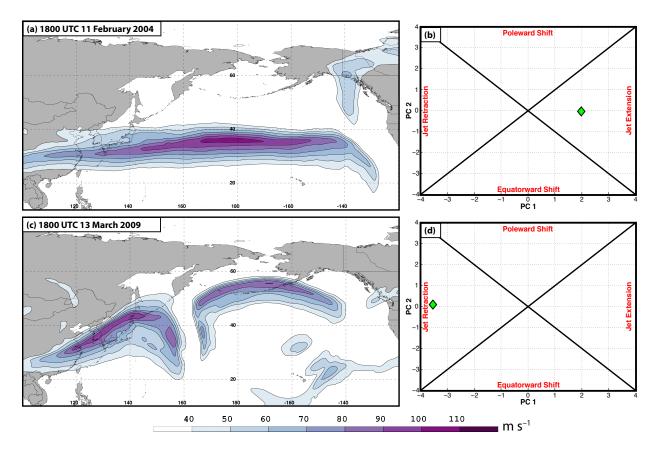


FIG. 1. (a) September–May 250-hPa mean zonal wind is contoured in black every 10 m s<sup>-1</sup> above 30 m s<sup>-1</sup>, and the regression of EOF 1 onto 250-hPa zonal wind anomaly data is shaded following the legend in m s<sup>-1</sup>. (b) As in (a) but for EOF 2.



970 FIG. 2. (a) 250-hPa wind speed in m s<sup>-1</sup> is shaded following the legend at 1800 UTC 11 February 971 2004. (b) The location of weighted PC 1 and PC 2 at 1800 UTC 11 February 2004 within the 972 NPJ Phase Diagram. (c),(d) As in (a),(b) but for 1800 UTC 13 March 2009.

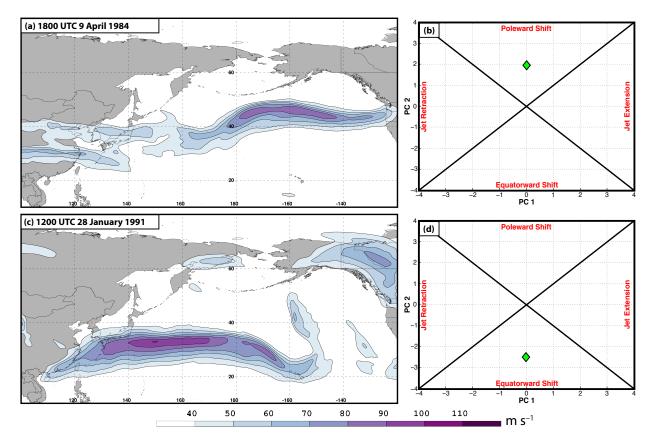
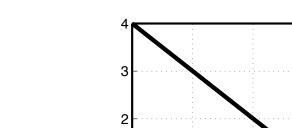


FIG. 3. As in Fig. 2 but for (a),(b) 1800 UTC 9 April 1984 and (c),(d) 1200 UTC 28 January 1991.



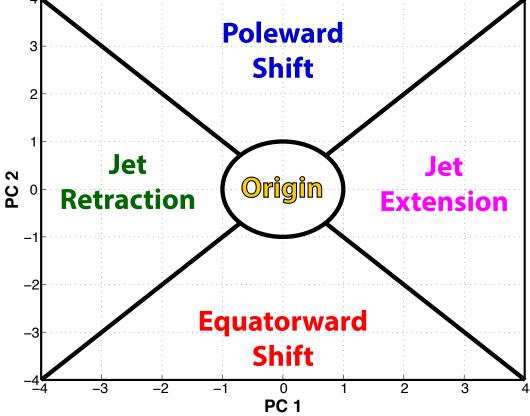
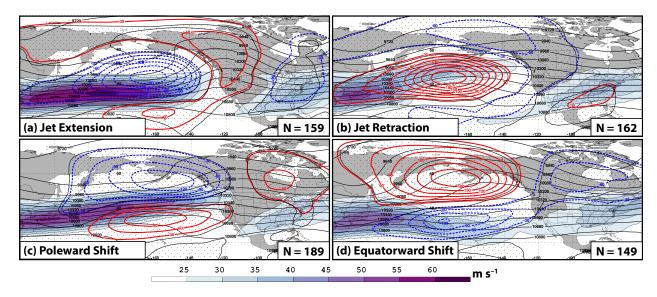


FIG. 4. Schematic illustrating the classification scheme for CFSR analysis times and GEFS reforecasts with respect to the NPJ Phase Diagram.



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1035 FIG. 5. Composite mean 250-hPa wind speed in m s<sup>-1</sup> is shaded in the fill pattern, 250-hPa

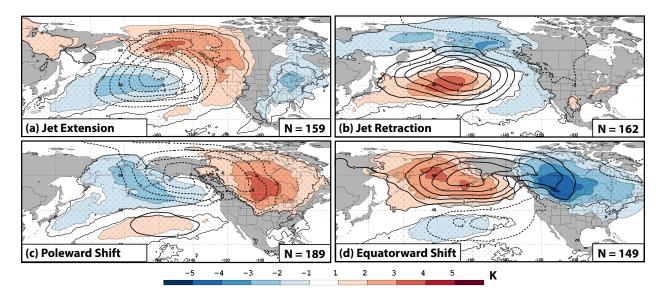
1036 geopotential height is contoured in black every 120 m, and 250-hPa geopotential height

anomalies are contoured in solid red and dashed blue every 30 m for positive and negative

values, respectively, 4 days following the initiation of a (a) jet extension, (b) jet retraction, (c)

1039 poleward shift, and (d) equatorward shift regime. Stippled areas represent locations where the 1040 250-hPa geopotential height anomalies are statistically significantly different from climatology at

- 1041 the 99% confidence level.



1066 FIG. 6. Composite anomalies of mean sea-level pressure are contoured in solid and dashed black

every 2 hPa for positive and negative values, respectively, and 850-hPa temperature anomalies
are shaded in the fill pattern every 1 K 4 days following the initiation of a (a) jet extension, (b)
jet retraction, (c) poleward shift, and (d) equatorward shift regime. Stippled areas represent
locations where the 850-hPa temperature anomalies are statistically significantly different from
climatology at the 99% confidence level.

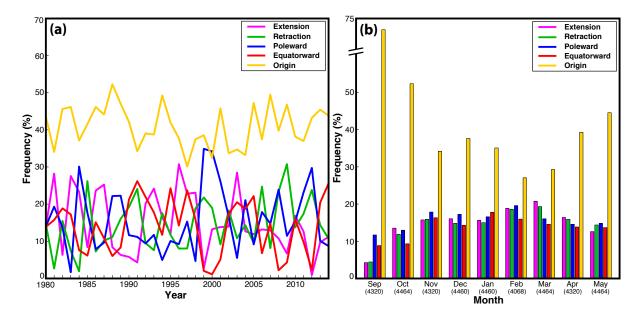
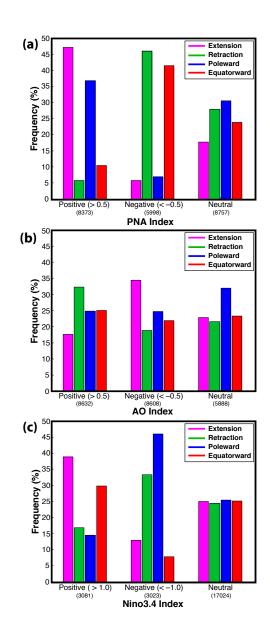
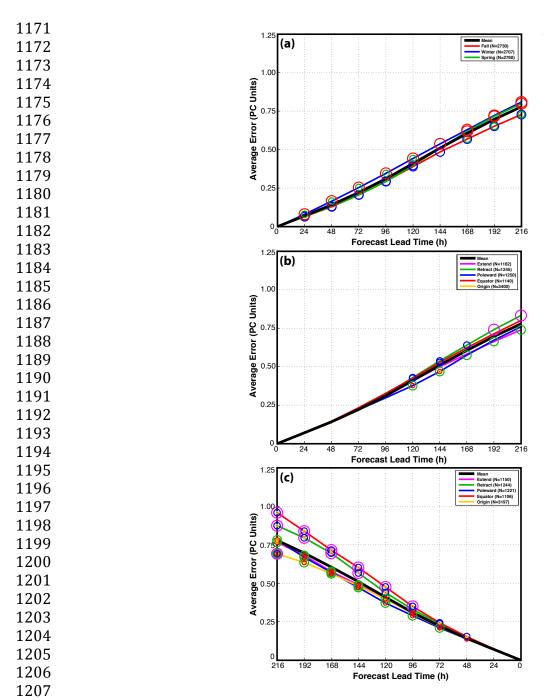


FIG. 7. (a) The percent frequency of each NPJ regime during every cool season between
September 1979 and May 2014. The years indicated on the horizontal axis identify the end of
individual cool seasons. (b) The percent frequency of analysis times during each month of the
cool season that are characterized by each NPJ regime. The numbers in parentheses below each
month indicate the number of analysis times during each month.



- FIG. 8. (a) The percent frequency of each NPJ regime at analysis times during which the NPJ is
  outside of the unit circle on the NPJ Phase Diagram and characterized by each phase of the PNA
  discussed in the text. The numbers in parentheses below each category indicate the number of
  analysis times in each category. (b) As in (a) but for the AO. (c) As in (a) but for ENSO.



1208 FIG. 9. (a) The average error of GEFS ensemble mean NPJ Phase Diagram forecasts initialized during the same season. The colored circles on each line indicate that the error associated with 1209 1210 that season is statistically significantly different from the error associated with another season at the 99% confidence level. The numbers in parentheses in the legend indicate the number of 1211 1212 forecasts in that category. Forecast lead time on the horizontal axis represents the hours after 1213 forecast initialization. (b) As in (a) but for forecasts initialized during the same NPJ regime. (c) 1214 As in (a) but for forecasts verified during the same NPJ regime. Forecast lead time on the 1215 horizontal axis in (c) depicts the hours prior to forecast verification.

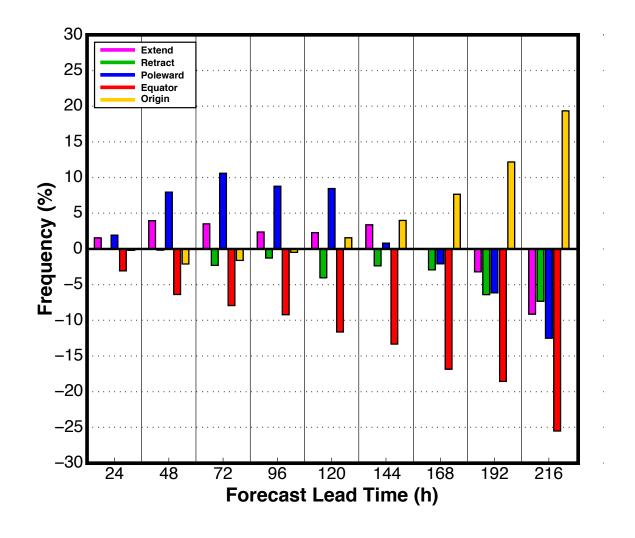
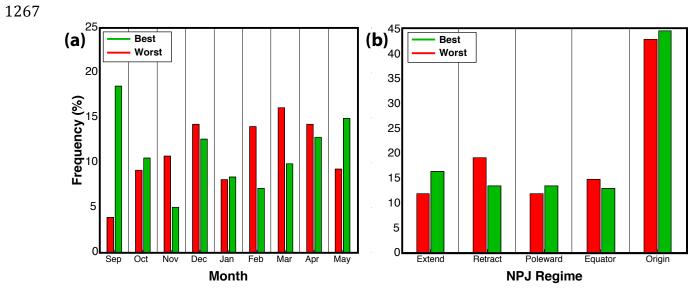


FIG. 10. The percent frequency that an NPJ regime is overforecast or underforecast by the GEFS
ensemble mean NPJ Phase Diagram forecasts relative to the verifying 0-h analyses at each
forecast lead time.

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Hypothetical Best Forecast	Hypothetical Intermediate Forecast	Hypothetical Worst Forecast	
XXXX	$\begin{array}{ccc} \times & \times \\ \times & \bigodot & \times \\ & & & \times \end{array}$	$\begin{array}{cccc} \times & \times \\ \times & \bigcirc & \times \\ \bullet & \times & \times \end{array}$	
Verification	Ens. Mean Position >	<ul> <li>Individual Ens. Member</li> </ul>	

FIG. 11. Schematic illustrating the classification scheme for the best and worst NPJ Phase Diagram medium-range forecasts. 





1270 FIG. 12. (a) The percent frequency of the best and worst NPJ Phase Diagram medium-range

forecasts that are initialized during each month of the cool season. (b) The percent frequency of
the best and worst NPJ Phase Diagram medium-range forecasts that are initialized during each
NPJ regime.

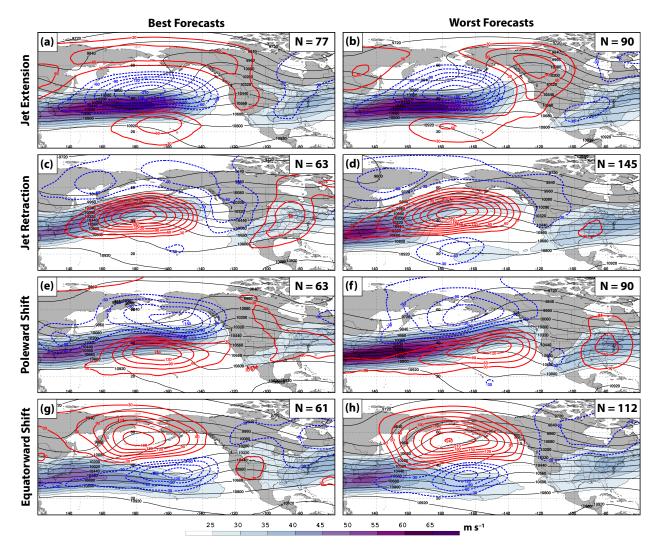
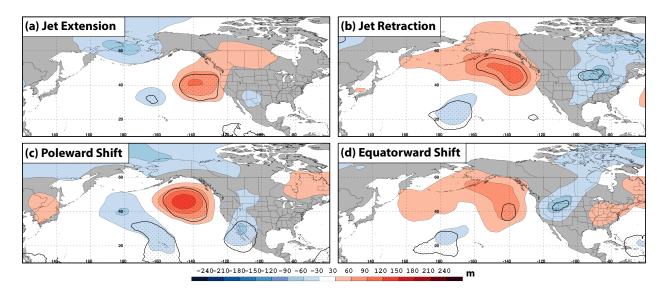


FIG. 13. Composite mean 250-hPa wind speed in m  $s^{-1}$  is shaded in the fill pattern, 250-hPa geopotential height is contoured in black every 120 m, and 250-hPa geopotential height anomalies are contoured in solid red and dashed blue every 30 m for positive and negative values, respectively, at the time a (a) best and (b) worst NPJ Phase Diagram forecast is initialized during a jet extension. (c),(d) As in (a),(b) but for those forecasts initialized during a jet retraction. (e),(f) As in (a),(b) but for those forecasts initialized during a poleward shift. (g),(h) As in (a,b) but for those forecasts initialized during an equatorward shift. The quantities in the top right corner of every panel indicate the number of cases included in each composite. 



1319 FIG. 14. (a) The difference between the 250-hPa geopotential height anomalies associated with a

1320 worst and best NPJ Phase Diagram forecast at the time of forecast initialization during a jet

extension is shaded every 30 m in the fill pattern. (b) As in (a) but for a jet retraction. (c) As in(a) but for a poleward shift. (d) As in (a) but for an equatorward shift. Statistically significant

differences in geopotential height anomalies at the 99% confidence level are stippled in all panels.

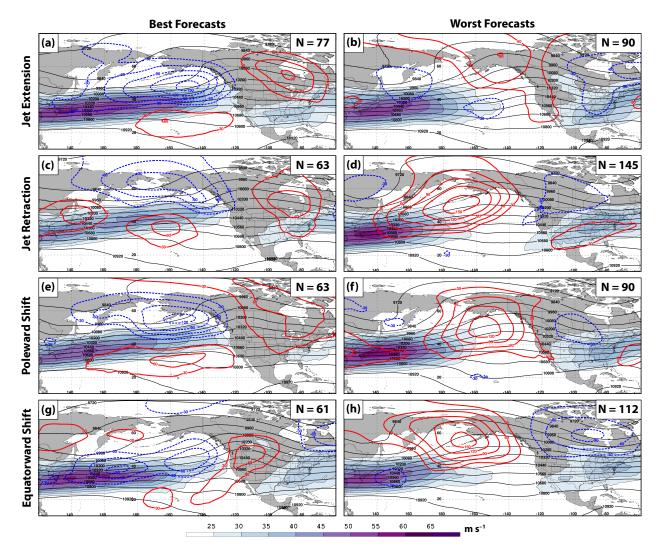
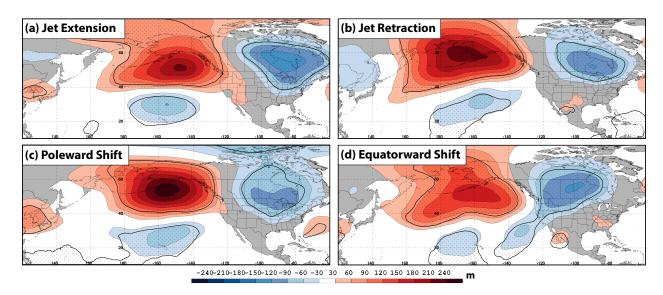


FIG. 15. As in Fig. 13, but for the composite 250-hPa flow pattern 192 h following theinitialization of a best and worst NPJ Phase Diagram forecast.



- 1368 FIG. 16. As in Fig. 14, but for the composite difference between 250-hPa geopotential height
- anomalies associated with the upper-tropospheric flow pattern 192 h following the initialization
- 1370 of a worst and best NPJ Phase Diagram forecast.