

# Weather and Forecasting

## Atmospheric River Reconnaissance 2021: A Review

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<b>Abstract:</b>	Atmospheric River Reconnaissance (AR Recon) 2021 operated for ten weeks from January 13 <sup>th</sup> to March 22 <sup>nd</sup> , with 29 Intensive Observation Periods (IOPs), 45 flights and 1142 successful dropsondes deployed in the northeast Pacific. With the availability of two U.S. Air Force C-130s and one National Oceanic and Atmospheric

	<p>Administration (NOAA) G-IV, six sequences were accomplished, in which the same synoptic system was sampled over several days.</p> <p>Targeted dropsonde observations were focused on essential atmospheric structures, primarily atmospheric rivers. Adjoint and ensemble sensitivities, mainly focusing on predictions of U.S. West Coast precipitation, provided complementary information on locations where additional observations may help to reduce the forecast uncertainty.</p> <p>Additionally, Airborne Radio Occultation (ARO) and tail radar were active during some flights, 30 drifting buoys were distributed, and 111 radiosondes were launched from four locations in California. Dropsonde, radiosonde and buoy data were available for assimilation in real-time into operational models.</p> <p>The principal aim was to gather observations to improve forecasts of landfalling atmospheric rivers on the U.S. West Coast, with the sampling of other meteorological phenomena forecast to have downstream impacts over the U.S. also considered. Alongside forecast improvement, observations were also gathered to address important scientific research questions, as part of a Research and Operations Partnership.</p>
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# Atmospheric River Reconnaissance 2021: A Review

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# 45 **Abstract**

46 Atmospheric River Reconnaissance (AR Recon) 2021 operated for ten weeks from January 13<sup>th</sup> to  
47 March 22<sup>nd</sup>, with 29 Intensive Observation Periods (IOPs), 45 flights and 1142 successful  
48 dropsondes deployed in the northeast Pacific. With the availability of two U.S. Air Force C-130s  
49 and one National Oceanic and Atmospheric Administration (NOAA) G-IV, six sequences were  
50 accomplished, in which the same synoptic system was sampled over several days.

51 Targeted dropsonde observations were focused on essential atmospheric structures, primarily  
52 atmospheric rivers. Adjoint and ensemble sensitivities, mainly focusing on predictions of U.S.  
53 West Coast precipitation, provided complementary information on locations where additional  
54 observations may help to reduce the forecast uncertainty. Additionally, Airborne Radio  
55 Occultation (ARO) and tail radar were active during some flights, 30 drifting buoys were  
56 distributed, and 111 radiosondes were launched from four locations in California. Dropsonde,  
57 radiosonde and buoy data were available for assimilation in real-time into operational models.

58 The principal aim was to gather observations to improve forecasts of landfalling atmospheric rivers  
59 on the U.S. West Coast, with the sampling of other meteorological phenomena forecast to have  
60 downstream impacts over the U.S. also considered. Alongside forecast improvement, observations  
61 were also gathered to address important scientific research questions, as part of a Research and  
62 Operations Partnership.

63

## 64 **Significance Statement**

65 Atmospheric rivers contribute the majority of western U.S. precipitation and are also responsible  
66 for damage associated with extreme precipitation. Over the past several years, the Atmospheric  
67 River Reconnaissance (AR Recon) observational campaign has collected vast amounts of in situ  
68 data sampling atmospheric rivers and their surrounding area. The aim of this paper is to provide  
69 details on the research and operational aspects of AR Recon 2021 alongside highlighting the vast  
70 quantity of data gathered and presenting some initial research into the dropsonde data collected.  
71 This paper details the diverse range of data collected as well as the large spatial coverage over the  
72 northeast Pacific and U.S. West Coast. We also show the value in such observational data, which  
73 is used to improve weather forecasts in numerous operational modeling systems. This paper

74 provides an overview of this AR Recon 2021 season and raises future research questions, such as  
75 exploring further why certain observational data is rejected from the forecast models.

76

77

# 78 1. Introduction

79 Atmospheric rivers (ARs) are long narrow corridors of water vapor transport that are responsible  
80 for much of the water vapor flux across the mid-latitudes (Zhu & Newell 1998), while over the  
81 U.S. West Coast 82% of ARs are associated with an extratropical cyclone (Zhang et al. 2019).  
82 Although ARs are a feature in the North Pacific throughout much of the year, there is a seasonal  
83 cycle in their spatial distribution, with ARs most frequently impacting the U.S. West Coast during  
84 boreal winter (Mundhenk et al. 2016; Gershunov et al. 2017).

85  
86 Landfalling ARs have both beneficial and negative impacts, providing the majority of western U.S.  
87 precipitation (Dettinger et al. 2011), but are also responsible for damage associated with extreme  
88 precipitation (Ralph et al. 2006; Lavers et al. 2011; Waliser and Guan 2017; Corringham et al.  
89 2019; Henn et al. 2020). During the U.S. West Coast wet season (November-April), a few intense  
90 and long duration ARs can make the difference between drought and wet years (Dettinger et al.  
91 2011; Ralph et al. 2019); therefore, water resource management can be particularly sensitive to  
92 AR activity. ARs are defined by integrated vapor transport (IVT), and along with duration, IVT  
93 can be used to categorize ARs into different strengths on the AR Scale, with AR 1 as primarily  
94 beneficial and becoming increasingly hazardous up to AR 5 (Ralph et al. 2019).

95  
96 To advance the understanding and prediction of ARs, there have been multiple observational  
97 campaigns to collect data in and around these systems. Atmospheric River Reconnaissance (AR  
98 Recon) led by the Center for Western Weather and Water Extremes (CW3E operated in the North  
99 Pacific in 2016, 2018, 2019, 2020 and 2021 (Ralph et al. 2020), following on from the CalWater  
100 program from 2008 to 2015 (Ralph et al. 2016; Cordeira et al. 2017). Starting in 2020, AR Recon  
101 has been designated as an “operational” requirement, as directed by the National Winter Season  
102 Operations Plan (OFCM2019). AR Recon 2021 spanned 10 weeks from 13 January to 22 March,  
103 and contained 29 Intensive Observation Periods (IOPs), an extension of three weeks and an  
104 additional 12 IOPs compared to AR Recon 2020.

105  
106 AR Recon observations aim to fill the observational data gap that exists within ARs in the lower  
107 to middle troposphere, due to the degraded quality of satellite radiances in the presence of thick

108 clouds and precipitation (Zheng et al. 2021a). The primary data collected during AR Recon 2021  
109 were from dropsondes, which were deployed by the U.S. Air Force Reserve's 53rd Weather  
110 Reconnaissance Squadron (USAF) C-130s and National Oceanic and Atmospheric Administration  
111 (NOAA) G-IV aircraft. Field campaign radiosondes were released from four stations along the  
112 U.S. West Coast, drifting buoys with surface pressure sensors were distributed in the northeast  
113 Pacific, and Airborne Radio Occultation (ARO; Haase et al. 2014) refractivity profiles were  
114 gathered on all NOAA G-IV flights. All AR Recon dropsonde, radiosonde, and buoy data were  
115 distributed in real-time, when possible, via the World Meteorological Organization's Global  
116 Telecommunication System (GTS) (<https://www.wmo.int/pages/prog/www/TEM/GTS/>) for  
117 operational assimilation into numerical weather prediction (NWP) systems.

118  
119 Although most observations assimilated in NWP systems are from satellites, the positive impact  
120 of dropsonde data on AR forecast skill has been demonstrated in several studies (e.g., Stone et al.  
121 2020; Tallapragada et al. 2021; Zheng et al. 2021b), using forecast sensitivity observation impact  
122 (FSOI) diagnostics and full data denial experiments. Drifting buoys equipped with barometers  
123 make accurate measurements of sea level pressure and have been shown to contribute to marine  
124 weather forecast improvement (Centurioni et al. 2017; Ingleby and Isaksen 2018). Since 2019, the  
125 deployment of these drifting buoys with barometers over the northeast Pacific, in partnership with  
126 NOAA's Global Drifter Program (GDP), has been considered an important component of AR  
127 Recon (Ralph et al. 2020). Almost half of global drifting buoys, and 40% in the northeast Pacific  
128 region do not have a pressure sensor. Augmented pressure measurements emerged as an additional  
129 priority given the positive impact of this data in remote oceanic areas (e.g., Horányi et al. 2017),  
130 and Ingleby and Isaksen (2018) recommended that 'more WMO member countries should consider  
131 participating in the GDP barometer upgrade program'.

132  
133 Alongside the improvement of real-time NWP forecasts, the vast observational datasets collected  
134 as part of AR Recon also allow for detailed process studies that further the understanding of the  
135 physical processes that govern the dynamics of ARs (e.g., Neiman et al. 2017, 2014; Ralph et al.  
136 2017; Cannon et al. 2020; Demirdjian et al. 2020, Norris et al. 2020; Cobb et al. 2021a).  
137 Observations of ARs can also be used in model assessment studies, such as examining model  
138 biases and forecast model skill (e.g., Lavers et al. 2018, 2020a; Stone et al. 2018), and their fidelity

139 compared to reanalysis products (e.g., Guan et al. 2018; Cobb et al. 2021b). Therefore, AR Recon  
140 addresses both operational, real-time forecasting needs, as well as longer-term research goals,  
141 working towards a Research and Operations Partnership (Section 1.2.1).

142  
143 The purpose of this paper is to provide details on the research and operational aspects of AR Recon  
144 2021, including introducing the new concept of an *AR Recon sequence* and describing the current  
145 AR Recon mission. This paper details essential atmospheric structures as well as sensitivity tools,  
146 both of which are used in identifying observational targets. It also highlights the vast quantity of  
147 data gathered, presenting some initial research into the dropsonde data and its assimilation into  
148 three operational NWP systems: Global Forecast System (GFS) run at the National Centers for  
149 Environmental Prediction (NCEP); NAVy Global Environmental Model (NAVGEM) run at the  
150 U.S. Naval Research Laboratory, and the Integrated Forecasting System (IFS) run at European  
151 Centre for Medium-Range Weather Forecasts (ECMWF). In Section 2 a meteorological overview  
152 of the 2021 AR Recon season is presented, followed by a discussion of the sampling strategy in  
153 Section 3. Section 4 details the observational data collected, with Section 5 describing the data  
154 assimilated. Sections 6 and 7 present the challenges and summary. Recommendations for future  
155 operational seasons is provided in Section 8.

156

## 157 **2. AR Recon 2021 meteorology**

158 AR Recon 2021 collected targeted observations of several significant weather events that impacted  
159 the U.S. during January–March 2021 (see Supplementary Table 1). During the third week of AR  
160 Recon (26<sup>th</sup> - 29<sup>th</sup> January, IOPs 3-8), an AR made landfall in California, with some areas in  
161 Central California experiencing AR conditions for nearly 48 consecutive hours (AR 2 conditions  
162 according to the Ralph et al. 2019 scale). More than 175 mm of precipitation fell in portions of the  
163 Sierra Nevada, Central California Coast Ranges, and western Transverse Ranges. Several feet of  
164 snow accumulated across the Sierra Nevada, resulting in closures of major highways, and intense  
165 rainfall on recent burn scars caused damaging debris flows in Central and Southern California.  
166 Soon after, on 31<sup>st</sup> January, an AR 2 produced significant precipitation in Northern California and  
167 Southern Oregon (IOPs 9-11). On 21 February, another AR 2 made landfall over Washington and

168 Northern Oregon, bringing more than 125 mm of precipitation to the Olympic Peninsula and North  
169 Cascades and more than 60 cm of snow in the higher elevations of the Washington Cascades and  
170 Bitterroot Mountains (IOPs 14-16). The combination of near-saturated soil conditions, heavy rain,  
171 and melting snow produced minor flooding in Western Washington. During 8<sup>th</sup> -12<sup>th</sup> March 2021  
172 (IOPs 22-25), a cutoff low formed over the northeast Pacific and moved southeastward along the  
173 U.S. West Coast, bringing light to moderate precipitation to much of California. A lee cyclone  
174 formed downstream of the upper-level low, with a region of enhanced poleward moisture transport  
175 in the warm sector that interacted with a strengthening baroclinic zone to produce severe weather  
176 in the Texas Panhandle, heavy rain and flooding in parts of Kansas and Nebraska, and heavy snow  
177 in Colorado and Wyoming on 13<sup>th</sup> -14<sup>th</sup> March.

178  
179 Despite the occurrence of several landfalling ARs over the season, the U.S. West Coast continued  
180 to experience abnormally dry to exceptional drought conditions (National Drought Mitigation  
181 Center, 2021) that preceded the significant wildfire activity in summer 2021 (Predictive Services  
182 National Interagency Fire Center, 2021). Persistent ridging over the northeast Pacific contributed  
183 to these abnormally dry conditions, as this synoptic pattern plays a dominant role in deflecting the  
184 location of landfalling ARs and hence precipitation (Gibson et al. 2020). The presence of these  
185 drought conditions and the considerable contribution of individual ARs to season precipitation  
186 totals highlights the beneficial impacts of ARs, as an important supplier of water resources for the  
187 U.S. West Coast and a vital part of the climate.

188

### 189 **3. AR Recon 2021 sampling strategy**

190 The primary goal of AR Recon is to improve forecasts of the landfall and impacts of ARs on the  
191 U.S. West Coast at lead times of 1-5 days, optimizing water management and hazard mitigation  
192 strategies (Ralph et al. 2020). This goal is achieved by the assimilation of targeted observational  
193 data into forecast models to improve the initial conditions. There are several different targeting  
194 methods used during field campaigns, summarized in the review article by Majumdar (2016), and  
195 in AR Recon we focus on sampling essential atmospheric structures (Section 3.3) and regions of  
196 high forecast sensitivity (Section 3.4).

197  
198 The sampling strategy of AR Recon 2021 is exemplified in this paper using IOP 7, which sampled  
199 the high-impact AR that made landfall in California during 26<sup>th</sup>–29<sup>th</sup> January and produced heavy  
200 precipitation and damaging debris flows in central and Southern California (Section 2). This IOP  
201 deployed both the USAF C-130 and NOAA G-IV aircraft and had high forecast sensitivity (see  
202 Table S1, Section 3.4).

203  
204 As well as analyzing the meteorological features present, it was vital to understand the potential  
205 impacts associated with a landfalling event. Key forecast impacts were assessed using AR Recon  
206 tools developed at CW3E, such as the landfall tool (Cordeira and Ralph 2021), AR Scale forecast  
207 (Ralph et al. 2019), and watershed precipitation forecasts, all available at <https://cw3e.ucsd.edu>.  
208 These first two tools focus on the primary AR metric, IVT, and the watershed forecast was used  
209 for a more detailed examination of local-scale precipitation, which provides more information on  
210 the potential impacts.

211

### 212 **3.1 Research and Operations Partnership**

213 AR Recon is a “Research and Operations Partnership” (RAOP) between multiple academic  
214 institutions, state and federal agencies, and stakeholders to improve forecasting of high-impact  
215 winter weather events in the Western U.S. (Ralph et al. 2020). The events relevant to AR Recon,  
216 in order of priority are:

- 217 • Strong landfalling AR along the U.S. West Coast
- 218 • Weak or moderate landfalling AR along the U.S. West Coast
- 219 • Cut-off low, with possible AR, to affect the Western U.S.
- 220 • Conditions over the northeast Pacific that may influence a major storm over the Central or  
221 Eastern U.S. (when there has been little AR activity along the U.S. West Coast)

222 Alongside gathering observations to improve NWP forecasts of significant weather events, AR  
223 Recon also acts to collect observations when the opportunity to improve scientific understanding  
224 is high. Sampling based on the latter motivation will produce results on a longer time scale than

225 just considering improving an individual NWP forecast and can potentially lead to improvements  
226 in model skill by advancing both model and physical understanding.

## 227 **3.2. AR Recon sequence**

228 In AR Recon 2021 we defined an ‘*AR Recon sequence*’ as a series of consecutive flights sampling  
229 the same synoptic system, with a maximum of one day gap between each set of flights. This  
230 targeted observation strategy was motivated by Zheng et al. (2021b) who identified positive  
231 impacts of AR Recon data on precipitation forecast skill that is maximized towards the end of each  
232 sequence flight, which they referred to as back-to-back IOPs every other day. Similar results were  
233 reported in Stone et al. (2020), which showed that the greatest observational impact on the forecast  
234 was in the last IOP during the first four IOPs in AR Recon 2018.

## 235 **3.3. Essential atmospheric structures**

236 The AR Recon 2021 sampling strategy focused on collecting targeted observations of synoptic-  
237 scale and mesoscale essential atmospheric structures within and proximal to ARs that are important  
238 for both forecast impact and scientific interest. Three tiers have been defined (Table 1),  
239 highlighting the relative importance of each feature for AR Recon targeting purposes.

240  
241 The principal target was an AR itself (Tier 1), with sampling of mesoscale frontal waves (MFWs)  
242 the secondary Tier 1 target, as the small scale and rapid growth of them can modify the AR,  
243 presenting increased forecast challenges (Martin et al. 2019).

244  
245 In Tier 2 are the synoptic-scale or sub-synoptic-scale disturbances within the jet stream, defined  
246 using winds at 250 hPa, which can create synoptic-scale ascent that aids the development of extra-  
247 tropical cyclones (e.g., Sanders and Gyakum 1980; Uccellini et al. 1985; Wash et al.1988; Wang  
248 and Rogers 2001; Yoshida and Asuma 2004) and are highly correlated with their spatial  
249 distribution on the seasonal scale (Zhang et al. 2017). Potential vorticity (PV) anomalies  
250 (‘streamers’) (Tier 2) are often linked to Rossby wave breaking (RWB) and are associated with  
251 intense stratosphere–troposphere exchange (e.g., Hoskins et al. 1985; Appenzeller et al. 1996;  
252 Sprenger and Wernli 2003) and have been shown to influence the evolution of surface weather

253 (e.g., Hoskins et al. 1985), such heavy precipitation and flooding (Massacand et al. 1998; Martius  
 254 et al. 2006, 2013; Ryoo et al. 2013). A warm conveyor belt (WCB) (Tier 2) is the airflow in an  
 255 extratropical cyclone that ascends from the boundary layer to the upper troposphere along the  
 256 vertically sloping isentropic surface (Carlson 1980), leading to cloud formation, precipitation, and  
 257 latent heat release. In the lower troposphere, WCB parcels are apparent in the warm sector of  
 258 extratropical cyclones and might be collocated with high values of IVT (i.e., AR conditions).  
 259 Occurring with the WCB outflow region in the upper troposphere, close to the upper-level jet, are  
 260 significant negative PV anomalies (Madonna et al. 2014a), which can generate upper-level  
 261 anticyclonic circulation, and contribute to the formation of PV streamers downstream (Grams et  
 262 al. 2011; Madonna et al 2014b).

263 An extratropical cyclone can intensify an AR via stronger wind, and the AR can enhance  
 264 precipitation and release latent heat, contributing to the extratropical cyclone deepening (Zhang et  
 265 al. 2019; Zhang and Ralph 2021). Therefore, gathering observations of this synoptic scale system  
 266 is also a target of AR Recon (Tier 3). Narrow-cold frontal rainbands (NCFRs) (Tier 3) are 3–5 km  
 267 wide, coinciding with the leading edge of an extratropical cyclone cold front, and associated with  
 268 strong upward vertical velocities, intense rainfall (Hobbs 1978; Browning 1986; Jorgensen et al.  
 269 2003), and the potential for debris flows along the U.S. West Coast (e.g., Sukup et al. 2015; Oakley  
 270 et al. 2017; Cannon et al. 2018, 2020).

	<b>Feature</b>	<b>Scale</b>	<b>References</b>
Tier 1	Atmospheric River (AR)	Synoptic	Ralph et al. 2017; Kamae et al. 2017
	Mesoscale frontal wave (MFW)	Mesoscale	Martin et al. 2019
Tier 2	Upper-level (250 hPa) jet*	Synoptic	Sanders and Gyakum 1980; Uccellini et al. 1985; Wash et al.1988; Wang and Rogers 2001; Yoshida and Asuma 2004; Zhang et al. 2017
	500 hPa PV streamer	Mesoscale	Massacand et al. 1998; Martius et al. 2006, 2013; Wernli and Sprenger 2007; Ryoo et al. 2013
	Warm conveyor belt (WCB)	Mesoscale	Carlson 1980; Wernli and Davies 1997; Grams et al. 2011; Madonna et al 2014a,b; Rodwell et al. 2018; Dacre et al. 2019

Tier 3	Extra-tropical cyclone	Synoptic	Zhang et al. 2019
	Narrow-cold frontal rainband (NCFR)	Mesoscale	Hobbs 1978; Hobbs and Persson 1982; Browning 1986; Jorgensen et al. 2003; Sukup et al. 2015; Oakley et al. 2017; Cannon et al. 2018, 2020

271

272 Although defined as distinct characteristics in Table 1, these essential atmospheric characteristics  
 273 are inter-related and act on different scales, which poses modeling challenges. Several studies have  
 274 highlighted the scale-dependence of atmospheric phenomena, e.g., Stull (1985), and Žagar et al.  
 275 (2017), with predictability increasing with spatial scale and Lagrangian timescale.

276

277

278 *Table 1. Essential atmospheric structures listed in order of priority. \*The upper-level jet can generally only be*  
 279 *sampled by the NOAA G-IV, as the USAF C-130 flies too low. PV: Potential vorticity.*

280

281 These essential atmospheric features were analyzed in NWP forecasts of 1-5 days lead time and  
 282 were used to plan flight tracks and dropsonde release locations. The principal forecasts used were  
 283 the deterministic and ensemble versions of the NCEP GFS and the ECMWF IFS, with CW3E's  
 284 high-resolution in-house Weather Research and Forecasting (WRF) Model (West-WRF),  
 285 configured to optimally represent ARs and wintertime meteorology on the U.S. West Coast  
 286 (Martin et al. 2018), available for additional analysis.

287

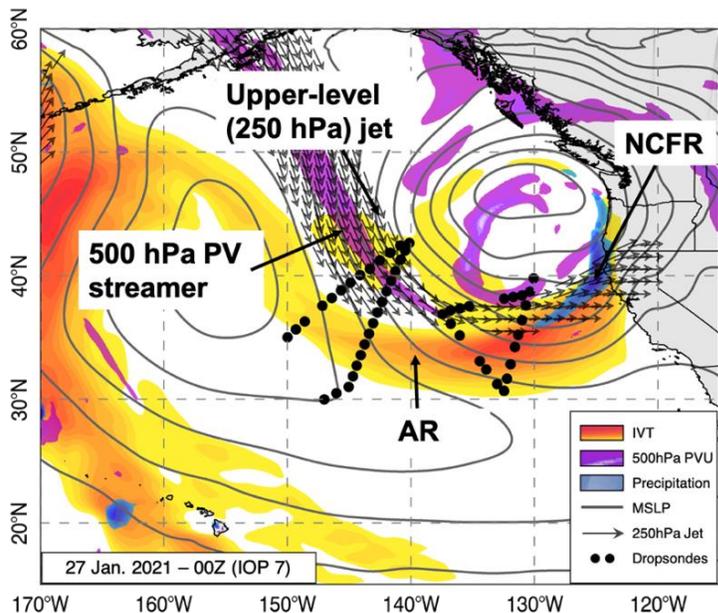
288 Forecasts of WCB activity were provided by colleagues at ETH Zürich, based on IFS forecasts (1°  
 289 grid spacing, 137 model levels) (ECMWF, 2020). To identify WCBs, 48-h forward trajectories  
 290 extending vertically from 1000 to 700 hPa (every 25 hPa) and horizontally separated by 80 km  
 291 were analyzed using the Lagrangian Analysis Tool LAGRANTO (Wernli and Davies 1997;  
 292 Sprenger and Wernli 2015). To be classified as a WCB trajectory, the 48-h ascent must exceed  
 293 600 hPa (Madonna et al. 2014a).

294

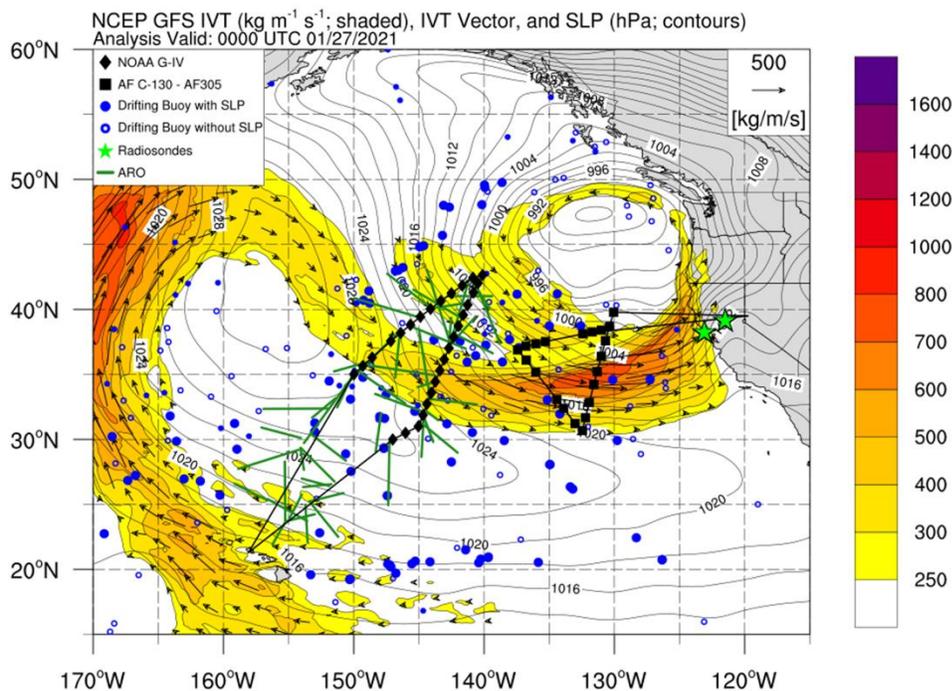
295 Several of these essential atmospheric structures were sampled during IOP 7, centered on 00 UTC  
 296 27<sup>th</sup> January including an AR, upper-level jet stream, and 500 hPa potential vorticity (PV) streamer  
 297 (Figure 1a). These features were sampled by dropsondes, radiosondes and ARO, as well as drifting

298 buoys, providing extensive coverage of the AR and surrounding area (Figure 1b). Although this  
299 system featured an NCFR, it was not sampled.

300 Figure 1. Sampling essential atmospheric structures and sensitivities in IOP 7, centered around 00 UTC 27<sup>th</sup>  
 301 January 2021. a. Essential atmospheric structures present during IOP 7. NCEP GFS IVT at 00 UTC 27th January  
 302 2021: mean sea level pressure (MSLP) in black contour lines, IVT in orange filled contours, 500 hPa PVU in purple  
 303 filled contours, precipitation in blue filled contour, 250 hPa jet in arrows. Dropsondes in black dots. NCFR:  
 304 Narrow-cold frontal rainband.



305  
 306  
 307 b) Observations taken during IOP 7, including dropsondes (black dots), radiosondes (green stars), buoy  
 308 measurements (blue dots), ARO profiles (green lines). Flight tracks to and from Reno and Honolulu in black lines.  
 309 NCEP GFS IVT at 00 UTC 27th January 2021: mean sea level pressure (MSLP) in black contour lines and IVT in  
 310 orange filled contours.



311

13

### 312 **3.4 Sensitivity tools**

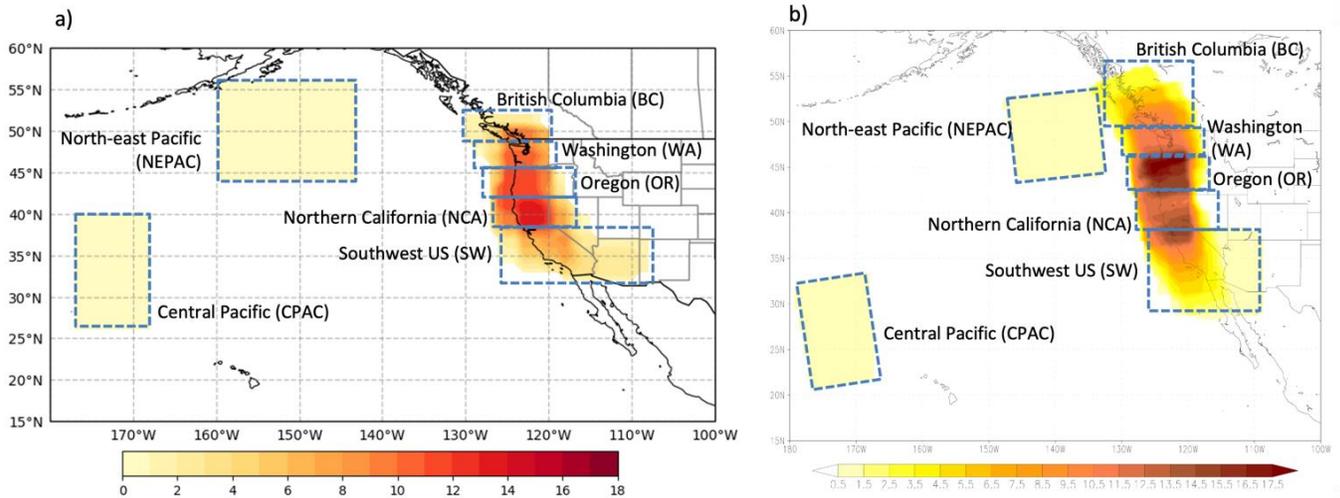
313 Sensitive regions of the atmosphere indicate where uncertainty can grow quickly and often overlap  
314 with dynamically active areas. These regions are important to target with additional measurements,  
315 and the removal of observations in such sensitive areas has a larger negative impact on the forecast  
316 than removal of observations from random areas (Cardinali et al. 2007). AR forecasts may  
317 especially benefit from additional observations in sensitive regions because the areas in and around  
318 ARs have been identified as observation gaps, while quantitative tools also highlight these areas  
319 as particularly sensitive to initial condition errors (Doyle et al. 2019; Reynolds et al. 2019; Zheng  
320 et al. 2021a).

321  
322 In AR Recon 2021, two different approaches to identify regions of forecast sensitivity were  
323 implemented: ensemble-based sensitivity that utilizes ECMWF, and a combination of NCEP  
324 Global Ensemble Forecast System (GEFS) and Canadian Meteorological Center (CMC) ensemble  
325 forecasts, and adjoint sensitivity using U.S. Naval Research Laboratory’s (NRL’s) Coupled  
326 Ocean–Atmosphere Mesoscale Prediction System (COAMPS) (Hodur 1997; Doyle et al. 2014;  
327 2019), which has been utilized for targeted observing of tropical cyclones and ARs (e.g., Reynolds  
328 et al. 2010; 2019). Ensemble sensitivity tools analyze the linear relationships between a forecast  
329 metric and initial state variables to identify areas at the initial time or an earlier forecast time that  
330 are most sensitive to uncertainty growth at the verification time (Ansell and Hakim 2007; Torn  
331 and Hakim 2008; Torn and Hakim 2009; Chang et al. 2013; Zheng et al. 2013; Torn 2014; Hill et  
332 al. 2020). Adjoint models calculate forecast sensitivity of a response function to changes in the  
333 initial state (Errico 1997; Doyle et al. 2014; Rabier et al. 1996; Reynolds et al. 2019), where the  
334 largest values have the most impact on the forecast errors.

335  
336 The most common response function was 24-hour accumulated precipitation over the U.S. West  
337 Coast, with approximately half of the IOPs focusing on precipitation over Northern California and  
338 Oregon, with two IOPs focused on sea level pressure over the Central Pacific/Northeast Pacific  
339 (Figure 2) and one IOP on precipitation in the Central U.S. The spatial distribution of response  
340 function domains in the GEFS and CMC ensemble sensitivity analysis (not shown) was largely  
341 the same as the ECMWF ensemble sensitivity (Figure 2a). Large domains were used to ensure that

342 the sensitivity represented a change in magnitude and not a shift in location, signifying potential  
343 weakening or strengthening of the system with resultant precipitation changes.

344



345  
346 *Figure 2. Heat map of response function domains for 28 IOPs (domain over central US, IOP 25, not shown) for a)*  
347 *ECMWF ensemble and b) COAMPS adjoint sensitivity. Units are number of IOPs. Note the GEFS and CMC*  
348 *contained similar distributions as panel (a) and are not shown.*

349

350 Due to different response function domains and adjoint integration lengths, it is difficult to directly  
351 compare the magnitude of the sensitivities across each IOP. However, in AR Recon 2021, for the  
352 first time, the COAMPS adjoint sensitivity impact was calculated, defined as the optimal  
353 perturbation maximum magnitude for precipitation in the nonlinear model (measured in mm; Table  
354 S1). This value provides a comprehensive metric to understand the potential for error growth,  
355 which was categorized into low (0-5), medium (5-10), and high (10+) mm.

356

357 The COAMPS sensitivities for IOP 7 (Figure 3) highlight the sensitivity of the precipitation  
358 forecast to changes in the initial potential temperatures, specific humidity, potential vorticity (PV),  
359 and winds within the upstream region of high IVT but also within the low pressure itself. These  
360 general sensitivity characteristics are typical for both North Atlantic and northeastern Pacific  
361 cyclones and ARs (Doyle et al. 2014, 2019; Reynolds et al. 2019). The COAMPS adjoint  
362 sensitivity impact for IOP 7 was almost 22 mm, which is considered high in this analysis (see  
363 Table S1).

364

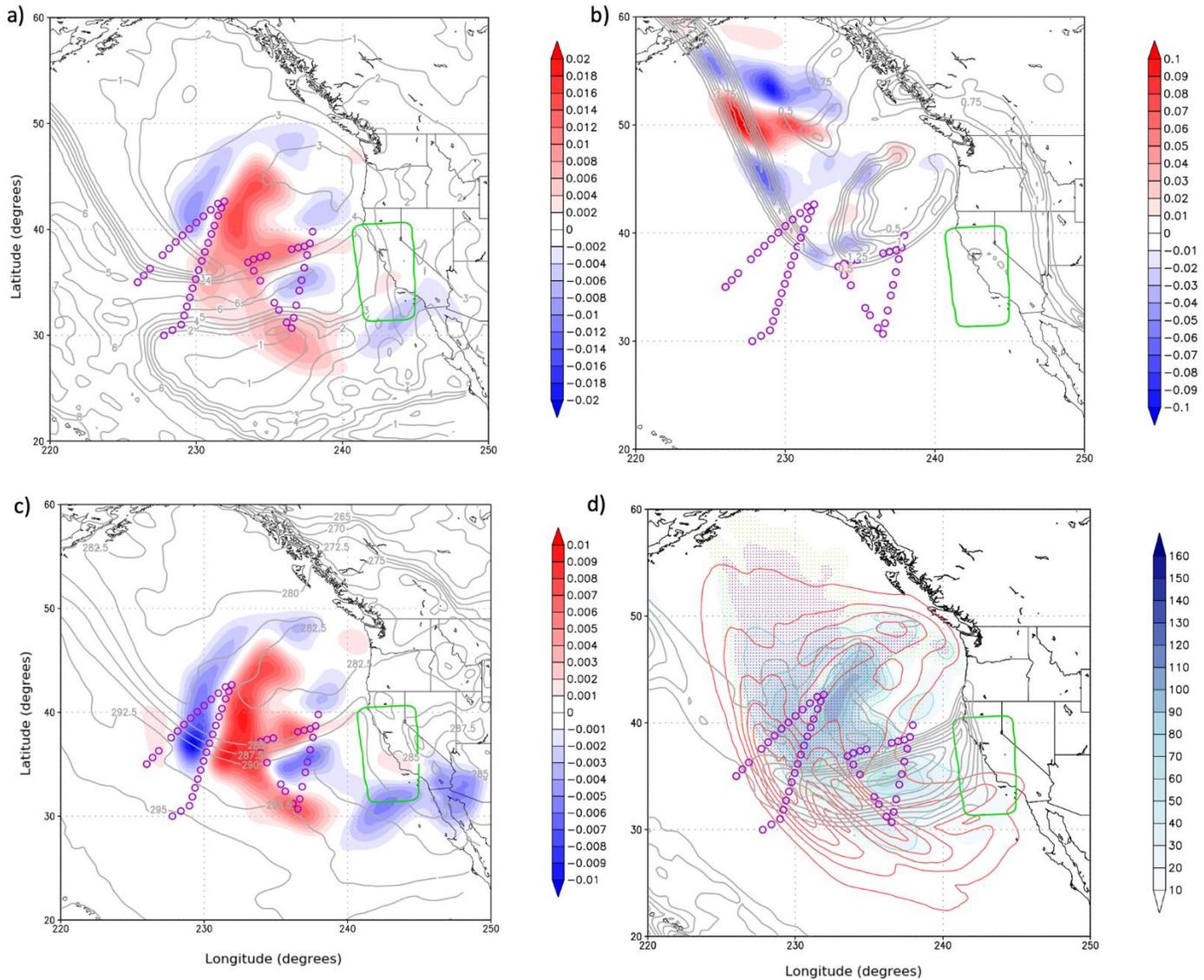
365 The ECMWF ensemble sensitivities (Figure 4) show that the strongest IVT and equivalent  
366 potential temperature ( $\theta_e$ ) sensitivities are concentrated in the IVT plume, and the 500 hPa PV  
367 streamer aligned with the upper-level jet, similar to the COAMPS result (Figure 3). The GEFS  
368 and CMC ensemble sensitivity (not shown) showed largely similar spatial patterns as the ECMWF  
369 ensemble sensitivity. The summaries (Figures 3d, 4d) show the vertically integrated sensitivities  
370 for both analyses, highlighting dropsonde sampling of the AR itself, along with sampling wide  
371 areas of relatively large sensitivity, which is preferable to targeting a specific high sensitivity  
372 value. Note that this assessment highlights the sensitivity fields of select variables, but many  
373 others can be used, for example atmospheric refractivity, which can help to inform decisions on  
374 flight paths that can optimize ARO measurements within the constraints of the desired dropsonde  
375 pattern.

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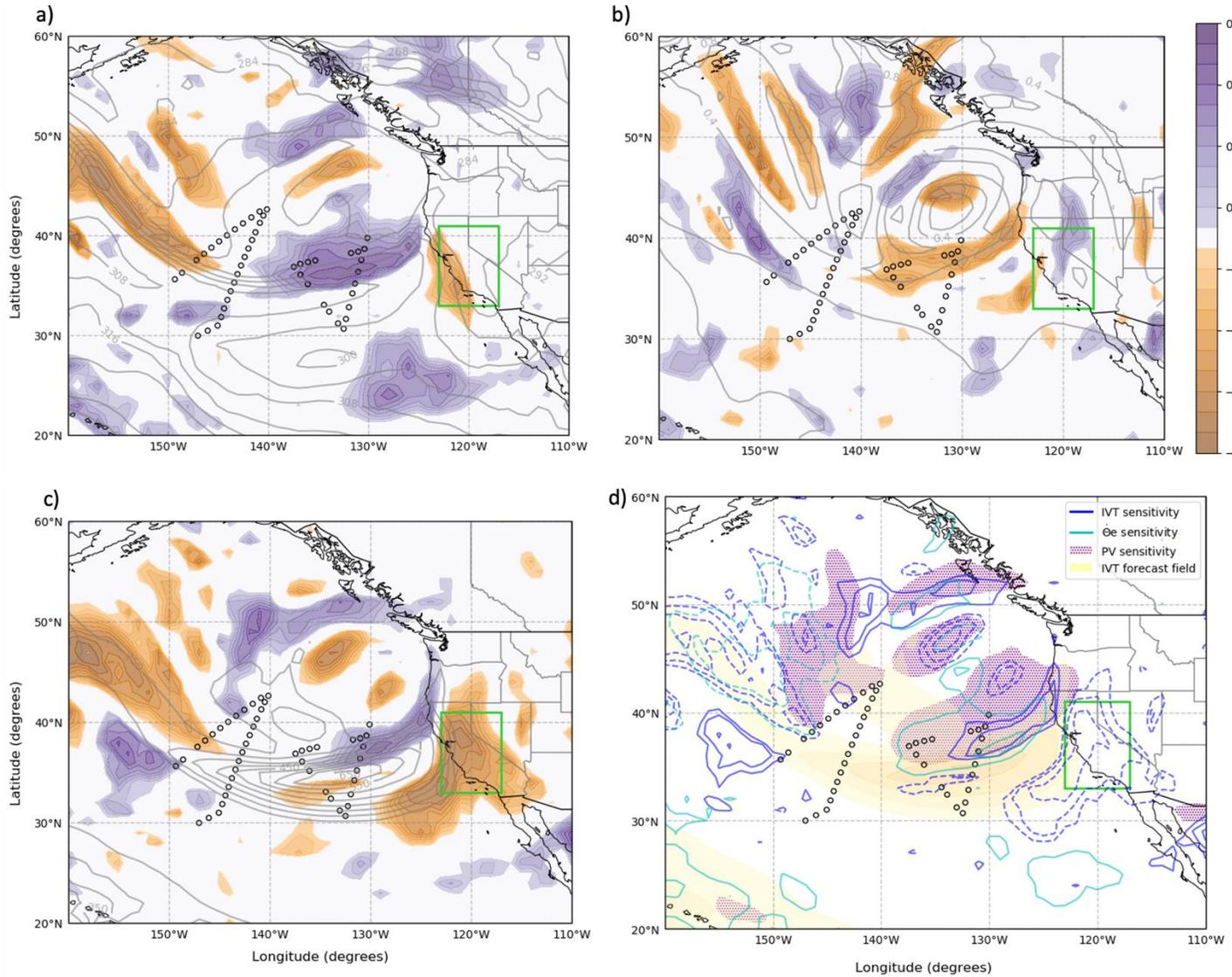
379 *Figure 3. COAMPS adjoint sensitivity of 24-h accumulated precipitation (12 00 UTC 27 Jan - 12 00 UTC 28 Jan),*  
 380 *initialized 00 UTC 25 Jan, for IOP 7: 00 UTC 27 Jan. Locations of dropsondes marked as circles. Response*  
 381 *function domain marked as a green box. a) 850-hPa potential temperature sensitivity (color fill; colorbar every  $10^{-3}$*   
 382 *mm ( $^{\circ}\text{C}^{-1}$ ) and 850-hPa potential temperature (isotherms every  $2.5^{\circ}\text{C}$ ), b) 500-hPa PV adjoint perturbation (color*  
 383 *fill; colorbar every 0.01 PVU) and 500-hPa PV (contours every 0.1 PVU, ) c) 850 hPa water vapor sensitivity (color*  
 384 *fill; colorbar every  $20^{-3}$  mm ( $\text{g kg}^{-1}$ ) $^{-1}$ ) and 850-hPa specific humidity (contours every  $1 \text{ g kg}^{-1}$ ), d) Summary figure*  
 385 *with vertically integrated water vapor sensitivity (blue contours and color fill; colorbar every 10 mm ( $\text{g kg}^{-1}$ ) $^{-1}$ ),*  
 386 *vertically integrated wind sensitivity (red contours every  $2 \text{ mm (m s}^{-1}\text{)}^{-1}$ ), IVT forecast field (gray contours every 250*  
 387  *$\text{kg m}^{-1} \text{ s}^{-1}$ ), and PV optimal perturbations (green stippling 20-40 PVUs and purple stippling 40 PVUs and above).*  
 388 *The sensitivities are scaled by  $10^5 \text{ km}^3/(\Delta x \Delta y \Delta z)$ .*



389

390

391 *Figure 4. ECMWF ensemble sensitivity of 24-h accumulated precipitation (12 00 UTC 27 Jan - 12 00 UTC 28 Jan),*  
 392 *initialized 00 UTC 25 Jan, for IOP 7: 00 UTC 27 Jan. Locations of dropsondes marked as circles. Response*  
 393 *function domain marked as a green box. a) 850 hPa equivalent potential temperature ( $\theta_e$ ) sensitivity (colored*  
 394 *contours) and 850 hPa  $\theta_e$  ensemble mean (contour lines). b) 500 hPa PV sensitivity (colored contours) and 500 hPa*  
 395 *PV ensemble mean (contour lines). c) IVT sensitivity (colored contours) and IVT ensemble mean (contour lines). d)*  
 396 *Summary figure with IVT sensitivity (blue contours from 0.3 magnitude, every 0.1) vertically integrated  $\theta_e$  sensitivity*  
 397 *(cyan contours from 0.2 magnitude, every 0.1) vertically integrated PV sensitivity (purple stippling from 0.3*  
 398 *magnitude) IVT forecast field (yellow filled contours,  $250 \text{ kg m}^{-1} \text{ s}^{-1}$  and above). The sensitivity fields are*  
 399 *dimensionless as the metric is the principal component of the precipitation EOF pattern.*



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### 404 **3.5. Operational considerations**

405 During AR Recon 2021, the NOAA G-IV was based in Hawaii, and the USAF was based in Reno  
406 with two C-130s, relocating to Sacramento for the final week of the season (17<sup>th</sup> March). All  
407 aircraft were available for a first possible flight centered at 00 UTC 15<sup>th</sup> January, with the last  
408 possible flight for the G-IV on 00 UTC 14<sup>th</sup> March, whereas the USAF C-130s were available until  
409 the end of March. Depending on aircraft and crew availability, it was possible to deploy up to three  
410 aircraft in a single IOP. The dropsonde window of focus was 2030-0230 UTC (1230-1830 PST),  
411 providing data for the 6-h assimilation time window centered at 00 UTC. In general, the C130s  
412 and G-IV deployed 25 and 30 dropsondes, respectively, with approximately 130-150 km spacing.  
413 However, there were select IOPs during which a higher rate of deployment was requested (~110  
414 km spacing) in the vicinity of mesoscale features, for example PV streamers, and this maximum  
415 rate was limited by the time taken to deploy. In some cases, during which not all targets could be  
416 reached within the drop window, dropsondes were deployed outside of the 00 UTC assimilation  
417 window. It was preferable to gather these extra dropsonde observations within the 18Z assimilation  
418 window rather than 06Z assimilation window, as this should improve the accuracy of the 18Z  
419 forecast that provides the first guess, or background state, for the 00 UTC cycle. All flight tracks  
420 were limited to approximately 3200 nautical miles (nm) and restricted air space was considered.  
421 Although discussion about potential targets began up to 4 days prior, all flight tracks and dropsonde  
422 release locations were finalized at approximately 1800 UTC on the day prior to takeoff, 30 hours  
423 before 00 UTC IOP centered time.

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## 426 **4. Observational data collected during AR** 427 **Recon 2021**

428 During AR Recon 2021 there were 29 successful IOPs, 27 C130 flights, 18 G-IV flights, six  
429 sequences and 1142 viable dropsondes; an increase of 12 IOPs and 404 dropsondes compared to  
430 2020. Table S1 provides details including the forecast impact and research questions, sensitivity  
431 analysis, and observations collected. Moderate and weak ARs were sampled, as well as a cutoff  
432 low (Figure 5a) that was forecast to eventually move onshore and lead to large downstream

433 development to the lee of the Rockies (see Section 1.1). The largest accumulated precipitation  
 434 amounts (more than 700 mm during AR Recon 2021) were in Northern California, Oregon, and  
 435 Washington, in regions where the sampled AR tracks made landfall. The NOAA G-IV released  
 436 ten dropsondes along its returning flight track from Honolulu to Long Beach, CA (00 UTC 16<sup>th</sup>  
 437 March). This was not a true IOP as the flight track was not planned according to the sampling  
 438 strategy, and so was designated IOP 28.5.

439  
 440 In AR Recon 2021, 23 out of 29 IOPs were part of a sequence, (Table 2) which comprised a set of  
 441 IOPs that sampled the same synoptic system over multiple days (Section 3.2). All sequences in  
 442 AR Recon 2021 consisted of IOPs on consecutive days, although a one-day gap between each IOP  
 443 is acceptable.

444  
 445 *Table 2. AR Recon 2021 sequences. IOP 25 is split into two sequences, with the C-130 flight in sequence 5, and the*  
 446 *G-IV flight in sequence 6.*

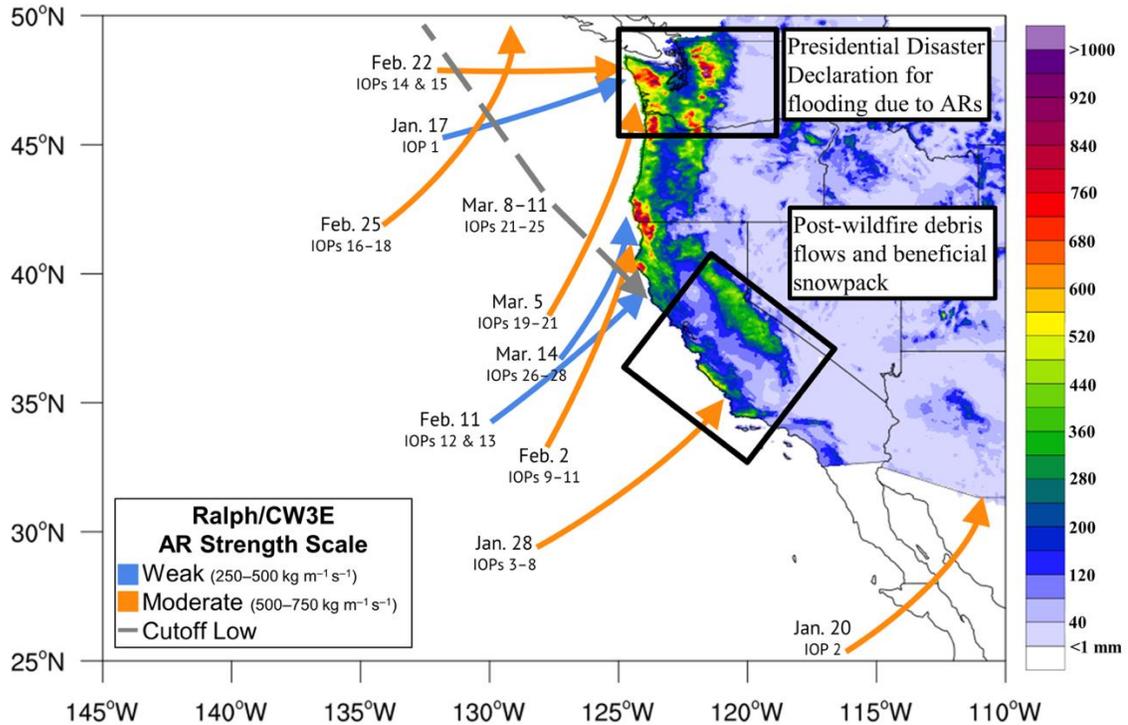
Sequence	Number of IOPs (IOP numbers)	Dates	Number of G-IV flights	Number of C-130 flights	Total number of dropsondes
1	6 (IOP 3-8)	23, 24, 25, 26, 27, 28 January	6	4	261
2	3 (IOP 9-11)	31 January, 1, 2 February	2	3	125
3	3 (IOP 16-18)	23, 24, 25 February	2	1	80
4	3 (IOP 19-21)	3, 4, 5 March	-	3	70
5	4 (IOP 22-25)	8, 9, 10, 11 March	2	4	162
6	4 (IOP 25-28)	11, 12, 13, 14 March	4	3	177

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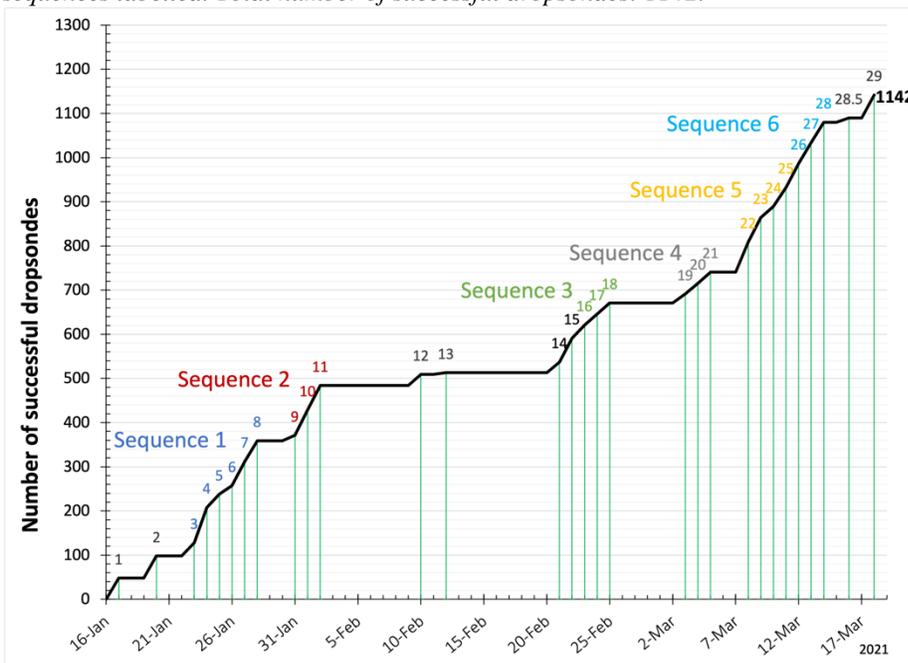
449 Figure 5. AR Recon observations

450 a) Summary of IOPs sampled during AR Recon 2021, with arrows indicating where the orientation and location of  
451 the AR when it was strongest over the coast during the IOPs. Stage IV precipitation during AR Recon 2021. Grey  
452 dashed line indicates a low pressure system that was targeted.  
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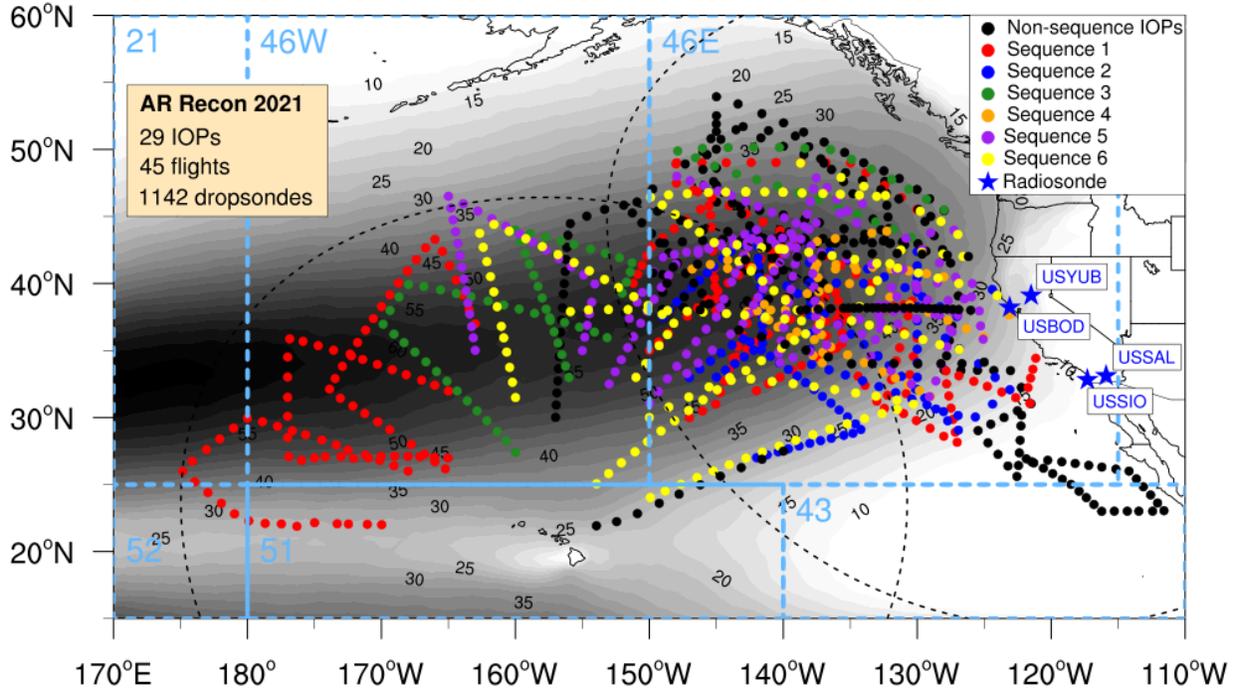
b) Timeline with cumulative count of successful dropsondes deployed during AR Recon 2021. IOP number and sequences labelled. Total number of successful dropsondes: 1142.



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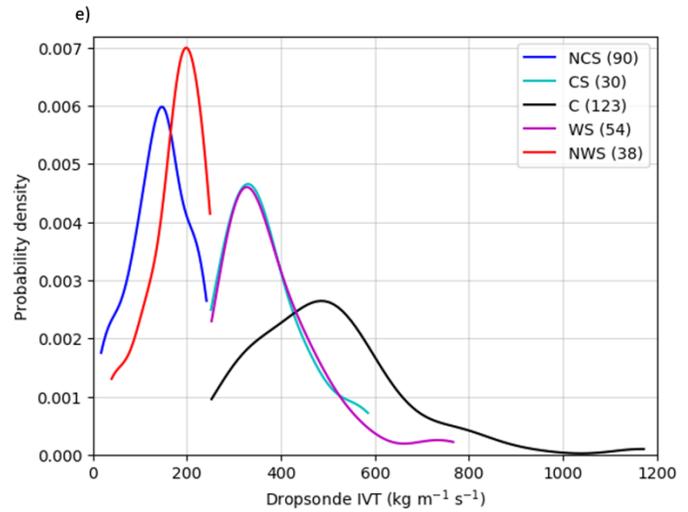
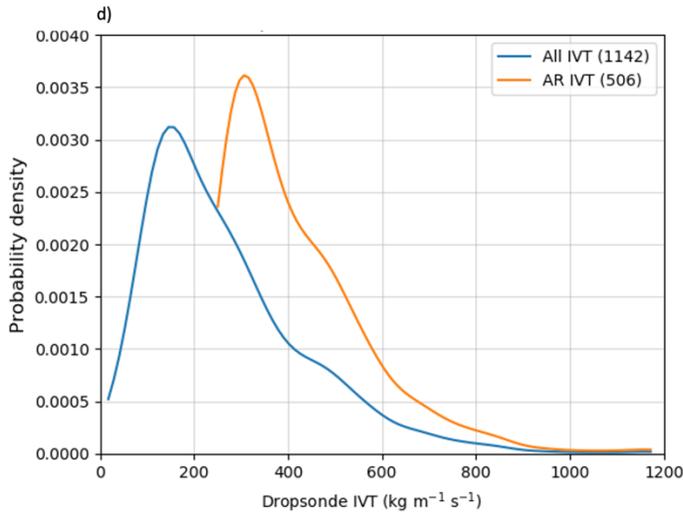
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c) AR Recon 2021 dropsonde release locations, color-coded by sequence. 1500 nm radii from Honolulu and Reno shown in black dashed arcs. Grey contour shading AR days from Rutz et al. (2013). Domains based on WMO ocean sectors shown in dashed blue boxes.



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d) Probability density of dropsonde IVT sampled during AR Recon 2021, all dropsondes (blue), dropsondes with IVT  $\geq 250$  ( $\text{kg m}^{-1} \text{s}^{-1}$ ) (orange). e) Probability density of dropsonde IVT by sector. Non-AR cold side (NCS), AR cold sector (CS), AR core (C), AR warm sector (WS), non-AR warm side (NWS).



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## 473 **4.1 Dropsondes**

474 There were 1142 successful dropsondes deployed (Figure 5b), with an additional 110 that failed  
475 (~9%). When failure occurred, repeat dropsondes were used, resulting in a total of 1252  
476 dropsondes deployed. These dropsondes spanned 22°N - 55°N and 175°E - 112°W, with the highest  
477 density in the 46E domain (based on WMO ocean sectors, Figure 5c). This domain is within reach  
478 of the two C-130s and represents sampling closer to landfall, with 46W mostly only within reach  
479 of the single G-IV based in Hawaii and is further upstream, permitting sampling at a longer lead  
480 time. The USAF C130s released dropsondes at an altitude of ~8 km (~350 hPa), compared to the  
481 NOAA G-IV at an altitude of ~12 km (~200 hPa) (Cobb et al. 2021b, Figure S1), with only the  
482 latter aircraft able to fully sample the upper-level jet.

483  
484 The frequency maximum of the dropsonde IVT distribution is just below  $200 \text{ kg m}^{-1} \text{ s}^{-1}$ , with the  
485 tail of the distribution reaching a maximum value of  $1150 \text{ kg m}^{-1} \text{ s}^{-1}$  (blue line in Figure 5d).  
486 Considering just dropsondes with IVT greater than the  $250 \text{ kg m}^{-1} \text{ s}^{-1}$  threshold (widely considered  
487 the minimum threshold for an AR, e.g., American Meteorological Society 2019), the peak of the  
488 distribution is  $300 \text{ kg m}^{-1} \text{ s}^{-1}$ , which represents weak AR strength according to Ralph et al. (2019).  
489 Three weak and six moderate ARs were sampled in 2021 (Figure 5a), and this low-IVT shifted  
490 distribution of dropsonde IVT also highlights the relatively dry conditions that have led to  
491 persistent drought (Section 2).

492  
493 Dropsondes can also be separated into which AR sector they are sampling, using the method  
494 detailed in Cobb et al. (2021a), in which the following sectors were identified: Non-AR cold side  
495 (NCS), AR cold sector (CS), AR core (C), AR warm sector (WS), non-AR warm side (NWS). We  
496 identified 36 AR transects over 22 IOPs, in which the line of dropsondes was deployed  
497 approximately perpendicular to the AR axis. The non-AR cold and warm sides have values less  
498 than the AR threshold, and the core is the highest IVT region, with a peak distribution at  $\sim 500 \text{ kg}$   
499  $\text{m}^{-1} \text{ s}^{-1}$  (Figure 5e). The IVT distribution of the AR cold and warm sectors is almost identical, in  
500 line with results from Cobb et al. (2021a). The remaining dropsondes that did not form AR  
501 transects (807 of 1142) comprised of partial AR sampling that was not sufficient to determine

502 sectors across a full transect, as well as sampling of other non-AR targets (Section 1.2.2, Table 1),  
503 or areas highlighted in the sensitivity analysis (Section 1.2.3).

## 504 **4.2 Airborne Radio Occultation (ARO)**

505 Airborne Radio Occultation (ARO; Haase et al. 2014) uses Global Navigation Satellite System  
506 (GNSS) signal delays to retrieve refractivity profiles to the sides of the aircraft that can penetrate  
507 thick clouds and precipitation. Atmospheric refractivity is a sensitive indicator of vertical moisture  
508 structure and tropopause structure in the frontal region. The system is operating in research mode  
509 on the NOAA G-IV, collecting data to evaluate the potential for the observations to improve model  
510 initial conditions (Haase et al. 2021, submitted), and is also being evaluated for flights on the  
511 USAF C-130s. In the first 6-flight sequence (IOPs 3-8), 271 profiles were collected (roughly 5.8  
512 per hour) with 224 inside the 6-hour window centered at 00 UTC. Figure 6a shows the lowest  
513 ARO tangent points for each occultation collected during AR Recon 2021, totaling 804 (Table S1),  
514 and highlights the large area that was sampled, complementing the dropsondes released from the  
515 aircraft (Figure 5c).

## 516 **4.4 Drifting Buoys**

517 A total of 30 drifting buoys with barometers were deployed in regions 51, 46W and 46E in  
518 partnership with the USAF during January 2021 before the start of flight planning (Figure 6b). Ten  
519 of these buoys were Directional Wave Spectra-Barometer (DWS-B) buoys and twenty were  
520 Surface Velocity Program - Barometer (SVP-B) buoys. Fewer buoys were deployed than in 2020,  
521 when 64 total drifting buoys were deployed, due to challenges from the global pandemic (Section  
522 6). Buoys report data every 15 minutes and generally last for 1-1.5 years in the case of SVP-B and  
523 6-8 months in the case of DWS-B.

524 Buoy deployment targeted areas that did not have existing drifting buoys with pressure sensors  
525 and that were within climatologically active storm tracks (Figure 6b). The buoys vary greatly in  
526 drift distance; the 2021 buoys drifted an average of 498 km (range 57-975 km) from deployment,  
527 over the following three months (Figure 6c). These 30 drifting buoys supplemented 50 drifting  
528 buoys from prior years that were still reporting, and 53 drifting buoys with pressure that were  
529 deployed outside of AR Recon. AR Recon enabled ~60% of drifting buoys within the domain to

530 observe pressure; without AR Recon this number would have been 38%. Evaluation is ongoing to  
531 determine the impact of these extra observations on forecasts during AR Recon (Subramanian et  
532 al. 2021).

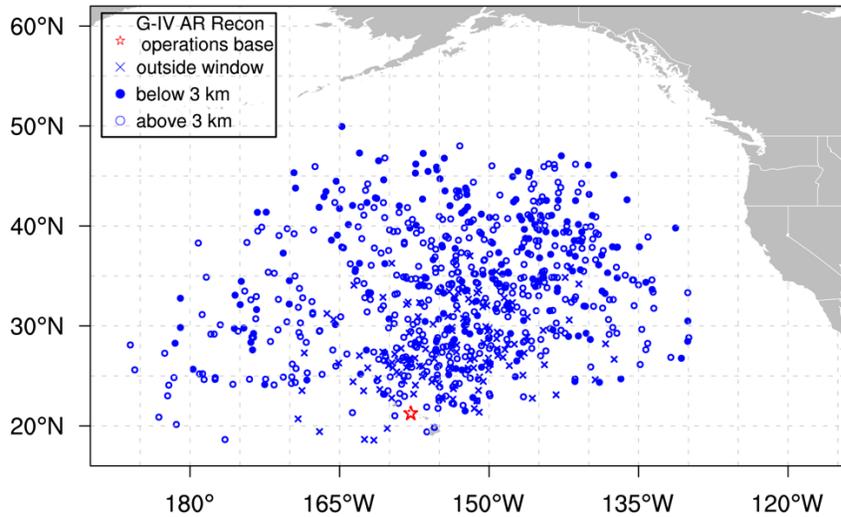
## 533 **4.5 Radiosondes**

534 During select AR Recon flights, specifically those which targeted precipitation in California or  
535 farther inland, radiosondes were released at up to four locations in California simultaneously: Yuba  
536 (YUB), Bodega Bay (BOD), Scripps Institution of Oceanography (SIO), and Salton Sea (SAL)  
537 (Figure 6d, Table S1). Each radiosonde records pressure, temperature, and relative humidity four  
538 times per second, with wind and height also reported via GPS. Radiosondes generally reach ~20  
539 km in altitude before descent, although the signal can be lost much earlier. Radiosondes released  
540 during this campaign were equipped with parachutes and continued to record and transmit data  
541 during descent. Three radiosondes per location were released during flight times, every three  
542 hours, and more were released if there were AR conditions ( $IVT > 250 \text{ kg m}^{-1} \text{ s}^{-1}$ ) at the launch  
543 site, resulting in a total of 111 released as part of AR Recon 2021. The deployment of these  
544 radiosondes was funded by Forecast Informed Reservoir Operations (FIRO) campaigns in Lake  
545 Mendocino (Jasperse et al. 2020) and Prado Dam (Ralph et al. 2021).

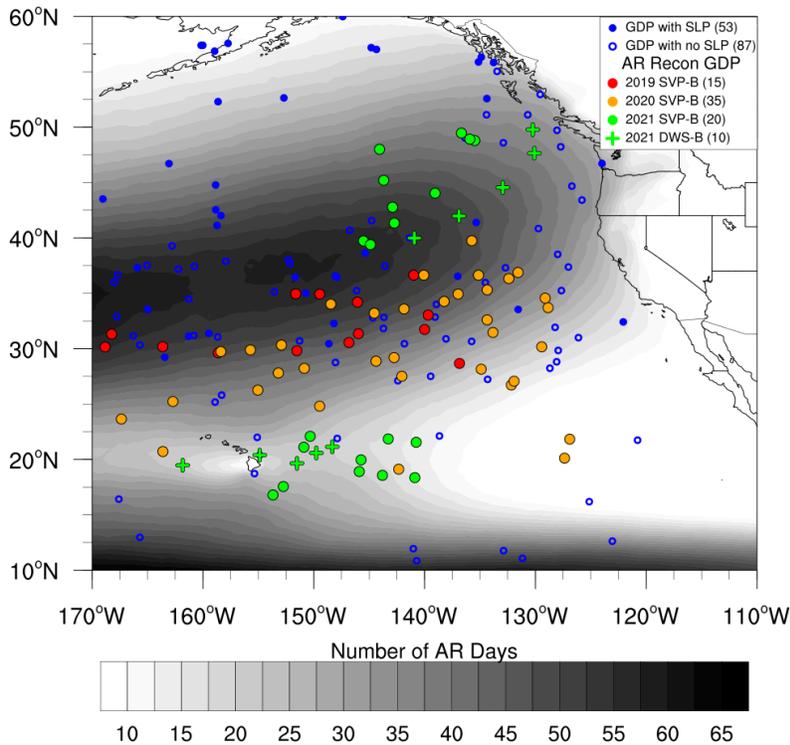
546  
547 All AR Recon dropsonde, radiosonde, and buoy data were distributed in real time, when possible,  
548 via the GTS for operational NWP assimilation. The ARO data as well as the NOAA G-IV tail  
549 Doppler radar (TDR), which recorded data in areas of forecast precipitation, were not available in  
550 real time, but are extremely useful for process-based studies after the event (e.g., Norris et al.  
551 2020).

552 Figure 6. AR Recon ARO, drifting buoy, and radiosonde observations

553 a) Location of the lowest ARO tangent points for each occultation collected during AR Recon 2021. Circles indicate  
554 profiles inside the 6-hour window at 00 UTC, with filled symbols indicating profiles that extended from flight level  
555 down below 3 km, open symbols indicate profiles that terminated above 3 km. x indicates profiles during flight that  
556 were recorded outside the 6-hour window.

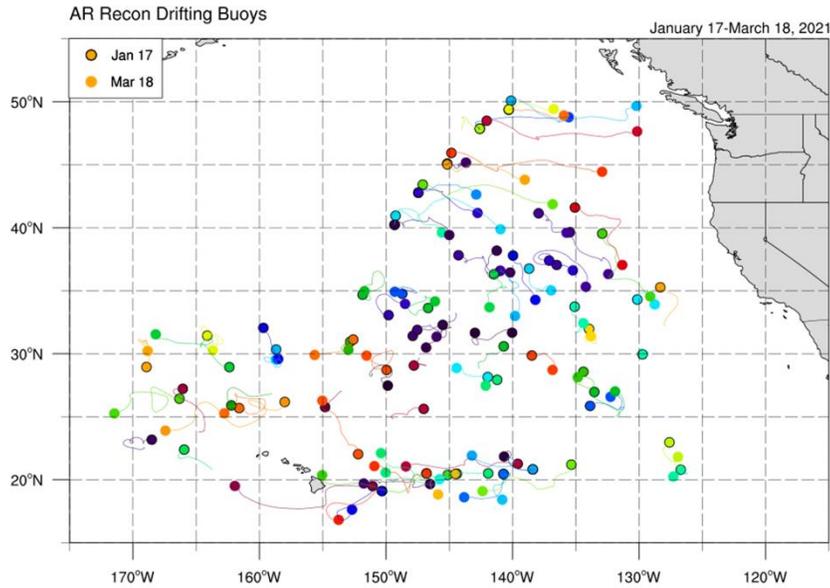


557 b) Drifting buoy locations in the northeast Pacific, with those released during AR Recon 2021 shown in green. GDP:  
558 Global Drifter Program. SLP: sea level pressure. SVP-B: Surface Velocity Program – Barometer. DWS – B:  
559 Directional Wave Spectra Barometer. Grey contour shading AR days from Rutz et al. (2013).  
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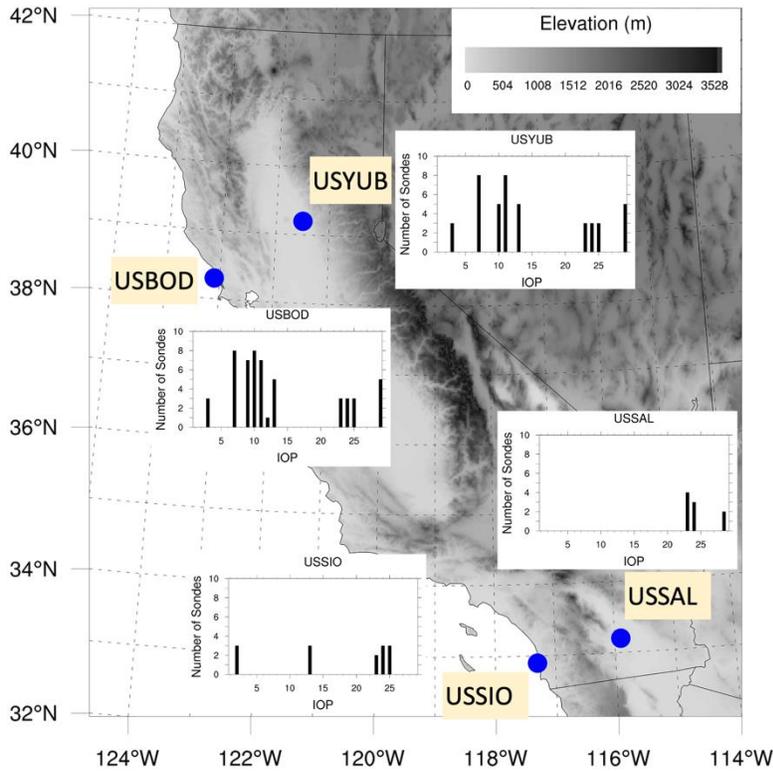


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567 c) Change in location of AR Recon drifting buoys during the AR Recon 2021 season (red, orange, blue). Black outlined  
 568 dots represent starting position of buoy, non-outlined dots represent ending position.  
 569



579 d) Radiosonde soundings during AR Recon 2021. Map showing the launch locations and chart showing dates/number  
 580 released. Terrain background. USYUB: U.S. Yuba; USBOD: U.S. Bodega Bay; USSIO: Scripps Institution of  
 581 Oceanography; USSAL: Salton Sea.



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## 585 **5. AR Recon 2021 assimilated data**

586 Alongside models from the operational centers directly involved with AR Recon (NCEP GFS,  
587 ECMWF IFS and NRL NAVGEM and COAMPS), several other models also assimilated AR  
588 Recon 2021 data (Table 3). Additional centers that gathered observational data from the GTS also  
589 had access to AR Recon 2021 data, although not all are detailed here.

590  
591 *Table 3. NWP models that assimilated AR Recon 2021 data.*

Center and model	Country
National Aeronautics and Space Administration (NASA) Global Modeling and Assimilation Office (GMAO) Goddard Earth Observing System (GEOS)	USA
UK Met Office Unified Model (MetUM)	UK
Environment Canada Global Environmental Multiscale Model	Canada
Japan Meteorological Agency (JMA) Global Spectral Model (GSM)	Japan
Météo- France Action de Recherche Petite Echelle Grande Echelle model (ARPEGE)	France
Australian Community Climate and Earth-System Simulator (ACCESS-G)	Australia

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593  
594 Figure 7 shows the number of dropsondes assimilated in real-time into GFS, NAVGEM and IFS  
595 operational forecast models in the 18, 00, and 06 UTC (GFS and NAVGEM), and 12 and 00 UTC  
596 (ECMWF) assimilation windows. The vast majority of dropsonde data was assimilated in the 00  
597 UTC window, around which the targeted observations were centered. There is considerable  
598 variability in the number of dropsondes released during each IOP due to the number of aircraft  
599 used and mechanical issues, with a maximum of 80 in IOP 4 and only four successful dropsondes  
600 released during IOP 13. In 25 out of 29 IOPs, 100% of dropsondes were assimilated in real-time  
601 into GFS and NAVGEM operational forecast models (Figure 7). However, 100% of dropsondes  
602 were assimilated by ECMWF in only 4 IOPS, with considerably fewer in several cases, likely due  
603 to issues with the data transmission or thinning in the ECMWF NWP system.

604  
605 Dropsonde observations taken by the C130 during the 00 UTC January 24 (IOP 4) update cycle  
606 were not assimilated in real time by ECMWF or NOAA due to an issue with the connection  
607 between the USAF 557th Weather Wing and National Weather Service (NWS). However, they  
608 were received and assimilated by the Navy models through the (typically redundant) Department  
609 of Defense (DoD) automated weather network.

610 The total number of AR Recon 2021 wind, temperature, and moisture dropsonde data points  
611 assimilated into the GFS operational model is shown on the levels that each variable was  
612 assimilated, as well as the sum across several levels, for inter-comparison (Figures 8a,b,c). From  
613 800 to 600 hPa and 600 to 400 hPa, more than double the number of temperature and moisture  
614 data points (6000-7000) are assimilated compared to wind (2000-3000). However, in the lowest  
615 level (1200 – 1000 hPa), the number of wind observations is almost double that of temperature  
616 and moisture, which are nearly identical. Specific humidity (Figure 8c) is only assimilated up to  
617 300 hPa because it is too dry above this threshold, whereas temperature and winds are assimilated  
618 up to 50 hPa (Figures 8a,b). From 300 to 50 hPa, there are more than 50 % more wind data points  
619 assimilated than temperature. These findings motivate further research into the exploration of the  
620 data assimilation systems to examine why certain data are excluded. However, this is beyond the  
621 scope of this paper.

622

623 Although the dropsondes record very high vertical resolution data, only the full profile (i.e., Binary  
624 Universal Form for the Representation of meteorological data (BUFR)) data from dropsondes  
625 deployed by the NOAA aircraft were available in real-time to the GTS and for assimilation into  
626 operational NWP. The USAF data were transmitted to the GTS in Traditional Alphanumeric Code  
627 (TAC) format, which has significantly reduced vertical levels (i.e., mandatory and significant  
628 levels). The GFS model that was operational during AR Recon 2021 was unable to assimilate  
629 BUFR data, so all dropsonde data was assimilated on much reduced levels. Unlike the assimilation  
630 of BUFR data, TAC data is only assimilated at the release location's horizontal coordinates, with  
631 no account for dropsonde drift.

632

633 Alongside the targeted observations collected as part of AR Recon, there are a vast array of other  
634 observations collected over the northeast Pacific as part of the conventional observing system.  
635 Examination of data assimilated in the operational GFS model valid for analysis at 00 UTC January  
636 27 (IOP 7) shows that GPS RO coverage is relatively well spread across the domain (at least to  
637 40<sup>0</sup>N) and does not seem to be affected by the presence of the AR at any level (Figures 9b,d,f).  
638 The aircraft data are only gathered in the upper troposphere and are not affected by the presence  
639 of the AR (Figure 9b), which is focused in the lower troposphere. In the middle troposphere, there  
640 are scarce atmospheric motion vector (AMV) data, and this makes it difficult to examine whether

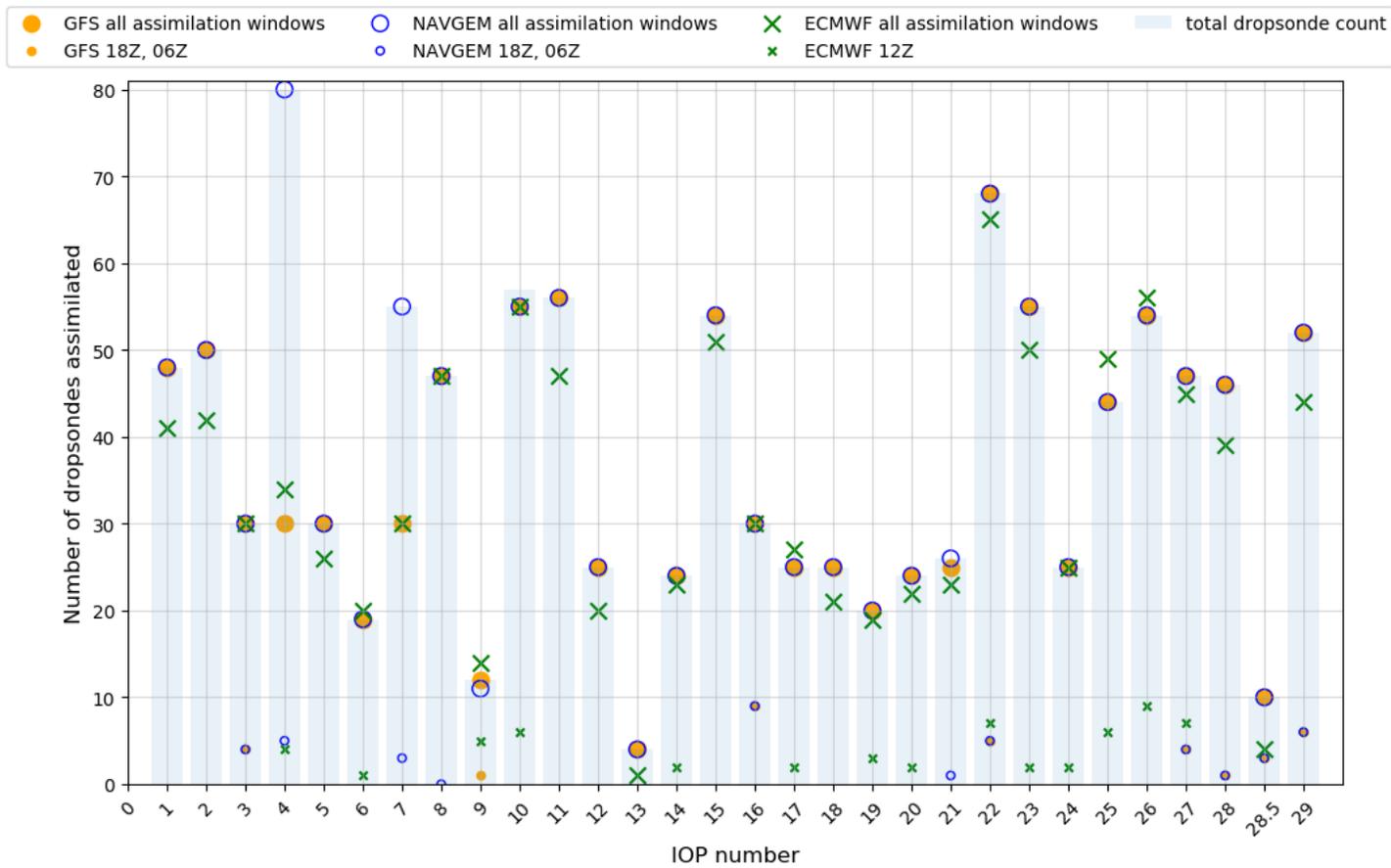
641 the presence of AR conditions has any effect. In the lower troposphere, there are widespread AMV  
642 data, and a data void is apparent in the core of the AR (Figure 9e), consistent with Zheng et al.  
643 (2021a). Marine surface observations are significantly increased because of the AR Recon drifting  
644 buoys deployed annually beginning in 2019 (Lavers et al. 2020c). Consistent with Zheng et al.  
645 (2021b), most assimilated radiance data in GFS are clear sky radiance data, which are limited  
646 within ARs, and although all sky radiances are assimilated in cloudy areas, they are typically  
647 assigned smaller weights than clear sky data (Zhu et al. 2016). There is an increase in lower  
648 troposphere wind observations and GPS RO data at all levels compared to results in Zheng et al.  
649 (2021a), mainly due to the availability of atmospheric motion vector products from the  
650 Geostationary Operational Environmental Satellite (GOES) – R Series (e.g., Schmit et al. 2017),  
651 and the newly launched COSMIC-II (Schreiner et al. 2020; Ruston and Healy 2020). This  
652 highlights the value of the AR Recon dropsondes, which can collect data at high vertical resolution  
653 throughout the depth of the atmosphere, where conventional observing systems may be lacking.

654  
655 Several previous studies have shown the positive impact of these data on AR forecasts (e.g., Stone  
656 et al. 2020; Tallapragada et al. 2021; Zheng et al. 2021b), and data denial experiments that  
657 systematically assess data impact of the AR Recon 2021 targeted observations are beyond the  
658 scope of this paper but are underway. We can, however, illustrate the impact of all observations  
659 on the analysis in the ECMWF IFS. Figure 10 shows the observation-minus-background (O-B)  
660 and observation-minus-analysis (O-A) departures for the wind speed, temperature, and specific  
661 humidity in the BUFR and TAC dropsondes. Following the data assimilation procedure, the  
662 analysis draws closer to the dropsonde observations, and there is a suggestion of a cold bias in the  
663 background temperature forecasts (Figures 10b,e), a result that corroborates the findings in Lavers  
664 et al. (2020a). For the specific humidity, there is evidence that the O-B and O-A departures have  
665 larger spread near 850 hPa above the planetary boundary layer (Figures 10c,f) which may signify  
666 a model issue with positioning the moisture correctly with height or an issue representing the  
667 correct height of the boundary layer. Zheng et al. (2021a) found that the RMS fit for humidity  
668 using dropsondes and the GFS model analysis is also largest between 600-850 hPa and Stone et  
669 al. (2020) also find a maximum in temperature O-B standard deviations near 850 hPa. These large  
670 discrepancies between dropsonde observations and the forecasts in both models likely signify a  
671 model issue with positioning the moisture correctly with height.

672 Figure 7. AR Recon dropsonde data assimilated in GFS, NAVGEM and ECMWF.

673 Total number of dropsondes released in each IOP (blue bars). Number of dropsondes assimilated during each IOP  
 674 into GFS (filled orange circle) (18Z +00 UTC + 06Z), NAVGEM (blue circle) (18Z +00 UTC + 06Z), and ECMWF  
 675 (green cross) (12Z +00 UTC). Number of dropsondes assimilated in GFS and NAVGEM (18Z + 06Z) and ECMWF  
 676 (12Z) as small markers.

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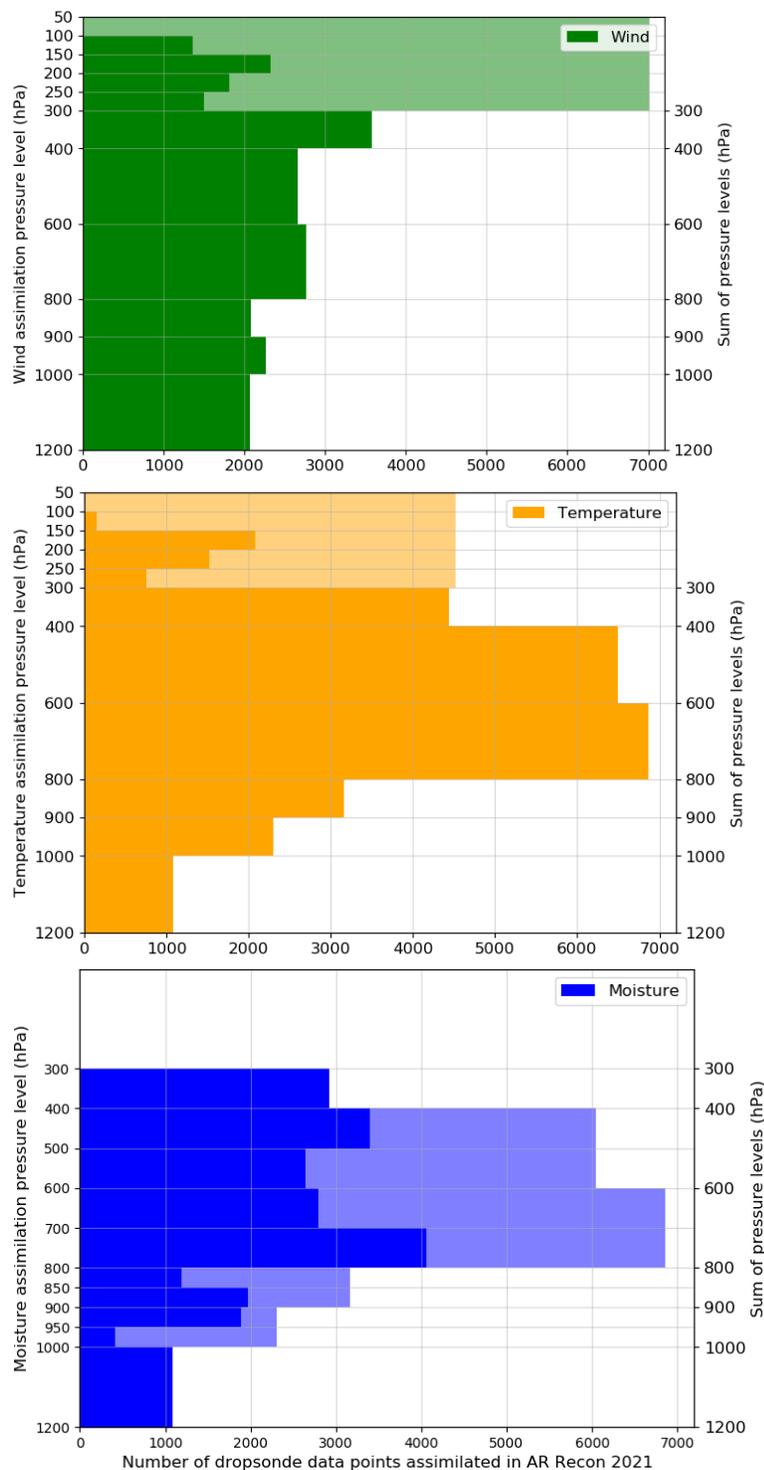
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686 Figure 8. Total number of dropsonde data points assimilated into GFS during AR Recon 2021. a) Wind assimilated  
 687 in 11 levels (1200-1000, 1000-900, 900-800, 800-600, 600-400, 400-300, 300-250, 250-200, 200-150, 150-100,  
 688 100-50 hPa). b) Same as (a) but for temperature. c) specific humidity assimilated in 10 levels (1200-1000, 1000-950,  
 689 950-900, 900-850, 850-800, 800-700, 700-600, 600-500, 500-400, 400-300 hPa). Secondary y axis is sum of  
 690 pressure levels, consistent across all variables, for comparison.

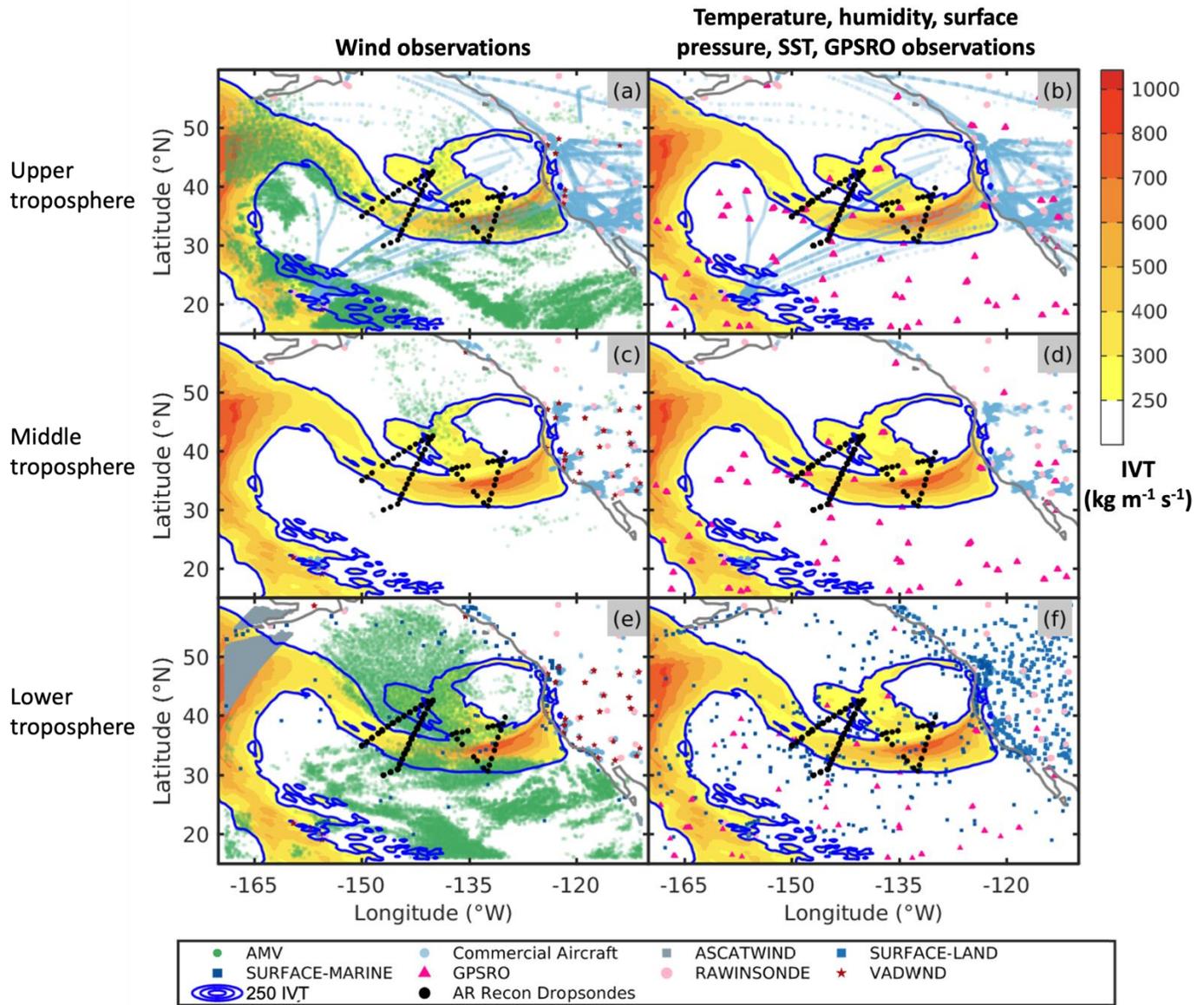


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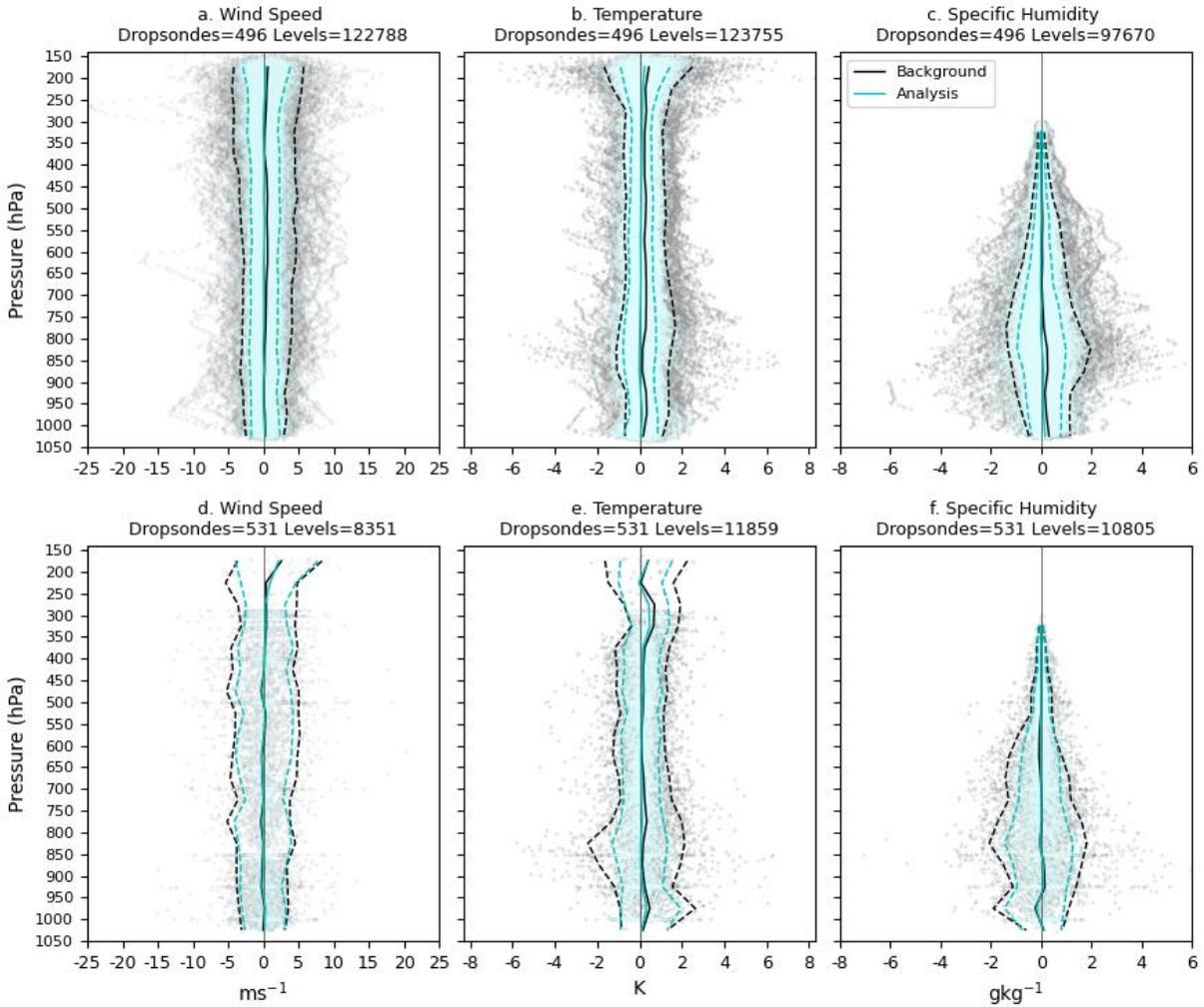
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695 Figure 9. Data assimilated in the operational GFS model valid for analysis at 00 UTC January 27, 2021 (IOP7)  
 696 from the conventional observing system. a),b) upper-troposphere (200–449 hPa); c),d) middle-troposphere (450–  
 697 699 hPa), and e), f) lower-troposphere (pressure  $\geq 700$  hPa) and the surface. Panels on the left are for the wind  
 698 observations, including direct wind data, atmospheric motion vector (AMV), and the velocity azimuth display wind  
 699 (VADWND), and on the right are for the rest variables, including temperature, humidity, surface pressure, sea  
 700 surface temperature, and GPS radio occultation (GPSRO). IVT ( $\text{kg m}^{-1} \text{s}^{-1}$ ) in the operational GFS analysis in  
 701 yellow filled contours. Non-radiance data include conventional and non-radiance remote sensing data. Note “AR  
 702 Recon Dropsondes” represents the dropsondes collected during AR Recon 2021 IOP7.  
 703



704

705 *Figure 10. Impact of observations on analysis. The O – B and O – A departures in the ECMWF data assimilation*  
 706 *long window shown as grey and cyan dots, respectively, for wind speed, temperature, and specific humidity in*  
 707 *BUFR (a-c) and alphanumeric dropsondes (d-f). The O – B and O – A departures averaged in 50-hPa layers are*  
 708 *given as solid lines, and the 5<sup>th</sup> and 95<sup>th</sup> percentiles of the 50-hPa layers are shown as dashed lines. The number of*  
 709 *dropsondes and levels actively assimilated are provided at the top of each panel.*



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## 716 **6. Operational challenges**

717 The substantial effort by national and international collaborators resulted in a successful AR Recon  
718 season, despite several challenges. Over the course of AR Recon 2021 there were five instances  
719 when severe weather conditions impacted the planned C130 flights from Reno. IOPs scheduled  
720 for 00 UTC 29<sup>th</sup> January and 00 UTC 14<sup>th</sup> February were cancelled due to severe turbulence or  
721 cross winds along the route or at departure/arrival times. Flight tracks were modified in IOPs 25,  
722 27, and 28 due to severe turbulence forecast. Weather conditions did not affect the G-IV flight  
723 plans, with any significant turbulence below flight level.

724  
725 For five days in January, the NCEP forecast data was inaccessible to CW3E due to scheduled  
726 maintenance and upgrade taking longer than originally planned. During this period, forecasts were  
727 made using only the ECMWF data. By using model output from two centers for forecast briefings,  
728 AR Recon is building operational resilience to such unforeseeable challenges.

729  
730 Another unexpected issue occurred in March, when a ruptured water pipe at NWS headquarters  
731 interrupted the flow of NOAA National Data Buoy Center (NDBC) data. This did not affect the  
732 transmission of data from the AR Recon drifting buoys but did affect the data from moored buoys.

733  
734 This campaign was completed under COVID-19 restrictions, which represented many operational  
735 challenges. This year, less than half of the buoys (30) compared to 2020 (64) were deployed, due  
736 to supply restrictions and limited options to distribute via ships of opportunity. Pandemic related  
737 restrictions also led to a change of aircraft operations for NOAA and the USAF, as well as the  
738 CW3E field team who were responsible for releasing radiosondes.

739  
740 Several studies have shown the effect of reduced aircraft observations on operational weather  
741 forecasting during the pandemic (e.g., Ingleby et al., 2021; James et al., 2020). The WMO  
742 Secretariat stated *‘it will be crucial to maintain a certain level of investment in core observations*  
743 *that are made for the sole and specific purpose of underpinning the weather, climate, water and*  
744 *environmental services ... the crisis has clearly demonstrated the immense value of operational*  
745 *robustness, a quality that is inherent in an observing system consisting of many and diverse*

746 *components operated by a broad group of entities from different sectors and different nations'*  
747 (Riishojgaard 2020). AR Recon supports this mission, as a short-term targeted campaign that  
748 complements other sources of observational data.

749

## 750 **7. Summary**

751 Despite the challenges posed in 2021, the number of observations collected during Atmospheric  
752 River Reconnaissance (AR Recon) 2021 far exceeded the number collected during the previous  
753 four seasons (Table 2).

754

755 AR Recon demonstrates the value of a Research and Operations Partnership (RAOP), with  
756 sampling targets based on potential forecast improvement as well as research purposes. Targeted  
757 observations were focused on essential atmospheric structures, primarily ARs, but with other  
758 meteorological phenomena also considered. Adjoint and ensemble sensitivities, mainly focusing  
759 on predictions of U.S. West Coast precipitation, provided complementary information on locations  
760 where additional observations may help to constrain the forecast.

761

762 The AR Recon data has a wide utility, with dropsonde, buoy and radiosonde data being distributed  
763 via the Global Telecommunications System (GTS) in real time for assimilation into numerical  
764 weather prediction (NWP) models. This data can also be used for further model diagnostic studies  
765 and is also assimilated into reanalysis products. This dataset, along with that not available on the  
766 GTS, i.e., ARO and tail radar are valuable for research purposes.

767

768 Several studies have demonstrated the positive impact of AR Recon data on NWP forecasts as well  
769 as the contribution to understanding ARs and other atmospheric phenomena, and it is hoped that  
770 AR Recon will continue to gather these vital observations for future years.

771

772 To take advantage of the wide range of collaborators involved in AR Recon as well as the varied  
773 expertise of people involved, a case study of sequence 1 (IOPs 3-8) is being organized with  
774 contributions from multiple centers. The AR Recon 2021 logo cloud (Figure 11) summarizes the  
775 network of collaborators involved across all activities in this successful operational campaign.

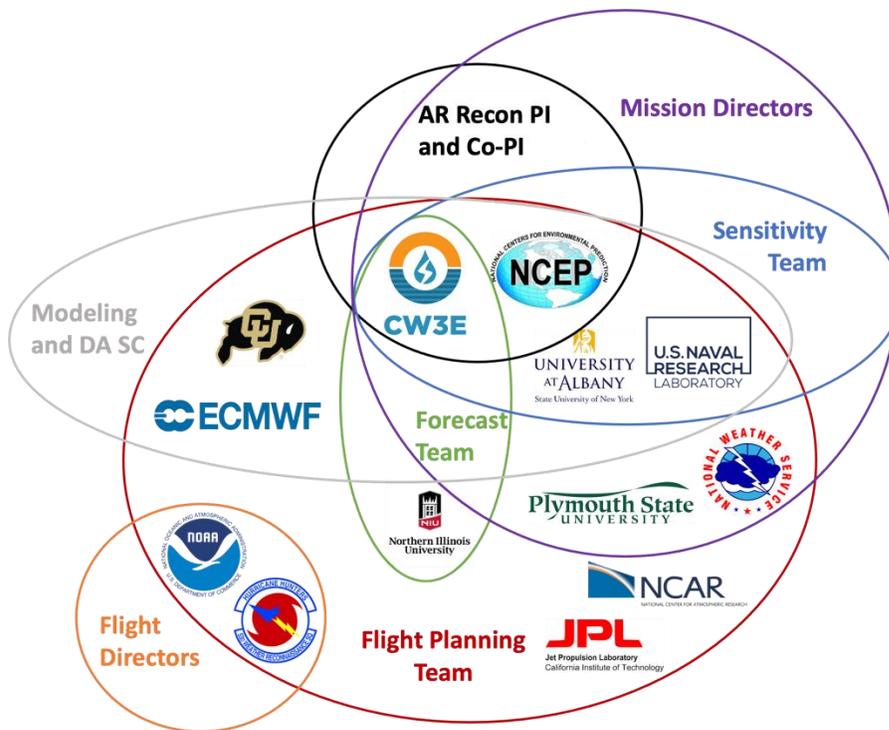
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Table 4. Summary of the number of AR Recon observations taken during 2021 compared to previous AR Recon campaigns. \*“Operational”, National Winter Season Operations Plan (OFCM2019)

	2021*	2020*	2019	2018	2016
Number of IOPs	29.5	17	6	6	3
Number of flights	45	31	11	13	6
Dropsondes	1142	738	264	361	270
Radiosondes	111	58	160	191	68
New drifting buoys	30	64	15	-	-
ARO profiles	804	54	0	39	-

779  
780  
781

Figure 1. AR Recon 2021 logo cloud collaboration diagram. Modeling and DA SC: Modeling and Data Assimilation Steering Committee.



782

## 783 **8. Recommendations**

784 For AR Recon 2022, there are plans for the USAF to be on call during 6<sup>th</sup> to 19<sup>th</sup> January. Due to  
785 operational constraints, AR Recon 2021 did not commence until 13 January (first possible flight  
786 00 UTC 15 January), and so a high impact event that caused a Presidential Disaster Declaration to  
787 be issued for the State of Washington (29<sup>th</sup> December to 16<sup>th</sup> January) was not sampled. This event  
788 was a direct result of a series of ARs, leading to numerous periods of heavy precipitation, with  
789 widespread flooding, mudslides, and landslides.

790  
791 Buoy deployment as part of AR Recon has more than doubled the number of pressure-observing  
792 buoys in the northeast Pacific, adding an additional 70 buoys to the 53 non-AR Recon buoys.  
793 However, there are an additional 87 buoys within the domain that do not observe sea level pressure.  
794 The availability of pressure data in remote oceanic areas has a significant impact on forecast skill  
795 (Ingleby and Isaksen 2018), and thus, an increase in participation in the GDP barometer upgrade  
796 program is imperative to fill this data gap.

797  
798 Due to the forecast improvement potential of ARO data (Chen et al. 2018), increasing the density  
799 of these observations by utilizing multiple aircraft and disseminating it in real time to the GTS are  
800 future objectives, pending upgrades to the communication systems on the aircraft. A positive  
801 impact on forecasts has been found with the assimilation of the Constellation Observing System  
802 for Meteorology, Ionosphere and Climate-2 (COSMIC-2) Global Navigation Satellite System  
803 (GNSS) Radio Occultation (RO) (Lien et al. 2021, Cucurull and Casey 2021), and future work will  
804 explore collaborating with COSMIC-II scientists.

805  
806 In future years it may be possible to explore additional observations, e.g., Airborne EXpendable  
807 BathyThermographs (AXBTs) to record ocean temperature as a function of depth, or Air Launched  
808 Autonomously Operated Micro Observers (ALAMOs) to collect data on ocean temperature, salinity, depth,  
809 and circulation. Stepped Frequency Microwave Radiometers (SFMRs) are currently used in  
810 operational hurricane reconnaissance (Klotz and Uhlhorn 2014) and provide accurate estimates of  
811 surface wind speed. Data from such tools could provide additional insight into air-sea interactions  
812 associated with ARs.

813

814 In future operational seasons, we aim to consider where upcoming satellite passes intercept  
815 potential target regions and therefore more systematically exploit data gaps in our sampling  
816 strategy.

817

818 The USAF C-130s were based in Reno for most of the season, and the high elevation in particular  
819 resulted in difficult flight conditions. The USAF have identified that the base at Mather, CA would  
820 be more suitable. Having one aircraft based in Hawaii made it possible to sample systems further  
821 west over the Pacific Ocean, with observations collected several days before landfall and enabling  
822 longer sequences to be possible, as well as further south to observe interactions between the tropics  
823 and mid-latitudes. As many ARs develop in the West Pacific, it may be advantageous to deploy  
824 even further west than current operational capabilities, possibly in collaboration with West Pacific  
825 campaigns. Looking further ahead, NOAA will transition from the G-IV to the G-550 in winter  
826 2025, with longer range and duration to allow AR Recon to reach farther regions of sensitivity, as  
827 well as a much more comprehensive instrument package.

828

829 In 2016, observations in the North Atlantic were taken as part of the North Atlantic Waveguide  
830 and Downstream Impact Experiment (NAWDEX) (Schäfler et al. 2018), and along with the  
831 success of AR Recon in the northeast Pacific, a combined European–American observational  
832 campaign in the North Atlantic, ‘AR Recon Atlantic’ has been proposed (Lavers et al. 2020b).  
833 This will make use of the broad experience gained from AR Recon.

834

835 On 22<sup>nd</sup> March 2021, the NCEP GFS model was upgraded (NOAA, 2021) and from AR Recon  
836 2022, it is hoped that GFS will be able to assimilate dropsonde data in BUFR format. Only data  
837 from dropsondes deployed by NOAA transmit BUFR data to the GTS in real-time (see Section 5),  
838 and a future recommendation is for the upgrade of USAF communications so that they can also  
839 transmit these full profile data in real-time, rather than only data on significantly reduced vertical  
840 levels.

841

## 842 **Data availability statement**

843 ECMWF forecast data are stored in the ECMWF archive:

844 <https://www.ecmwf.int/en/forecasts/datasets/archive-datasets>

845 GFS forecast data are downloaded in real time from NCEP NOMADS:

846 <https://nomads.ncep.noaa.gov/>

847

848 NOAA and USAF dropsonde data: <https://www.nhc.noaa.gov/recon.php>

849 NOAA data also available at: <https://seb.noaa.gov/pub/acdata>

850

## 851 **Acknowledgements**

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859

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867

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